

Using L^AT_EX to Write a PhD Thesis

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The base URL for this document is: <http://theoal.cmp.uea.ac.uk/~nlct/latex/thesis/>

Any opinions made herein are my own, and do not necessarily reflect the opinions of the University of East Anglia.

Abstract

This document is aimed at PhD students who want to use \LaTeX to typeset their PhD thesis. If you are unfamiliar with \LaTeX I recommend that you first read [\LaTeX for Complete Novices \[5\]](#).

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Chapter 1

Introduction

Many PhD students in the sciences are being encouraged to produce their PhD thesis in L^AT_EX, particularly if their work involves a lot of mathematics. This document is intended as a brief guide on how to structure your document, and how to define new page styles, chapter headings and various other components that are usually required for a thesis. If you have never used L^AT_EX before, I recommend that you first read [L^AT_EX for Complete Novices \[5\]](#), as this document assumes you have a basic knowledge of L^AT_EX.

Throughout this document, source code is illustrated using a typewriter font like this:

```
This is an \textbf{example}.
```

Where both sample source code and the corresponding output is illustrated, then the source code is illustrated in the form:

```
This is an \textbf{example}.
```

↑Input

↓Input

and the corresponding output is illustrated like this:

This is an **example**.

↑Output

↓Output

Command definitions are shown in a typewriter font in the form:

```
\documentclass[<options>]{<class file>}
```

Definition

where words *<like this>* (such as *<options>* and *<class file>*) indicate the type of thing you need to substitute. Note that the angle brackets are merely a visual aid to indicate that the text is a metasyntactic variable, so the angle brackets should not appear in your code. For example if you want to use the `report` class file, you would need to substitute *<class file>* with `report`, and if you want the `a4paper` option, you would substitute *<options>* with `a4paper`, like this:

```
\documentclass[a4paper]{report}
```

Links to related topics in the [UKTUG FAQ](#) are displayed in the margin like this: [\[What is T_EX?\]](#)

Chapter 2

Getting Started

If you have been told to use a particular class file, use that one, otherwise I recommend that you use the `report` or `scrreprt` class file. Before you start your document, consider first what kind of structure it should have. Unless you have been told otherwise, I recommend that you start out with a skeletal document that looks something like the following:

[Replacing the standard classes]

```
\documentclass[a4paper]{report}

\begin{document}

\title{A Sample PhD Thesis}
\author{A. N. Other}
\date{July 2004}

\maketitle

\pagenumbering{roman}
\tableofcontents
\listoffigures
\listoftables

\chapter*{Acknowledgements}

\begin{abstract}
\end{abstract}

\pagenumbering{arabic}

\chapter{Introduction}
\label{ch:intro}

\chapter{Technical Introduction}
\label{ch:techintro}

\chapter{Method}
\label{ch:method}

\chapter{Results}
\label{ch:results}

\chapter{Conclusions}
\label{ch:conc}

\bibliographystyle{plain}
\bibliography{thesis}

\end{document}
```

If you do this, it will help ensure that your document has the correct structure before you begin with the actual contents of the document. (Note that the chapter titles will naturally vary depending on your subject or institution, and you may need a different paper size if you are not in Europe. I have based the above on my own PhD thesis which I wrote in the early to mid 1990s in the Department of

Electronic Systems Engineering at the University of Essex, and it may well not fit your own requirements.)

Note that I have included the lines

```
\bibliographystyle{plain}  
\bibliography{thesis}
```

however I haven't yet created the bibliography database `thesis.bib`. I will cover this in Chapter 5, but you will still be able to run the document through \LaTeX . If you haven't started yet, go ahead and try this. Creating a skeletal document can have an amazing psychological effect on some people: for very little effort it can produce a document several pages long, which can give you a sense of achievement which can help give you sufficient momentum to get started¹.

If you are using the `scrreprt` class you can use the commands `\frontmatter`, `\mainmatter` and `\backmatter` to delineate the various logical divisions of your document. These commands are also defined in some other classes, such as `book` and `memoir`.

¹but of course, it's not guaranteed to work with everyone.

Chapter 3

Splitting a Large Document into Several Files

Some people prefer to place each chapter of a large document in a separate file. You can do this by using the command

```
\include{<filename>} Definition
```

If you only want to work on one or two chapters, you can tell L^AT_EX to only include those files using the command

```
\includeonly{<file list>} Definition
```

in the preamble, where *<file list>* is a comma separated list of files you want included. L^AT_EX will still read in all the cross-referencing information for the missing chapters, but won't include them in the DVI file. There is a definite advantage to this if you have, say, a large number of images in your results chapter, which you don't need when you're working on, say, the technical introduction. You can still reference all the figures in the omitted chapter, as long as you have previously L^AT_EXed the document without the `\includeonly` command.

The example given in Chapter 2 can now be split into various files:

File `thesis.tex`:

```
\documentclass[a4paper]{report}

\begin{document}

\title{A Sample PhD Thesis}
\author{A. N. Other}
\date{July 2004}

\maketitle

\pagenumbering{roman}
\tableofcontents
\listoffigures
\listoftables

\chapter*{Acknowledgements}

\begin{abstract}
\end{abstract}

\pagenumbering{arabic}

\include{intro}

\include{techintro}

\include{method}

\include{results}

\include{conc}
```



```
\bibliographystyle{plain}
\bibliography{thesis}

\end{document}
```

File `intro.tex`:

```
\chapter{Introduction}
\label{ch:intro}
```

File `techintro.tex`:

```
\chapter{Technical Introduction}
\label{ch:techintro}
```

File `method.tex`:

```
\chapter{Method}
\label{ch:method}
```

File `results.tex`:

```
\chapter{Results}
\label{ch:results}
```

File `conc.tex`:

```
\chapter{Conclusions}
\label{ch:conc}
```

If you only want to work on, say, the Method and the Results chapters, you can place the following command in the preamble:

```
\includeonly{method,results}
```

3.1 Excluding Files

There is also a command called `\excludeonly` defined in the `excludeonly` package which performs the reverse of `\includeonly`.

3.2 `\input` and `\include`

Some people become confused over the difference between `\include` and `\input`.

```
\input{<filename>}
```

acts as though the contents of the file called `<filename>` were inserted into the document at the point where the `\input` command occurs. For example, if you have a file called `myfile.tex` which contained the following lines:

```
Hello World!
Goodbye World!
```

and you had another file called `mydoc.tex` which contained the following:

```
\documentclass{article}
\begin{document}
\input{myfile}
\end{document}
```

then `mydoc.tex` is equivalent to

[What's going on in my `\include` commands?]

Definition

```
\documentclass{article}
\begin{document}
Hello World!
Goodbye World!
\end{document}
```

Whereas

```
\include{<filename>}
```

Definition

does more than simply read the contents of the file called *<filename>.tex*. Firstly, an associated auxiliary file is created called *<filename>.aux*. This file contains all the cross-referencing information (produced by `\label` and `\cite`) that occurs in *<filename>.tex*. This means that any labels in the files that have been excluded (either by not being listed in `\includeonly` or by being listed in `\excludeonly`) can still be referenced in other parts of the document.

Secondly, `\clearpage` is issued, then the file name is checked to determine if it is in the included list. If it is, the file contents will then be read and the cross-referencing information will be written to *<filename>.tex*, otherwise the file contents will be ignored. At the end of the file, another `\clearpage` is issued. This is why it makes sense to only use `\include` where the included file contains an entire chapter (including `\chapter` and corresponding `\label` commands.)

Chapter 4

Changing the Document Style

It is possible to redefine `\chapter`, `\section` etc in order to change the heading style for your document. I recommend that you first write your thesis, and then worry about changing the document style; the ability to do this is one of the advantages of using L^AT_EX over a word processor. Remember that writing your thesis is more important than the layout. Whilst it may be that your school or department may insist on a certain style, it should not take precedence over the actual task of writing.

Some class files, such as the KOMA script classes (which include `scrreprt` mentioned in Chapter 2) and the `memoir` class provide commands to help you modify the document style. There are also packages available to help you modify the appearance of chapter and section headings. Alternatively, you may prefer to write your own class or package which will produce a document that conforms to your school's guidelines (perhaps you have friends who may also benefit from this.)

[The style of section headings]

If you want to know how a particular class or package will enable you to modify the document style, then you should read the user guide for that class or package. In this tutorial I shall illustrate how you can create your own style which will be based on the `report` class. Note that if you want to redefine commands such as `\chapter` and `\section`, using the methods described below, it is better to create a class or package rather than putting the commands directly in your document. There are two main reasons for this: firstly, some of the commands involved use an `@` character which behaves differently depending on whether or not it occurs in a class/package or in a normal `.tex` file, and secondly, if you place all these commands in your main document, you may confuse the spell checker or word count application¹.

[Learning to write L^AT_EX classes and packages]

So, should you create a package or a class file? Packages should be designed to be independent of the class file. For example, the `graphicx` package works irrespective of whether you are using the `report`, `article`, `slide` etc class file. If the commands or environments that you want to define are somehow dependent on a particular class file, then you should create a new class file that is based on the one you want. If you are redefining chapter or section styles, then this is dependent on the overall document style, that is, it's dependent on the class file. So, you should create a new class file that modifies the existing one, rather than creating a package.

[How many words have you written?]

Let's have an example. If you want to create a new class called, say, `mythesis`, you will need to create a file called `mythesis.cls`, and the start of your file should look something like:

```
\NeedsTeXFormat{LaTeX2e}
\ProvidesClass{mythesis}
```

Next you need to specify what to do with any options passed to this class file. Since we don't need to define any new options for this example, we can simply pass all options on to the `report` class file:

```
\DeclareOption*{\PassOptionsToClass{\CurrentOption}{report}}
```

Once all options have been declared, they need to be processed:

```
\ProcessOptions
```

Now the `report` class needs to be loaded:

```
\LoadClass{report}
```

and the very last line of your file should have the command

```
\endinput
```

¹for information on counting the number of words in your document, see the documentation for the `cmprept` class file

The contents of this new class file should be inserted between the `\LoadClass{report}` and `\endinput` commands. You will then need to modify your source code, `thesis.tex`, so that it uses this new class file:

```
\documentclass[a4paper]{mythesis}
```

If the class file is only intended to conform to a specific university or school’s guidelines, it makes more sense for your new class file to set the paper size to a fixed size. For example, a European university may insist on A4 paper, in which case the paper size is no longer an option. In this case you can either specify the paper size as an option to `\LoadClass`:

```
\LoadClass[a4paper]{report}
```

or you can load the `geometry` package, in which case the following line should go after `\LoadClass{report}`²:

```
\RequirePackage[a4paper]{geometry}
```

Either way, you no longer need the `a4paper` option in your document:

```
\documentclass{mythesis}
```

If you need to set additional page layout parameters such as the margin widths, then it is better to use the `geometry` package. For example, to set the paper size to A4 with 1 inch margins do:

```
\RequirePackage[a4paper,margin=1in]{geometry}
```

See the `geometry` package documentation for further details.

