

Vorlesung

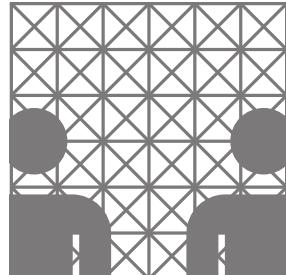
Sprachdialogsysteme

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<https://nats-www.informatik.uni-hamburg.de/SDS20>

Universität Hamburg, Department of Informatics
Language Technology Group



Heute

- Fragen zum Dialogmanagement?
- inkrementelle Sprachverarbeitung

Warum inkrementelle Sprachverarbeitung?

Mensch-Computer Interaktion



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Gesprochene Sprache



Gesprochene Sprache



Sprache entwickelt
sich über die Zeit

→ Herausforderung
und Lösung in einem

Menschen sprechen *responsiv*.



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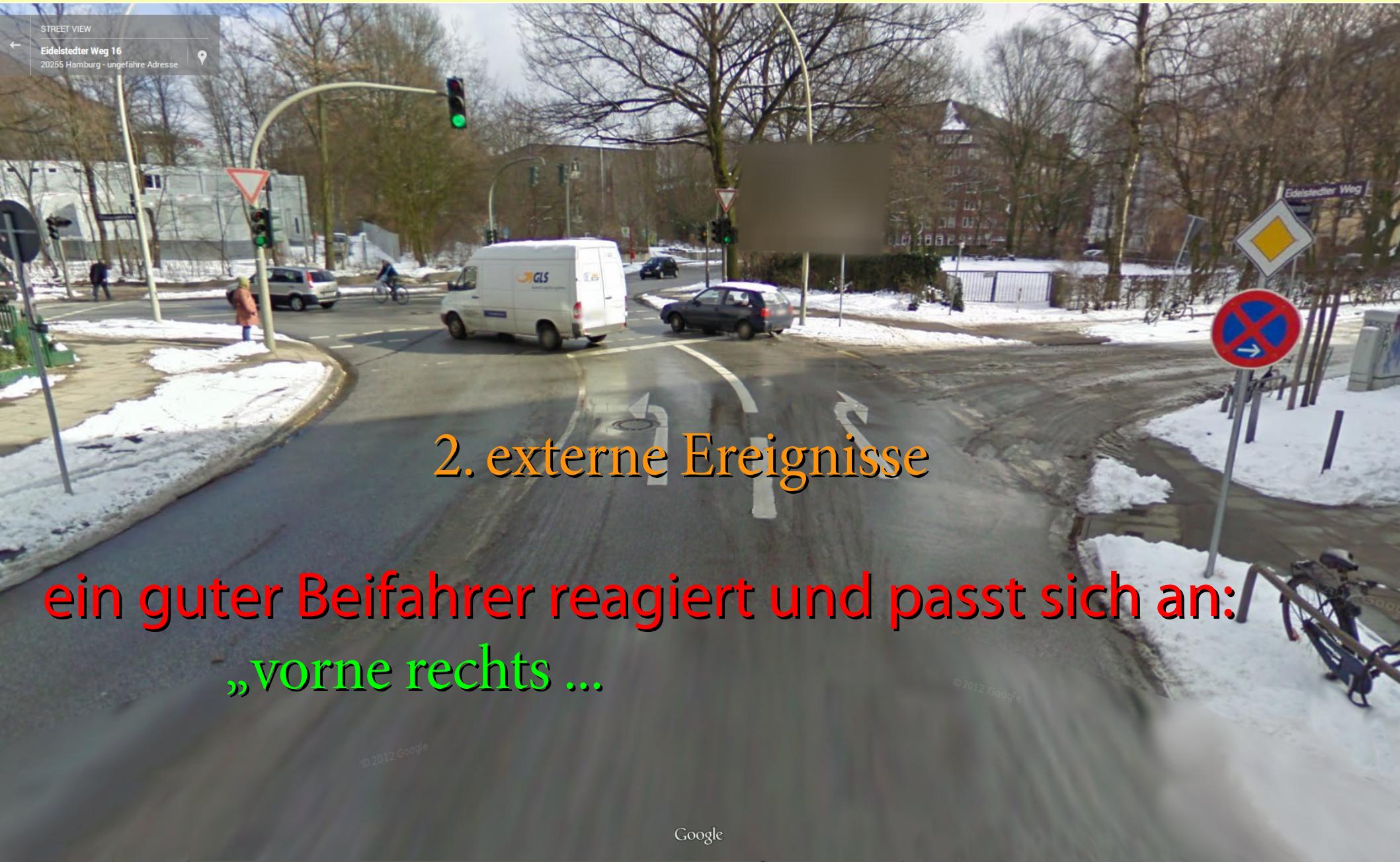
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