[Changing the margins in L^AT_EX]

4.1 Modifying Object Specific Text

The `report` class file defines various commands that produce words such as “Contents”, “Chapter”, “Bibliography”. These commands, and their default values are listed in Table 4.1.

[How to change L^AT_EX’s “fixed names”]

Table 4.1: Default Names

| | |
|------------------------------|-----------------|
| <code>\contentsname</code> | Contents |
| <code>\listfigurename</code> | List of Figures |
| <code>\listtablename</code> | List of Tables |
| <code>\bibname</code> | Bibliography |
| <code>\indexname</code> | Index |
| <code>\figurename</code> | Figure |
| <code>\tablename</code> | Table |
| <code>\partname</code> | Part |
| <code>\chaptername</code> | Chapter |
| <code>\appendixname</code> | Appendix |
| <code>\abstractname</code> | Abstract |

So, suppose you want your figures and tables to be labelled Fig. and Tab. instead of Figure and Table, then you could add the following lines to `mythesis.cls`:

```
\renewcommand{\figurename}{Fig.}
\renewcommand{\tablename}{Tab.}
```

²Note that in a class or package you should use `\RequirePackage` instead of `\usepackage`

4.2 Changing the Section Headings

It is possible to customise the way your section, subsection etc headings appear by redefining the corresponding commands `\section`, `\subsection` etc using the command:

```
\@startsection{<type>}{<level>}{<indent>}{<beforeskip>}{<afterskip>}
{<style>} Definition
```

The six arguments are as follows:

`<type>` The sectioning type. This should be one of: `section`, `subsection`, `subsubsection`, `paragraph` or `subparagraph`. (Note no backslash.)

`<level>` This is the sectioning level as indicated in Table 4.2.

`<indent>` This should be a length, specifying the indentation from the left margin.

`<beforeskip>` The absolute value of the `<beforeskip>` specifies how much vertical distance to leave before the heading. If `<beforeskip>` is negative, the first paragraph following the section heading will not be indented.

`<afterskip>` The absolute value of the `<afterskip>` specifies how much vertical distance to leave after the heading. If `<afterskip>` is negative, the text following the sectioning command will appear on the same level as the section heading.

`<style>` The `<style>` are the declarations required to set the style of the heading (e.g. `\itshape` for an italic heading.) Note that the last command in `<style>` may be a command which takes a single argument, but all the others must be declarations.

(Remember that `\paragraph` and `\subparagraph` produce sub-sub-sub-sections and sub-sub-sub-sub-sections, respectively, though most class files suppress their numbering and give them a negative `<afterskip>`.)

[How to create a
`\subsubsubsection`]

Table 4.2: Section Levels

| | |
|---------------|----|
| part | -1 |
| chapter | 0 |
| section | 1 |
| subsection | 2 |
| subsubsection | 3 |
| paragraph | 4 |
| subparagraph | 5 |

As an example, suppose you want to change the section headings so that they appear in a large italic font, you could do something like:

```
\renewcommand{\section}{\@startsection
{section}%           % the name
{1}%                % the level
{0mm}%              % the indent
{-\baselineskip}%   % the before skip
{0.5\baselineskip}% % the after skip
{\normalfont\large\itshape}} % the style
```

As mentioned above, the final command within the `<style>` argument may be a command which takes an argument, so you could also do something like:

```
\renewcommand{\section}{\@startsection
{section}%           % the name
{1}%                % the level
```

```
{0mm}%           % the indent
{-\baselineskip}% % the before skip
{0.5\baselineskip}% % the after skip
{\normalfont\large\MakeUppercase}} % the style
```

which would convert the section heading to uppercase. See *A Guide to L^AT_EX* [2] for further information.

There is a counter called `secnumdepth` that controls what level the sections have numbers. The levels correspond to those shown in Table 4.2. By default this value is 2, so only parts, chapters, sections and subsections have associated numbers. You can use `\setcounter` to change the value of `secnumdepth`. So, for example, if you want the `\paragraph` command to produce a number, do

```
\settocounter{secnumdepth}{4}
```

4.3 Changing the Chapter Headings

If you want to change the chapter or part heading style, you can't use `\@startsection`. Instead you should use the `\secdef` command. If you load `report.cls` into a text editor, you will see that both the `\part` and `\chapter` commands use `\secdef`. The definition of `\chapter` has the line

```
\secdef\@chapter\@schapter
```

and `\part` has the line

```
\secdef\@part\@spart
```

The first argument to `\secdef` tells L^AT_EX what to do if the unstarred version is used, and the second argument tells L^AT_EX what to do if the starred version is used. So the command

```
\chapter{Introduction}
```

will use the command `\@chapter`, whereas the command

```
\chapter*{Acknowledgements}
```

will use the command `\@schapter`. The commands `\@chapter` and `\@schapter` use the commands `\@makechapterhead` and `\@makeschapterhead`, respectively, to format the chapter heading, so if you want to change the chapter format, you will need to redefine the commands `\@makechapterhead` and `\@makeschapterhead`. The easiest way to do this is to look for the code for these commands in `report.cls` and copy them over to your new class file, `mythesis`, described earlier, and edit the appropriate formatting commands.

For example, suppose you want a line to appear above and below the chapter heading, and have the chapter heading appear in small capitals, you could do:

```
\renewcommand{\@makechapterhead}[1]{%
  \vspace*{50\p@}%
  {\parindent \z@ \raggedright \normalfont
    \hrule % horizontal line
    \vspace{5pt}% % add vertical space
    \ifnum \c@secnumdepth >\m@ne
      \huge\scshape \@chapapp\space \thechapter % Chapter number
      \par\nobreak
      \vskip 20\p@
    \fi
    \interlinepenalty\@M
    \Huge \scshape #1\par % chapter title
    \vspace{5pt}% % add vertical space
    \hrule % horizontal rule
```

```

    \nobreak
    \vskip 40\p@
  }}

\renewcommand{\@makeschapterhead}[1]{%
  \vspace*{50\p@}%
  {\parindent \z@ \raggedright
   \normalfont
   \hrule % horizontal line
   \vspace{5pt}% % add vertical space
   \interlinepenalty\@M
   \Huge \scshape #1\par % chapter title
   \vspace{5pt}% % add vertical space
   \hrule % horizontal line
   \nobreak
   \vskip 40\p@
  }}

```

You can download the file [mythesis.cls](#) which includes all the examples covered so far in this chapter.

4.4 Adding to the Table of Contents

Starred versions of the sectioning commands are not added to the table of contents by default, but they can be added using:

```
\addcontentsline{<ext>}{<type>}{<text>} Definition
```

<ext> This should be the extension of the file where the contents are written. So this will be `toc` for the table of contents, `lof` for the list of figures and `lot` for the list of tables.

<type> This is the type of object you are adding to the contents. e.g. chapter, section, figure.

<text> This is the text that should go in the contents.

For example, the bibliography is generated using a starred version of the `\chapter` command, so it doesn't get added to the table of contents. To add it to the table of contents, you can do

```
\addcontentsline{toc}{chapter}{\bibname}
```

The counter `tocdepth` controls the section level depth in the table of contents. The levels corresponding to the sections are shown Table 4.2.

The `report` class file sets `tocdepth` to 2, which means that only the parts, chapters, sections and subsections will be entered into the table of contents. You can use `\setcounter` to change the value of `tocdepth`. For example, to also include the subsubsections, paragraphs and subparagraphs, do:

```
\setcounter{tocdepth}{5}
```

[The format of the Table of Contents, etc.]

4.5 Defining a New Page Style

There are two page styles pre-defined by L^AT_EX³: `empty` and `plain`. These page styles can be selected either using:

```
\pagestyle{<style>} Definition
```

³most of the standard class files, including `report`, also define the page styles `headings` and `myheadings`

[Alternative head- and footlines in L^AT_EX]

to change the style “from this point onwards”, or

```
\thispagestyle{<style>}
```

Definition

to change the style for a specific page.

Both these commands call the command `\ps@<style>`, and it is this command which redefines the header and footer. So, `\pagestyle{plain}` calls the command `\ps@plain` which in turn calls the commands that redefine the header and footer, and `\pagestyle{empty}` calls the command `\ps@empty` and so on.

So, to define a new page style called, say, `thesis`, you first need to define a command called `\ps@thesis`. Since the command name contains an `@` character, this definition needs to go in a style file or class file.

The headers and footers for odd and even numbered pages can be specified by redefining the commands: `\@oddhead`, `\@evenhead`, `\@oddfoot` and `\@evenfoot`.

For example, suppose you want the new page style to have empty headers, and the footers to contain the page number with a dash on either side (e.g. -12-) centred, then you could do:

```
\newcommand{\ps@thesis}{
  \renewcommand{\@oddhead}{}%    header blank
  \renewcommand{\@evenhead}{}%   header blank
  \renewcommand{\@oddfoot}{\hfill-\thepage-\hfill}%
  \renewcommand{\@evenfoot}{\hfill-\thepage-\hfill}%
}
```

Note that if you are using the default `oneside` option to the `report` class file, only the `\@oddhead` and `\@oddfoot` commands will have any effect. If you want the odd and even numbered pages to be different, you must remember to use the `twoside` option⁴. It is also possible to customise page styles using the `fancyhdr` package. See *A Guide to L^AT_EX* [2] for an example.

Unless you are told otherwise, I recommend that you use the `headings` page style for your thesis.

⁴this generally isn't appropriate for a thesis

Chapter 5

Generating a Bibliography

When you are writing a large document such as a PhD thesis, I strongly recommend that you use `BIBTEX` rather than typing up the bibliography in a `thebibliography` environment. If you use `BIBTEX`:

1. Only the references that you cite are included in the bibliography. (Examiners tend to fault uncited references¹.)
2. References are displayed in a consistent manner.
3. Entries can be sorted in order of citation or alphabetically.
4. The style can easily be changed by simply using a different bibliography style file.

Recall that the example file listed in Chapter 2 had the lines:

```
\bibliographystyle{plain}
\bibliography{thesis}
```

The command

```
\bibliographystyle{<style>}
```

Definition

indicates which `BIBTEX` style file (`.bst`) to use without the extension. The above example uses `plain.bst`. The command

```
\bibliography{<database>}
```

Definition

indicates which database (`.bib`) to use. The above example uses the database `thesis.bib`, which we will need to create. Since the document currently doesn't have any `\cite` commands, and `thesis.bib` does not yet exist, the DVI file does not yet have a bibliography.

There are many bibliography styles, but the basic ones are:

[Choosing a `BIBTEX` style]

abbrv Entries sorted alphabetically with abbreviated first names, months and journal names.

alpha Entries sorted alphabetically with the citation represented by abbreviated author surname and year instead of a number.

plain Entries sorted alphabetically, with the citation represented by a number.

unsrt Entries sorted according to citation with the citation represented by a number.

See *A Guide to L^AT_EX* [2] or *The L^AT_EX Companion* [1] for information about other bibliography styles, and check with your supervisor to see if there is a particular style you should be using.

Entries in the bibliography database should have the following form:

[Creating a `BIBTEX` bibliography]

¹When your examiners read through your thesis, they can check off each citation they encounter against your bibliography. When they reached the end of the thesis, they can then look through the bibliography for unchecked entries. One or two will appear the result of carelessness, whereas a large quantity will look like padding and may lead the examiners to suspect a certain amount of duplicity on your part.

```

@<entry type>{<keyword>,
  <field name> = "<text>",
  :
  <field name> = "<text>"
}

```

where `<entry type>` indicates the type of entry (e.g. book or article). Standard entry types are listed in Table 5.1.

Table 5.1: Standard BiBTeX entry types

| | |
|----------------------------|---|
| <code>article</code> | Article from a journal |
| <code>book</code> | Published book |
| <code>booklet</code> | Printed work without a publisher |
| <code>conference</code> | Identical to <code>inproceedings</code> |
| <code>inbook</code> | Part, chapter, section etc of a book |
| <code>incollection</code> | A chapter of a book with its own author and title |
| <code>inproceedings</code> | An article in a conference proceedings |
| <code>manual</code> | Technical documentation |
| <code>mastersthesis</code> | A master's thesis |
| <code>misc</code> | Non-standard work |
| <code>phdthesis</code> | PhD thesis |
| <code>proceedings</code> | Conference proceedings |
| <code>techreport</code> | Report published by an institution |
| <code>unpublished</code> | Unpublished work with an author and title |

Within an entry, `<keyword>` is a short label that is used to cite this work with the `\cite` command. If you have written bibliographies with the `thebibliography` environment, it's the same as the argument to `\bibitem`. There then follows a comma-separated list of fields of the form `<field name> = <value>`. The `<field name>` indicates what kind of field it is, e.g. `title`, `author`. Table 5.2 lists the standard fields. Note that some bibliography styles may define additional non-standard fields, such as `email` or `url`. See *A Guide to L^AT_EX* [2] or *The L^AT_EX Companion* [1] for information about other fields not listed in Table 5.2.

[URLs in BiBTeX bibliographies]

The required and optional fields for the standard entry types are listed in Table 5.3. If an entry has a field that is neither required nor optional, BiBTeX will ignore it. This means that you can have a field called, say, `abstract`, which will be ignored by the standard bibliography styles, but will be included if you use a bibliography style that has an `abstract` field. So you can store additional information in the database which won't appear in the bibliography.

BiBTeX uses the European assumption that names are composed of forenames, an optional "von" part which starts with a lower case letter, a surname and an optional "jr" part. In order to enable BiBTeX to correctly identify these components, names must be entered in one of the following formats:

[BiBTeX sorting and name prefixes]

- `<forenames> <von> <surname>`
- `<von> <surname>, <forenames>`
- `<von> <surname>, <jr>, <forenames>`

Examples (using a style that converts forenames to initials):

[Transcribed initials in BiBTeX]

Table 5.2: Standard BiBTeX fields

| | |
|--------------|--|
| address | Publisher/Institution's address |
| author | Author names |
| booktitle | Title of book where only a part of the book is being cited |
| chapter | Chapter or section number |
| edition | The edition of the book |
| howpublished | How a non-standard work was published |
| institution | The institute sponsoring the work |
| journal | The name of the journal |
| month | The month the work was published |
| note | Any additional information |
| number | The number of the journal, technical report etc |
| organization | Organization sponsoring conference or manual |
| pages | Page number or page range |
| publisher | Publisher's name |
| school | Academic institution where thesis was written |
| series | Name of a series |
| title | The title of the work |
| type | The type of technical report |
| volume | The volume number. |

Table 5.3: Required and Optional Fields

| Entry Type | Required Fields | Optional Fields |
|---------------|--|--|
| article | author, title, journal, year | volume, month, note, number, pages |
| book | author or editor, title, publisher, year | address, edition, volume or number, month, note, pages, series |
| booklet | title | author, address, howpublished, month, note, year |
| inbook | author or editor, chapter or pages, title, publisher, year | address, edition, volume or number, month, note, series, type |
| incollection | author, title, booktitle, publisher, year | address, chapter, editor, edition, volume or number, month, note, pages, series, type |
| inproceedings | author, title, booktitle, year | address, editor, volume or number, month, note, organization, pages, publisher, series, type |
| manual | title | author, address, edition, month, note, organization, year |
| mastersthesis | author, title, school, year | address, month, note, type |
| misc | — | author, howpublished, month, note, title, year |
| phdthesis | author, title, school, year | address, month, note, type |
| proceedings | title, year | editor, organization, address, volume or number, series, month, publisher, note |
| techreport | author, title, institution, year | type, number, address, month, note |
| unpublished | author, title, note | month, year |

| Entry | Output (“abbrv” style) |
|----------------------------------|------------------------|
| "Alex Thomas von Neumann" | A.T. von Neumann |
| "John Chris {Smith Jones}" | J.C. Smith Jones |
| "van de Klee, Mary-Jane" | M.-J. van de Klee |
| "Smith, Jr, Fred John" | F.J. Smith, Jr |
| "Maria {\uppercase{d}e La} Cruz" | M. De La Cruz |

Compare the last example with: "Maria De La Cruz" which would produce: M. D. L. Cruz, which is incorrect. Let’s analyse this last example in more detail: `BIBTEX` always expects the “von” part to start with a lower case letter, but De and La both start with an upper case letter, so `BIBTEX` will assume that these form part of the forenames. However, `BIBTEX` will ignore any `LATEX` commands such as `\uppercase` in `\uppercase{d}e` since it assumes that the command is an accent command. So when it parses `\uppercase{d}e` it will skip `\uppercase` and look at the following letter. In this case it is d which is lower case, so from `BIBTEX`’s point of view the word `\uppercase{d}e` starts with a lower case letter, so it is therefore the “von” part. You can either do the same with the “La” part, or, as in the above example, you can place it in the same group as `\uppercase{d}e`. If the names in your bibliography don’t look correct, then it is likely that you haven’t followed the correct name format in your `.bib` file. (Note that this also applies to the names in the `editor` field.)

[Accents in bibliographies]

Multiple authors should be separated by the keyword `and`. Here is an example using the `book` entry:

[`BIBTEX` doesn’t understand lists of names]

```
@book{goossens97,
  author = "Goossens, Michel and Rahtz, Sebastian and
           Mittelbach, Frank",
  title = "The \LaTeX\ graphics companion: illustrating
           documents with \TeX\ and {PostScript}",
  publisher = "Addison Wesley Longman, Inc",
  year = 1997
}
```

In this example, the `<keyword>` is `goossens97`, so you cite the entry using the command `\cite{goossens97}`. The standard bibliography styles usually convert titles to lower case, so the name `PostScript` is enclosed in curly braces to prevent this from happening.

Note that curly braces `{}` can be used instead of double quotes. The above example can just as easily be written:

```
@book{goossens97,
  author = {Goossens, Michel and Rahtz, Sebastian and
           Mittelbach, Frank},
  title = {The \LaTeX\ graphics companion: illustrating
           documents with \TeX\ and {PostScript}},
  publisher = {Addison Wesley Longman, Inc},
  year = 1997
}
```

Numbers (such as the year 1997) don’t need to be delimited with quotes or braces. So you can have

```
pages = 10
```

but a page range would need to be written:

```
pages = "10--45"
```

Bibliography styles always have three-letter abbreviations for months: `jan`, `feb`, `mar`, etc. These should be used instead of typing them in explicitly, as their format depends on the bibliography style. These abbreviations should be entered without quotes. e.g.:

```
@inproceedings{talbot97,
  author   = "Talbot, Nicola and Cawley, Gavin",
  title    = "A fast index assignment algorithm for
             robust vector quantisation of image data",
  booktitle = "Proceedings of the I.E.E.E. International
             Conference on Image Processing",
  address  = "Santa Barbara, California, USA",
  month    = oct,
  year     = 1997
}
```

The following is an example of a bibliography database (you can [download](#) it if you want):

```
@book{goossens97,
  author = "Goossens, Michel and Rahtz, Sebastian and
           Mittelbach, Frank",
  title = "The \LaTeX\ graphics companion: illustrating
           documents with \TeX\ and {PostScript}",
  publisher = "Addison Wesley Longman, Inc",
  year = 1997
}

@inproceedings{talbot97,
  author   = "Talbot, Nicola L. C. and Cawley, Gavin C.",
  title    = "A fast index assignment algorithm for
             robust vector quantisation of image data",
  booktitle = "Proceedings of the I.E.E.E. International
             Conference on Image Processing",
  address  = "Santa Barbara, California, USA",
  month    = oct,
  year     = 1997
}

@article{cawley96,
  author   = "Cawley, Gavin C. and Talbot, Nicola L. C.",
  title    = "A fast index assignment algorithm for vector
             quantization over noisy transmission channels",
  journal  = "I.E.E. Electronic Letters",
  number   = 15,
  volume   = 32,
  pages    = "1343--1344",
  month    = jul,
  year     = 1996
}

@incollection{wainwright93,
  author   = "Wainwright, Robert B.",
  title    = "Hazards from {Northern} Native Foods",
  booktitle = "\emph{Clostridium botulinum}: Ecology and
             Control in Foods",
  chapter  = 12,
  pages    = "305--322",
  editor   = "Hauschild, Andreas H. W. and Dodds,
             Karen L.",
  publisher = "Marcel Dekker, Inc",
  year     = 1993
}
```

Once you have set up your bibliography database, you will need to first \LaTeX your document, then call $\text{BIB}\TeX$ and then \LaTeX your document twice to get all the cross references up to date. If you are using TeXnicCenter, when you create a new project, click on the ‘Uses BiBTeX’ option, and it will automatically call $\text{BIB}\TeX$ when you click on the build icon. If you are using a command prompt, then if your file is called, say, `thesis.tex`, you will need to type the following commands:

```
latex thesis
bibtex thesis
latex thesis
latex thesis
```

[“Normal” use of $\text{BIB}\TeX$ from \LaTeX]

Note that you are specifying the *auxiliary file* when calling $\text{BIB}\TeX$, *without* the extension. You can have a bibliography database that has a different name from your \LaTeX file, but you use the name of the auxiliary file² when calling $\text{BIB}\TeX$. For example, if your thesis is saved in the file `thesis.tex` and your bibliography database is saved in the file `ref.bib`, then you still need to do:

```
latex thesis
bibtex thesis
latex thesis
latex thesis
```

In fact, you can use multiple bibliography databases (which isn’t the same as having multiple bibliographies in your document.) Suppose your references are defined in the files `ref1.bib` and `ref2.bib`, then you need to specify both databases in `thesis.tex`:

[Multiple bibliographies?]

```
\bibliography{ref1}
\bibliography{ref2}
```

Alternatively you can specify the databases in a list:

```
\bibliography{ref1,ref2}
```

If you have references which you find yourself frequently using, such as your own publications, you may prefer to keep a `.bib` file containing these references in a central location, such as in your local `texmf` tree. If you are using a UNIX-like operating system, this will typically be in `~/texmf/bibtex/bib/`. If you are using Windows, this may be in the folder `c:\localtexmf\bibtex\bib\` but check your \TeX installation documentation. If you do this, remember to refresh the \TeX database.

[Installing things on a (La)TeX system]

Illustrations of some of the common bibliography styles are shown in Figures 5.1, 5.2, 5.3, 5.4, 5.5, 5.6 and 5.7. Note that the `apalike` bibliography style requires the `apalike` package.

5.1 Back-References

The `backref` package supplied with the `hyperref` bundle will place a comma-separated list of section or page numbers on which the work was cited at the end of each item in the bibliography. Each bibliography item in the `thebibliography` environment must be separated by a blank line, but as $\text{BIB}\TeX$ does this automatically, you only need to worry about it if you are creating your `thebibliography` environment without the aid of $\text{BIB}\TeX$.

[References from the bibliography to the citation]

The list of numbers will by default refer to the page numbers in which the corresponding `\cite` commands are located, but this can be changed to the section numbers by passing the options `ref` to the `backref` package. If you are using the `hyperref` package, then the `backref` package will be loaded if you use the `hyperref` package options `backref` or `backref=section`.

²This will typically have the same base name as your main document file, but may be different if you are using a bibliography managing package such as `bibunits`.

Bibliography

- [1] G. C. Cawley and N. L. C. Talbot. A fast index assignment algorithm for vector quantization over noisy transmission channels. *I.E.E. Electronic Letters*, 32(15):1343–1344, July 1996.
- [2] M. Goossens, S. Rahtz, and F. Mittelbach. *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc, 1997.
- [3] N. L. C. Talbot and G. C. Cawley. A fast index assignment algorithm for robust vector quantisation of image data. In *Proceedings of the I.E.E.E. International Conference on Image Processing*, Santa Barbara, California, USA, Oct. 1997.
- [4] R. B. Wainwright. Hazards from Northern native foods. In A. H. W. Hauschild and K. L. Dodds, editors, *Clostridium botulinum: Ecology and Control in Foods*, chapter 12, pages 305–322. Marcel Dekker, Inc, 1993.

Figure 5.1: `abbrv` bibliography style

Bibliography

- [1] CAWLEY, G. C., AND TALBOT, N. L. C. A fast index assignment algorithm for vector quantization over noisy transmission channels. *I.E.E. Electronic Letters* 32, 15 (July 1996), 1343–1344.
- [2] GOOSSENS, M., RAHTZ, S., AND MITTELBAACH, F. *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc, 1997.
- [3] TALBOT, N. L. C., AND CAWLEY, G. C. A fast index assignment algorithm for robust vector quantisation of image data. In *Proceedings of the I.E.E.E. International Conference on Image Processing* (Santa Barbara, California, USA, Oct. 1997).
- [4] WAINWRIGHT, R. B. Hazards from Northern native foods. In *Clostridium botulinum: Ecology and Control in Foods*, A. H. W. Hauschild and K. L. Dodds, Eds. Marcel Dekker, Inc, 1993, ch. 12, pp. 305–322.

Figure 5.2: `acm` bibliography style

Bibliography

- [CT96] Gavin C. Cawley and Nicola L. C. Talbot. A fast index assignment algorithm for vector quantization over noisy transmission channels. *I.E.E. Electronic Letters*, 32(15):1343–1344, July 1996.
- [GRM97] Michel Goossens, Sebastian Rahtz, and Frank Mittelbach. *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc, 1997.
- [TC97] Nicola L. C. Talbot and Gavin C. Cawley. A fast index assignment algorithm for robust vector quantisation of image data. In *Proceedings of the I.E.E.E. International Conference on Image Processing*, Santa Barbara, California, USA, October 1997.
- [Wai93] Robert B. Wainwright. Hazards from Northern native foods. In Andreas H. W. Hauschild and Karen L. Dodds, editors, *Clostridium botulinum: Ecology and Control in Foods*, chapter 12, pages 305–322. Marcel Dekker, Inc, 1993.

Figure 5.3: alpha bibliography style

Bibliography

- [1] M. Goossens, S. Rahtz, and F. Mittelbach, *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc, 1997.
- [2] N. L. C. Talbot and G. C. Cawley, “A fast index assignment algorithm for robust vector quantisation of image data,” in *Proceedings of the I.E.E.E. International Conference on Image Processing*, (Santa Barbara, California, USA), Oct. 1997.
- [3] G. C. Cawley and N. L. C. Talbot, “A fast index assignment algorithm for vector quantization over noisy transmission channels,” *I.E.E. Electronic Letters*, vol. 32, pp. 1343–1344, July 1996.
- [4] R. B. Wainwright, “Hazards from Northern native foods,” in *Clostridium botulinum: Ecology and Control in Foods* (A. H. W. Hauschild and K. L. Dodds, eds.), ch. 12, pp. 305–322, Marcel Dekker, Inc, 1993.

Figure 5.4: ieeetr bibliography style

Bibliography

- [1] Gavin C. Cawley and Nicola L. C. Talbot. A fast index assignment algorithm for vector quantization over noisy transmission channels. *I.E.E. Electronic Letters*, 32(15):1343–1344, July 1996.
- [2] Michel Goossens, Sebastian Rahtz, and Frank Mittelbach. *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc, 1997.
- [3] Nicola L. C. Talbot and Gavin C. Cawley. A fast index assignment algorithm for robust vector quantisation of image data. In *Proceedings of the I.E.E.E. International Conference on Image Processing*, Santa Barbara, California, USA, October 1997.
- [4] Robert B. Wainwright. Hazards from Northern native foods. In Andreas H. W. Hauschild and Karen L. Dodds, editors, *Clostridium botulinum: Ecology and Control in Foods*, chapter 12, pages 305–322. Marcel Dekker, Inc, 1993.

Figure 5.5: plain bibliography style

Bibliography

- [1] Michel Goossens, Sebastian Rahtz, and Frank Mittelbach. *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc, 1997.
- [2] Nicola L. C. Talbot and Gavin C. Cawley. A fast index assignment algorithm for robust vector quantisation of image data. In *Proceedings of the I.E.E.E. International Conference on Image Processing*, Santa Barbara, California, USA, October 1997.
- [3] Gavin C. Cawley and Nicola L. C. Talbot. A fast index assignment algorithm for vector quantization over noisy transmission channels. *I.E.E. Electronic Letters*, 32(15):1343–1344, July 1996.
- [4] Robert B. Wainwright. Hazards from Northern native foods. In Andreas H. W. Hauschild and Karen L. Dodds, editors, *Clostridium botulinum: Ecology and Control in Foods*, chapter 12, pages 305–322. Marcel Dekker, Inc, 1993.

Figure 5.6: unsrt bibliography style

Bibliography

- Cawley, G. C. and Talbot, N. L. C. (1996). A fast index assignment algorithm for vector quantization over noisy transmission channels. *I.E.E. Electronic Letters*, 32(15):1343–1344.
- Goossens, M., Rahtz, S., and Mittelbach, F. (1997). *The L^AT_EX graphics companion: illustrating documents with T_EX and PostScript*. Addison Wesley Longman, Inc.
- Talbot, N. L. C. and Cawley, G. C. (1997). A fast index assignment algorithm for robust vector quantisation of image data. In *Proceedings of the I.E.E.E. International Conference on Image Processing*, Santa Barbara, California, USA.
- Wainwright, R. B. (1993). Hazards from Northern native foods. In Hauschild, A. H. W. and Dodds, K. L., editors, *Clostridium botulinum: Ecology and Control in Foods*, chapter 12, pages 305–322. Marcel Dekker, Inc.

Figure 5.7: `apalike` bibliography style (requires `apalike` package)

The `backref` package uses the command `\backref` to control the format of the list of back-references. Without the `hyperref` package, the list of back-references has an introductory text supplied by `\backrefpagesname` or `\backrefsectionsname`. See the `backref` package documentation for further detail.

This document uses the `backref` package. The effect can be seen in the [bibliography](#) on page 50.

5.2 Troubleshooting

- BIB_TE_X writes the `thebibliography` environment to a `.bbl` file, which is then input into the document by `\bibliography`. If you have made a L^AT_EX error in the `.bib` file, this error will be copied to the `.bbl` file. If you have corrected the error in the `.bib` file, but you are still getting an error when you L^AT_EX your document, try deleting the `.bbl` file.
- Remember to use double quotes or braces to delimit the field names in your `.bib` file.
- Remember to put a comma at the end of each field (except the last).
- It is better to only use alphanumerical characters in the keywords. Some punctuation characters such as `-` should be fine, but spaces are not recommended, and commas should definitely be avoided.
- The L^AT_EX comment symbol (`%`) is not a comment character in a `.bib` file.
- If you have entered a field in the `.bib` file, but it doesn't appear in the bibliography, check to make sure that the field is required or optional for that type of entry, and check the spelling.
- Check the log file (`.b1g`) generated by BIB_TE_X for messages.

Chapter 6

Formatting

6.1 Double Spacing

Double spacing is usually frowned upon in the world of modern typesetting, however it is usually a requirement when you are writing a PhD thesis as it gives the examiners extra space to write comments.

Double spacing can either be achieved using the `setspace` package, or by redefining the value of `\baselinestretch`. The value depends on the font size (see Table 6.1). To switch back to single spacing set `\baselinestretch` back to 1.

[Double-spaced documents in L^AT_EX]

Table 6.1: Double spacing values for `\baselinestretch`

| Font Size | 10pt | 11pt | 12pt |
|-------------------------------|------|------|------|
| <code>\baselinestretch</code> | 1.67 | 1.62 | 1.66 |

For example, if you are using 12pt font, you will need the following line:

```
\renewcommand{\baselinestretch}{1.66}
```

It is however better to use the `setspace` package which provides the declarations `\singlespacing`, `\onehalfspacing` and `\doublespacing`.

6.2 Changing the Title Page

The title page style generated by `\maketitle` may not be appropriate for the school/university's specifications. If this is the case, you can use the `titlepage` environment instead. For example:

```
\begin{titlepage}
\begin{center}
\vspace*{1in}
{\LARGE A Sample PhD Thesis}
\par
\vspace{1.5in}
{\large A. N. Other}
\par
\vfill
A Thesis submitted for the degree of Doctor of Philosophy
\par
\vspace{0.5in}
School of Computing Sciences
\par
\vspace{0.5in}
University of East Anglia
\par
\vspace{0.5in}
July 2004
\end{center}
\end{titlepage}
```

↑Input

↓Input

The resulting output is shown in Figure 6.1.

A Sample PhD Thesis

A. N. Other

A Thesis submitted for the degree of Doctor of Philosophy

School of Computing Sciences

University of East Anglia

July 2004

Figure 6.1: Example Title Page

Check with your supervisor to see if there is a particular layout required for the title page. Note that some classes, such as `memoir` and `scrreprt` provide commands to modify the title layout. The `titling` package also provides such facilities.

[The style of document titles]

6.3 Verbatim Text

There may be times when you want to include text exactly as you have typed it into your source code. For example, you may want to include a short segment of computer code. This can be done using the `verbatim` environment. For example:

[Code listings in L^AT_EX]

```
\begin{verbatim}
#include <stdio.h>

int main()
{
    printf{"Hello World\n"};

    return 1;
}
\end{verbatim}
```

↑Input

↓Input

would produce the following output:

```
#include <stdio.h>

int main()
{
    printf{"Hello World\n"};

    return 1;
}
```

↑Output

↓Output

The contents of a file can be included verbatim using the command:

[Including a file verbatim in L^AT_EX]

```
\verbatiminput{<filename>}
```

Definition

defined in the `verbatim` package. For example:

```
\verbatiminput{helloW.c}
```

where `helloW.c` is the filename (remember to use a forward slash / as a directory divider, even if you are using Windows).

Note: it is not usually appropriate to have reams of listings in your thesis. It can annoy an examiner if you have included every single piece of code you have written during your PhD, as it comes across as padding to make it look as though your thesis is a lot larger than it really is. (Examiners are not easily fooled, and it's best not to irritate them as it is likely to make them less sympathetic towards you.) If you want to include listings in your thesis, check with your supervisor first to find out whether or not it is appropriate.

6.4 Tabbing

The `tabbing` environment lets you create tab stops so that you can tab to a particular distance from the left margin. Within the `tabbing` environment, you can use the command `\=` to set a tab stop, `\>` to jump to the next tab stop, `\<` to go back a tab stop, `\+` to shift the left border by one tab stop to the right, `\-` to shift the left border by one tab stop to the left. In addition, `\` will start a new line and `\kill` will set any tabs stops defined in that line, but will not typeset the line itself.

[Accents misbehave in tabbing]

Examples:

1. This first example sets up three tab stops:

```
\begin{tabbing}
Zero \=One \=Two \=Three\\
\>First tab stop\\
\>A\>\>B\\
\>\>Second tab stop
\end{tabbing}
```

↑Input

↓Input

This produces the following output:

```
Zero One Two Three
      First tab stop
      A      B
          Second tab stop
```

↑Output

↓Output

2. This second example sets up four tab stops, but ignores the first line:

```
\begin{tabbing}
AAA \=BBBB \=XX \=YYYYY \=Z \kill
\>\>\>Third tab stop\\
\>a \>\>b \>c
\end{tabbing}
```

↑Input

↓Input

This produces the following output:

```

          Third tab stop
a         b         c
```

↑Output

↓Output

6.5 Theorems and Algorithms

A PhD thesis can often contain theorems, lemmas, definitions etc. These structures can be created using the command

```
\newtheorem{<type>}{<title>}[<outer counter>]
```

Definition

where $\langle type \rangle$ is the type of your structure (e.g. theorem), $\langle title \rangle$ is the word that is printed in bold at the start of the structure (e.g. Theorem) and if the optional argument $\langle outer counter \rangle$ is present, then the structure's counter should depend on $\langle outer counter \rangle$ (as in the optional argument to `\newcounter`.)

You should typically define your new theorem either in the preamble or in a package or class file. Once you have defined your new theorem, a new environment is created whose name is given by $\langle type \rangle$. This environment has an optional argument that you can use to specify a caption for the structure.

Examples:

1. Define a theorem structure. The counter belonging to this structure is not dependent on any other counter:

```
\newtheorem{theorem}{Theorem}

\begin{theorem}
If  $\lambda$  is an eigenvalue of  $\mathbf{B}$  with
eigenvector  $\vec{\xi}$ , then  $\lambda^n$  is an
eigenvalue of  $\mathbf{B}^n$  with eigenvector  $\vec{\xi}$ .
\end{theorem}
```

↑Input

↓Input

This gives the following output:

```
Theorem 1 If  $\lambda$  is an eigenvalue of  $\mathbf{B}$  with eigenvector  $\vec{\xi}$ , then  $\lambda^n$  is an eigenvalue of  $\mathbf{B}^n$  with eigenvector  $\vec{\xi}$ .
```

↑Output

↓Output

(See [L^AT_EX for Complete Novices \[5\]](#) if you want to know how to redefine the `\vec` command so that the vector appears in bold.)

2. In this example, the theorem is defined to be dependent on the chapter counter. The theorem counter will be reset each time a new chapter is started:

```
\newtheorem{theorem}{Theorem}[chapter]

\begin{theorem}
If  $\lambda$  is an eigenvalue of  $\mathbf{B}$  with
eigenvector  $\vec{\xi}$ , then  $\lambda^n$  is an
eigenvalue of  $\mathbf{B}^n$  with eigenvector  $\vec{\xi}$ .
\end{theorem}
```

↑Input

↓Input

This gives the following output:

```
Theorem 1 If  $\lambda$  is an eigenvalue of  $\mathbf{B}$  with eigenvector  $\vec{\xi}$ , then  $\lambda^n$  is an eigenvalue of  $\mathbf{B}^n$  with eigenvector  $\vec{\xi}$ .
```

↑Output

Theorem 6.1 *If λ is an eigenvalue of \mathbf{B} with eigenvector $\vec{\xi}$, then λ^n is an eigenvalue of \mathbf{B}^n with eigenvector $\vec{\xi}$.*

↓Output

3. In this example, the theorem is given a caption:

```
\newtheorem{theorem}{Theorem}[chapter]
```

↑Input

```
\begin{theorem}[Eigenvector Powers]
If  $\lambda$  is an eigenvalue of  $\mathbf{B}$  with
eigenvector  $\vec{\xi}$ , then  $\lambda^n$  is an
eigenvalue of  $\mathbf{B}^n$  with eigenvector  $\vec{\xi}$ .
\end{theorem}
```

↓Input

This gives the following output:

Theorem 6.1 (Eigenvector Powers) *If λ is an eigenvalue of \mathbf{B} with eigenvector $\vec{\xi}$, then λ^n is an eigenvalue of \mathbf{B}^n with eigenvector $\vec{\xi}$.*

↑Output

↓Output

4. In this example an algorithm structure is created. The commands `\hfill\par` are used to prevent the `tabbing` environment from running into the algorithm title.

```
\newtheorem{algorithm}{Algorithm}
```

↑Input

```
\begin{algorithm}[Gauss-Seidel Algorithm]
\hfill\par
\begin{tabbing}
1. \=For  $k=1$  to maximum number of iterations\\
\>2. For  $i=1$  to  $n$ \\
\>\>Set
\begin{math}
x_i^{(k)} =
\frac{b_i - \sum_{j=1}^{i-1} a_{ij}x_j^{(k)} - \sum_{j=i+1}^n a_{ij}x_j^{(k-1)}}{a_{ii}}
\end{math}
\end{math}
\\
\>3. If  $\|\vec{x}^{(k)} - \vec{x}^{(k-1)}\| < \epsilon$ ,
where  $\epsilon$  is a specified stopping criteria, stop.
\end{tabbing}
\end{algorithm}
```

↓Input

This will give the following output:

Algorithm 1 (Gauss-Seidel Algorithm)

↑Output

1. For $k = 1$ to maximum number of iterations
2. For $i = 1$ to n

$$\text{Set } x_i^{(k)} = \frac{b_i - \sum_{j=1}^{i-1} a_{ij} x_j^{(k)} - \sum_{j=i+1}^n a_{ij} x_j^{(k-1)}}{a_{ii}}$$
3. If $\|\vec{x}^{(k)} - \vec{x}^{(k-1)}\| < \epsilon$, where ϵ is a specified stopping criteria, stop.

↓Output

The last example doesn't look right, as algorithms tend to be displayed in an upright font not an italic font. The package `amsthm` extends the functionality of `\newtheorem` and provides three theorem styles:

[Typesetting pseudocode in L^AT_EX]

plain Title and number in bold, body in italic (default).

definition Title and number in bold, body in normal font.

remark Title and number in italic, body in normal font.

The above example can now be changed to:

```
\theoremstyle{definition}
\newtheorem{algorithm}{Algorithm}

\begin{algorithm}[Gauss-Seidel Algorithm]
\hfill\par
\begin{tabbing}
1. \=For $k=1$ to maximum number of iterations\\
\>2. For \=$i=1$ to $n$\\
\>\>Set
\begin{math}
x_i^{(k)} =
\frac{b_i - \sum_{j=1}^{i-1} a_{ij} x_j^{(k)} - \sum_{j=i+1}^n a_{ij} x_j^{(k-1)}}{a_{ii}}
\end{math}
\\
\>3. If $\|\vec{x}^{(k)} - \vec{x}^{(k-1)}\| < \epsilon$,
where $\epsilon$ is a specified stopping criteria, stop.
\end{tabbing}
\end{algorithm}
```

↑Input

↓Input

This will give the following output:

Algorithm 1 (Gauss-Seidel Algorithm)

↑Output

1. For $k = 1$ to maximum number of iterations
2. For $i = 1$ to n

$$\text{Set } x_i^{(k)} = \frac{b_i - \sum_{j=1}^{i-1} a_{ij} x_j^{(k)} - \sum_{j=i+1}^n a_{ij} x_j^{(k-1)}}{a_{ii}}$$
3. If $\|\vec{x}^{(k)} - \vec{x}^{(k-1)}\| < \epsilon$, where ϵ is a specified stopping criteria, stop.

↓Output

(You can [download](#) an example of this.)

Alternatively, if you want your algorithms to behave like figures and tables, you can use the `\newfloat` command defined in the `float` package:

```
\newfloat{<type>}{<placement>}{<ext>}[<outer counter>]
```

Definition

where `<type>` is the name of your new float, `<placement>` is the default placement specifier (`t`, `b`, `p` and `h`), `<ext>` is the extension for the list of `<type>` and as before, the presence of `<outer counter>` indicates that the counter associated with this new float should depend on `<outer counter>`.

You can also specify the style of your new floats by issuing the command:

```
\floatstyle{<style>}
```

Definition

before defining your new floats, where `<style>` can be one of:

plain Same as the standard `figure` and `table` floats, except that the caption is always placed at the end of the float.

boxed The body of the float is placed in a box, and the caption is printed below the box.

ruled The caption is printed at the top with a rule above and below it, and there is a rule at the end of the float.

The name associated with a float is defined using the command:

```
\floatname{<type>}{<name>}
```

Definition

where `<type>` is the name of the float environment (as defined in `\newfloat`) and `<name>` is the name associated with that float.

The list of `<type>` can be produced using the command:

```
\listof{<type>}{<title>}
```

Definition

So, instead of defining our `algorithm` environment using `\newtheorem`, we could instead define it using `\newfloat` as follows:

```
\floatstyle{ruled}
\newfloat{algorithm}{htbp}{loa}
\floatname{algorithm}{Algorithm}

\begin{algorithm}
\caption{Gauss-Seidel Algorithm}
\label{alg:GS}

\begin{tabbing}
1. \=For $k=1$ to maximum number of iterations\\
\>2. For \=$i=1$ to $n$\\
\>\>Set
\begin{math}
x_i^{(k)} =
\frac{b_i - \sum_{j=1}^{i-1} a_{ij} x_j^{(k)}}
{-\sum_{j=i+1}^n a_{ij} x_j^{(k-1)}} - a_{ii}
\end{math}
\\
\>3. If $\|\vec{x}^{(k)} - \vec{x}^{(k-1)}\| < \epsilon$,
where $\epsilon$ is a specified stopping criteria, stop.
\end{tabbing}
\end{algorithm}
```

↑ Input

[↓Input](#)

This would produce the following output:

Algorithm 1 Gauss-Seidel Algorithm

1. For $k = 1$ to maximum number of iterations
 2. For $i = 1$ to n
Set $x_i^{(k)} = \frac{b_i - \sum_{j=1}^{i-1} a_{ij}x_j^{(k)} - \sum_{j=i+1}^n a_{ij}x_j^{(k-1)}}{a_{ii}}$
 3. If $\|\vec{x}^{(k)} - \vec{x}^{(k-1)}\| < \epsilon$, where ϵ is a specified stopping criteria, stop.
-

The following line can then go after the list of figures and list of tables:

```
\listof{algorithm}{List of Algorithms}
```

(You can [download](#) an example of this.)

Chapter 7

Generating an Index or a Glossary

It is fairly straight-forward to create an index or glossary using \LaTeX , and using the `makeindex` application makes it even easier. It is a good idea to include a glossary in a thesis, particularly if there are any symbols or abbreviations in your document, as there are a number of different ways some symbols can be interpreted. For example, x' could mean the derivative of x or it could mean an updated value of x (or it could even mean the transpose of x , but in this case x should be formatted as a vector.) It is not wise to assume that your reader uses the same notation as you. It isn't quite so common to include an index in a PhD thesis, however, the \LaTeX user's guide [3] states that any nonfiction work of more than twenty pages ought to have an index.

7.1 Generating an Index

If you want to generate an index, you will need the command

```
\makeindex
```

in the preamble. The command

```
\index{<entry>}
```

is used to index `<entry>` at that point in the document. For example, the following code:

```
Eigenvectors\index{eigenvector} are defined \ldots
```

will produce the output

```
Eigenvectors are defined ...
```

and place the entry 'eigenvector' in the `.idx` file with the associated page number.

Note that if you don't use `\makeindex` in the preamble, no `.idx` file will be created and `\index` will ignore its argument.

The package `makeidx` provides the command

```
\printindex
```

which should be placed at the point in the document where you want your index to appear.

Provided you have used `\makeindex` and `\index`, once you have \LaTeX ed your document, there will be a file with the extension `.idx` containing all the indexing information as a series of `\indexentry` commands. This command is not defined by \LaTeX , so you should not input the `.idx` file into your document. The `.idx` file needs to be processed by an external application such as `makeindex` to create a file which contains all the \LaTeX commands necessary to typeset the index. This new file has the extension `.ind`, and it is this file which is input by `\printindex` on the next \LaTeX run.

If you are using `TeXnicCenter` you will need to select "uses `makeindex`" when you create a new project, if you are using a command prompt, you will need to do:

```
latex filename.tex
makeindex filename.idx
latex filename.tex
```

[Generating an index in (La)TeX]

Definition

Definition

↑Input

↓Input

↑Output

↓Output

Definition

(where `filename` is the base name of your source file, e.g. `thesis`) If you are also using `BIBTEX`, you will need to do:

```
latex filename.tex
bibtex filename
makeindex filename.idx
latex filename.tex
latex filename.tex
```

It's a good idea to have sub-entries within an index, to assist the reader. For example, you may want to index the term "matrix", but your document may mention many different types of matrices, such as diagonal, block or singular. In which case it would be better to index the term `matrix` for general occurrences, and have sub-entries indexing specific types of matrices, so that the `matrix` entry in the index would look something like:

```
matrix, 4, 10, 22–24
    diagonal, 12
    block, 20, 24
    singular, 33
```

A sub-entry can be generated using the `!` character. So the above can be generated using the following commands:

```
Preamble: \makeindex
Page 4:   \index{matrix}
Page 10:  \index{matrix}
Page 12:  \index{matrix!diagonal}
Page 20:  \index{matrix!block}
Page 22:  \index{matrix}
Page 23:  \index{matrix}
Page 24:  \index{matrix}
Page 24:  \index{matrix!block}
Page 33:  \index{matrix!singular}
End of Doc: \printindex
```

Note that the same entries on pages 22, 23 and 24 have been turned into a page range 22–24. For larger page ranges, you can specify the start of the page range by appending `|{` to the end of the index entry and the end of the page range by appending `|}` to the end of the index entry. For example:

```
Preamble: \makeindex
Page 4:   \index{matrix}
Page 10:  \index{matrix}
Page 12:  \index{matrix!diagonal}
Page 20:  \index{matrix!block}
Page 22:  \index{matrix|{ }
Page 24:  \index{matrix!block}
Page 30:  \index{matrix|)}
Page 33:  \index{matrix!singular}
End of Doc: \printindex
```

would produce the following output in the index:

```
matrix, 4, 10, 22–30
    diagonal, 12
    block, 20, 24
    singular, 33
```

An index entry can refer to another entry using `|see{<reference>}`¹. For example,

```
\index{singular matrix|see{matrix, singular}}
```

would produce the entry

singular matrix, *see* matrix, singular

The format of the page number can be changed using `|<style>` where `<style>` is the name of a formatting command *without* the backslash. Suppose in the above example, the term “matrix” is defined on page 10, then you may want the page number to appear in bold to indicate that this is a primary reference. The command `\textbf` produces bold text, so you would need to append `|textbf` to the index entry². For example, the code:

```
Preamble: \makeindex
Page 4: \index{matrix}
Page 10: \index{matrix|textbf}
Page 12: \index{matrix!diagonal}
Page 20: \index{matrix!block}
Page 22: \index{matrix|()}
Page 24: \index{matrix!block}
Page 30: \index{matrix|)}
Page 33: \index{matrix!singular}
End of Doc: \printindex
```

would produce the following output in the index:

```
matrix, 4, 10, 22–30
    diagonal, 12
    block, 20, 24
    singular, 33
```

Note that if you want to apply more than one formatting command, say you want the number to be bold and italic, then you should define a new command with one argument which will set the argument in that font, for example:

```
\newcommand{\textbfi}[1]{\textit{\bfseries #1}}
```

and then use this command name (without the backslash) in the `\index` command. (It is possible to do, say `\index{matrix|itshape\textbf}`, but since `\itshape` is a declaration, it will set the rest of your index in that shape, until counteracted by another font changing command. You definitely *must not* do something along the lines of `\index{matrix|textit\textbf}` since this will be equivalent to `\textit{\textbf}{<page number>}` which will of course produce an error from L^AT_EX since it is syntactically incorrect.)

The application `makeindex` sorts the index according to the entries specified, so the word “matrix” would come before the word “modulus”, but `μ` will be sorted on the characters `$`, `\`, `m`, `u` and then `$`, so μ would come before “matrix”. This may not be appropriate, so it is possible to specify how to sort the entry and how to format the entry separately using the `@` character:

```
\index{mu@$mu$}
```

In this case the sorting is performed on the string `mu`, so it will appear after the word “modulus”, but it will appear in the index as μ . For more information about generating an index see the L^AT_EX user’s guide [3], *The L^AT_EX Companion* [1] or *A Guide to L^AT_EX* [2].

¹This in fact tells `makeindex` to use the command `\see{<reference>}` which uses `\seename` to typeset the word “see”. The `babel` package will redefine this so that it uses the relevant translation, or you can redefine `\seename` using `\renewcommand`

²The argument to the formatting command will be the page number. In fact, `\see` takes two arguments, the first is the redirection text which you must supply within the argument of `\index` (as shown in the example) and the second argument is the page number which `\see` ignores

7.1.1 Troubleshooting

- My index hasn't appeared.
 1. Make sure you have the command `\printindex` at the place where you want the index to appear (this command is defined in the `makeidx` package).
 2. Make sure you have the command `\makeindex` in the preamble.
 3. Make sure you \LaTeX the document, then run `makeindex`, then \LaTeX the document again.
 4. Check `makeindex`'s log file (which has the extension `.ilg` by default) for error messages.
- I want to index the character `"`, `@`, `!` or `|` but it's not working.

If you want any of these symbols in your index, you will need to prepend the character with the double quote symbol `"`. For example:

```
\index{"@}
```

will index the `@` character.

- I have multiple entries of the same item. For example:

```
identity matrix, 10, 22-30
```

```
identity matrix, 4
```

Check to make sure the sort argument to each of the corresponding `\index` commands is the same, pay particular attention to spaces as `makeindex` will treat the following entries differently:

```
\index{identity matrix}
\index{identity matrix}
```

\LaTeX however, treats multiple spaces the same as a single space, so the text will appear the same in the index.

- \LaTeX says that the command `\printindex` is undefined.
You have forgotten to load the `makeidx` package.

7.2 Generating a Glossary

There are a number of packages available to assist creating a glossary, these include `makeglos` (analogous to `makeidx`), `nomencl`, `glossaries`³, `glosstex` and `gloss`. The first three use \LaTeX in conjunction with `makeindex`, `glosstex` uses \LaTeX in conjunction with `makeindex` and `glosstex` whilst `gloss` uses \LaTeX in conjunction with `BIBTeX`. This document only describes `glossaries`. If you are interested in using the others, you should read their accompanying documentation.

The `glossaries` package has the advantage over `makeglos` and `nomencl` in that you don't have to worry about escaping `makeindex`'s special characters as they are dealt with internally. The glossary information is set using keys and you can override the default plural form for plurals that aren't formed by appending the letter "s" to the singular form. In addition, you can specify alternative text for the first time the term is used in the document, and you can also define an associated symbol. This guide gives a brief overview of the `glossaries` package. For further details you will need to read the package documentation.

³The `glossaries` package has replaced the now obsolete `glossary` package

7.2.1 Defining Glossary Entries

Firstly, in order to make the glossary (or glossaries, if you have more than one) appear, you must use the command

```
\makeglossaries
```

Definition

in the preamble. This is analogous to the `\makeindex` command described [earlier](#).

Next you need to define the terms you want to appear in the glossary. Again, this must be done in the preamble. This is done using the command

```
\newglossaryentry{<label>}{<key-val list>}
```

Definition

The first argument `<label>` is a unique label to allow you to refer to this entry in your document text. The entry will only appear in the glossary if you have referred to it in the document using one of the commands listed later. The second argument is a comma separated `<key>=<value>` list. Available keys are as follows:

name The name of the entry (as it will appear in the glossary)

description A brief description of this term (to appear in the glossary)

text How this entry will appear in the document text where the singular form is required. If this key is omitted, the value of the **name** key is used.

first How this entry will appear in the document text the first time it is used, where the first use requires the singular form. If this field is omitted, the value of the **text** key is used.

plural How this entry will appear in the document text where the plural form is required. If this key is omitted, the value is obtained by appending the letter “s” to the value of the **text** key.

firstplural How this entry will appear in the document text the first time it is used, where the first use requires the plural form. If this field is omitted, the value is obtained by appending the letter “s” to the value of the **first** key.

symbol This key is provided to allow the user to specify an associated symbol, but most glossary styles ignore this value. If omitted, the value is set to `\relax`.

sort This value indicates how `makeindex` should sort this entry. If omitted, the value is given by the **name** key.

type This is the glossary type to which this entry belongs. If omitted, the main glossary is assumed.

For example, the following defines the term “set” and assigns a brief description. The term is given the label `set`. This is the minimum amount of information you must give:

```
\newglossaryentry{set}% the label
{name=set,           % the term
 description={a collection of objects} % a brief description
}
```

The following entry also has an associated symbol:

```
\newglossaryentry{U} % the label
{name={universal set}, % the term
 description={the set of all things} % a brief description
 symbol={\ensuremath{\mathcal{U}}} % the associated symbol
}
```

The following example uses the vertical bar symbol `|` which is one of `makeindex`’s special characters, but the `glossaries` package deals with it behind the scenes, so I don’t need to do anything special:


```
\newglossaryentry{card}% the label
{name=cardinality, % the term
 description={the number of objects within a set}, % brief description
 symbol={\ensuremath{|\mathcal{S}|}} % the associated symbol
}
```

The plural of the word “matrix” is “matrices” not “matrixs”, so the term needs the plural form set explicitly:

```
\newglossaryentry{matrix}% the label
{name=matrix, % the term
 description={a rectangular table of elements}, % brief description
 plural=matrices % the plural
}
```

The glossaries package also provides the shortcut command

```
\newacronym[<key-val list>]{<label>}{<abbrv>}{<long>} Definition
```

This is equivalent to:

```
\newglossaryentry{<label>}{type=\acronymtype,
 name={<abbrv>},
 description={<long>},
 text={<abbrv>},
 first={<long> (<abbrv>)},
 plural={<abbrv>s},
 firstplural={<long>s (<abbrv>s)},
 <key-val list>
}
```

Note that the glossary type is given as `\acronymtype`. This will be the main glossary by default. If you specify the package option `acronym` then a new glossary type will be created called `acronym` and `\acronymtype` will be set to this value.

7.2.2 Displaying Terms in the Document

Any glossary term that has been defined in the preamble using `\newglossaryentry` or `\newacronym`, as described above, can be used in the document text using one of the commands described in this section. Note the term will only appear in the glossary if it has been used, in the same way that when you are using `BIBTEX`, only those references you cite in the text will appear in the bibliography.

```
\glslink[<options>]{<label>}{<text>} Definition
```

This command adds the term given by `<label>` to the relevant glossary but instead of displaying the term in the document, it displays `<text>` at that point. If you have hyperlinks enabled (for example, you are using the `hyperref` or `html` package) the `<text>` will be a hyperlink to the relevant entry in the glossary. The optional argument `<options>` is a comma separated `<key>=<value>` list which may take any of the following keys:

format This specifies how to format the associated number for this entry. It is equivalent to the `\index |` special character, described in Section 7.1, where, as with `\index`, you should not include the initial backslash. As before, if you want to specify, say bold italic, you will need to define a new command to do this. Again you may also use (and) to denote a page range.

If you are using the `hyperref` or `html` package, you will need to use one of the `\hyper<xx>` commands that are defined by the `glossaries` package, such as `\hyperbf`, if you want to retain a hyperlink. If you instead use `\textbf` you will lose the hyperlink. See the `glossaries` documentation for further details.

counter This specifies which counter to use for the associated number in the glossary entry. This is usually the page number, but can be changed to, say, the section in which the term is used.

hyper This is a boolean key which can be used to enable/disable the hyperlink to the relevant entry in the glossary. Note that setting `hyper=true` will only have an effect if hyperlinks are supported (through loading the `hyperref` or `html` packages.)

`\gls[<options>]{<label>}[<insert>]`

Definition

This is the same as `\glslink` except that the link text is determined from the value of the `text` or `first` keys supplied when the term was defined by `\newglossaryentry`. The first optional argument is the same as that for `\glslink`. The final optional argument `<insert>` allows you to insert some additional text into the link text. By default, this will append `<insert>` to the end of the link text. One of the examples above defined a new glossary entry labelled `matrix`. Suppose in my document I want to write, say, “the matrix’s dimensions are given by n and m ”, then I can do:

```
the \gls{matrix}[’s] dimensions are given by $n$ and $m$
```

The text “matrix’s” will appear as a link. Of course, you can simply do:

```
the \gls{matrix}’s dimensions are given by $n$ and $m$
```

If there are no hyperlinks (you haven’t loaded `hyperref` or `html`) then there will be no noticeable difference between the two lines of code above⁴. If you do have hyperlinks enabled then the second way will look a little odd if you use the `colorlinks` `hyperref` option, and will look ugly if you use the default boxed link style.

`\Gls[<options>]{<label>}[<insert>]`

Definition

This is like `\gls` except that the first letter of the link text is converted to upper case in the event that the term appears at the start of a sentence.

`\GLS[<options>]{<label>}[<insert>]`

Definition

This is like `\gls` except that the entire link text is converted to upper case. This is less useful as you shouldn’t use this command in titles or page headers in the same way that you shouldn’t put commands such as `\index` in similar places. If you want a glossary term to appear in a heading or title you should use

`\glsdisplaytext{<label>}`

Definition

This produces the value assigned with the `text` key when the entry was defined, but it does not add any information to the glossary nor does it generate a hyperlink. There are also analogous commands `\Glsentrytext` (make the first letter upper case), `\glsentryfirst` (the value assigned with `first` key) and `\Glsentryfirst` (as previous, but makes the first letter upper case.)

`\glspl[<options>]{<label>}[<insert>]`

Definition

This is analogous to `\gls` but produces the plural form as specified by either the `plural` or `firstplural` keys. Again there are analogous commands

`\Glspl[<options>]{<label>}[<insert>]`

Definition

`\GLSpl[<options>]{<label>}[<insert>]`

Definition

⁴assuming you haven’t changed the way in which the inserted text is added.

The same caveats above apply here. If you want plural forms in headings then use `\glsentryplural{<label>}` or `\Glsentryplural{<label>}`, which are analogous to `\glsentrytext` and `\Glsentrytext`, or `\glsentryfirstplural{<label>}` or `\Glsentryfirstplural{<label>}`, which are analogous to `\glsentryfirst` and `\Glsentryfirst`.

You can add a line to the glossary without generating text using

```
\glsadd[<options>]{<label>} Definition
```

The optional argument is the same as that for `\glslink` except that the `hyper` key has no meaning since no text is generated by the command.

If you want to add all the entries you have defined for a given glossary, you can do so using

```
\glsaddall[<glossary list>] Definition
```

If you have defined additional glossaries, you can specify to add only those entries which belong to the glossaries listed in `<glossary list>`.

7.2.3 Displaying the Glossary

To display the glossary, you can either use

```
\printglossary[<options>] Definition
```

or

```
\printglossaries Definition
```

at the point where you want the glossaries to appear. It is simpler to use just `\printglossaries` which will display the glossaries in the order in which they were defined, otherwise you will need to specify a separate `\printglossary` for each glossary.

The optional argument to `\printglossary` is a `<key>=<value>` list of options, where the following keys are defined:

type The value of this key specifies which glossary to display. If omitted the main glossary is assumed.

title The glossary's title (overriding the title specified when the glossary was defined.)

toctitle The title for the table of contents (if the `toc` package option is used.)

style The glossary style to use for this glossary. There are many predefined styles available, check the glossaries documentation for details.

Remember that if you use the `acronym` package option, your document will have at least two glossaries, so if you don't use `\printglossaries` you would need to do

```
\printglossary[type=acronym]
```

to ensure that the list of acronyms appears.

7.2.4 Generating the Glossary Files

As with [creating bibliographies with BIB_TE_X](#) and [creating an index with makeindex](#) it is necessary to use an external application in order to generate the files containing the glossaries which are then loaded by `\printglossary` or `\printglossaries`. In this case we again use `makeindex` but more information is required than was necessary to create an index. If you miss this step, or if something goes wrong with this step, the glossary will not appear in the document.

Recall that to make the glossaries appear, it was necessary to use the command `\makeglossaries`. This performs two functions: firstly, it allows the glossary information to be written to external files, secondly, it generates a customized `makeindex .ist` style file. This file needs to be passed to `makeindex` via the `-s` switch. In addition, you need to specify the output file, and `makeindex` needs to be called *separately* for each glossary. If you have multiple glossaries, but you specify them all in a single call to `makeindex` then it will concatenate all the entries into a single glossary. If your main document file is called, say `thesis.tex`, then to create the main glossary you will need to do:

```
makeindex -s thesis.ist -t thesis.glg -o thesis.gls thesis.glo
```

If you have specified the `acronym` package option you will also need to do:

```
makeindex -s thesis.ist -t thesis.alg -o thesis.acr thesis.acn
```

If you have created any additional glossaries, you will need to do something similar for each additional glossary. This is fairly cumbersome, so the `glossaries` package comes with a Perl script which will automate this process for you. All you have to do is specify the name of the auxiliary file *without* the extension, and `makeindex` will be called the required number of times with the necessary settings.

If you want to add any extra information to the start or end of the glossary, you can redefine the commands `\glossarypreamble` and `\glossarypostamble`. The latest version of the `glossaries` package can be downloaded from [CTAN](http://theoval.cmp.uea.ac.uk/~nlct/latex/packages/) or from <http://theoval.cmp.uea.ac.uk/~nlct/latex/packages/>. The `glossaries` package has a FAQ available at <http://theoval.cmp.uea.ac.uk/~nlct/latex/packages/faq/>.

You can [download](#) for an example of how to create a list of acronyms or you can [download](#) for an example of how to create a glossary containing symbols.

Chapter 8

Too Many Unprocessed Floats

A common problem PhD student’s encounter when writing a thesis is the “too many unprocessed floats” error. This is usually caused by having too many figures and tables in the results chapter and not enough surrounding text. If this happens, there are a number of things you can try doing:

[“Too many unprocessed floats”]

1. Make sure you haven’t been too restrictive in where you want your floats to go. If you use a placement specifier, give L^AT_EX as many options as possible. For example:

```
\begin{figure}[htbp]
```

which indicates that the figure can be placed “here” (**h**), at the top of a page (**t**), at the bottom of the page (**b**) or on a page solely consisting of floats (**p**). If you just use the **h** placement specifier then you are stating: “I want it *here* and *nowhere else!*” If T_EX can’t put it *exactly here*, then you have given no alternative place to put it, and it won’t get placed anywhere, unless a `\clearpage` command is issued, at which point all remaining unprocessed floats will be dumped at that point. If you are determined that an image must be placed *exactly here* then it should not be placed in a floating environment.

2. Try increasing the amount of text in the chapter. Remember that you should never simply print all the figures and tables in a results chapter without discussing them to some extent.
3. If all else fails, try using the `\clearpage` command. This forces all unprocessed floats to be processed immediately, and start a new page. This may result in the page ending prematurely, if you wish to avoid this, you can use the `afterpage` package, and use the command:

```
\afterpage{\clearpage}
```

For other problems, check the [FAQ](#) on the T_EX Archive [4].

Chapter 9

General Thesis Writing Advice

This chapter is not specific to L^AT_EX. Some of the points have already been mentioned in asides or footnotes. Remember that each college or university or even school within a university may have different requirements, and requirements will also vary according to country, so some of this advice may not apply to you. I am writing from the point of view of an English scientist, and am basing it on my own experience and on the comments of English science-based PhD examiners and supervisors. I cannot guarantee that your own department or university will agree with them.

1. Find out the thesis style requirements from your supervisor or your department's website. Many universities still require double-spaced, single sided documents with wide margins. Double-spacing is by and large looked down on in the world of typesetting, but this requirement for a PhD thesis has nothing to do with aesthetics or readability. In England the purpose of the PhD viva is to defend your work¹. Before your viva, paper copies of your thesis are sent to your examiners. The double spacing and wide margins provide the examiners room to write the comments and criticisms they wish to raise during the viva, as well as any typographical corrections. Whilst they could write these comments on a separate piece of paper, cross-referencing the relevant page in the thesis, it is more efficient for the comments to actually be on the relevant page of the thesis. That way, as they go through the manuscript during your viva, they can easily see the comments, questions or criticisms they wish to raise alongside the relevant text. If you present them with a single-spaced document with narrow margins, you are effectively telling them that you don't want them to criticise your work!
2. Don't try to pad your thesis with irrelevant information. This includes adding items in your bibliography that are not referenced in the text, adding figures or tables that are not explained in the text, and supplying all the source code you have written. The outcome of your viva will not depend on the physical size of your thesis, but on the clarity of your writing and on the quality of your work.
3. Clearly delineate your thesis through the use of chapters and sections, outlining your original aims and objectives, an overview of the subject matter including references to other people's work in the area, the methods you employed to extend or innovate the field, your results and conclusions.
4. Make sure your references include some recent journal or conference papers to illustrate that you are aware of new developments in your field. Remember that due to the nature of publishing, most books are dated by the time they reach the book shelves. Journal and conference papers are likely to be more up-to-date².
5. Always explain acronyms, technical terms and symbols. It is a good idea to include a glossary of terms, list of notation or list of acronyms to avoid confusion.
6. If you have equations, make sure you explain the variables used, and how you go from one equation to the next. Depending on your field, you might also

¹I gather this is not the case in some other countries, where the viva is more informal, and the decision to pass or fail you has already been made before your viva.

²Having said that, I know someone who submitted an article to a journal, and it took three and a half years before the reviewers came back with comments. In the end, the author withdrew the manuscript because by that time the topic was out of date.

consider clarifying the mathematics by providing graphical representations of the equations³.

7. If you include any graphs, bar charts, pie charts or any other form of data plot, make sure it is clearly labelled and no distortion is introduced (such as using three-dimensional bar charts or pie charts⁴.)
8. If you have used a computer application to generate numerical results, make sure you have some understanding of the underlying process and what the results mean. This doesn't necessarily mean that you need to understand complex computer code, or complex algorithms, but what you shouldn't do is say something along the lines of "well, I clicked on this button, and it said $m = 0.678$." What is the purpose of the button? What does m represent? What does the result $m = 0.678$ signify? What value were you expecting or hoping to get? Numbers on their own are meaningless. If I ran into a room shouting "I've got 42!" What does that mean? Forty-two what? Forty-two brilliant reviews? (Great!) Forty-two percent in an exam? (Not good.) Forty-two spots on my face? (Very bad!)
9. Don't waste time worrying about the best way to word your thesis. Write first, then edit it later or you will never get started.
10. If your supervisor offers to edit chapters of your thesis, take them up on their offer! Such offers are not made out of politeness, but a desire to ensure that you pass. Don't be embarrassed and worry that it's not good enough, that's the whole point in your supervisor helping you improve it⁵.
11. Write in a clear concise manner. A thesis is a technical document, not a novel, so don't be tempted to write something along the lines of: "I awaited with bated breath, my whole body quivering with excitement at the eager anticipation that my algorithm would prove superior to all others, and, oh joy, my experiments proved me right."
12. Don't decorate your thesis with irrelevant clip art. It is unprofessional and highly inappropriate in the sciences.
13. Make regular back-ups of your work. Be prepared for any of the following: accidentally deleting your thesis, accidentally overwriting your thesis with another file, software failure, hardware failure, fire and theft.

Items 9 and 10 above were supplied by Dr Gavin Cawley⁶ who has been both a PhD supervisor and examiner.

³When I was a PhD student, I was once rendered speechless when asked to provide a graphical illustration of an equation involving a quadruple summation that had no graphical meaning from my point of view. Perhaps this was a drawback of being a mathematician doing a PhD in an electronics department.

⁴The sole purpose of 3D pie charts or bar charts appears to be to look pretty and impress people who have no understanding of mathematics.

⁵but don't expect your supervisor to actually write your thesis!

⁶School of Computing Sciences, University of East Anglia

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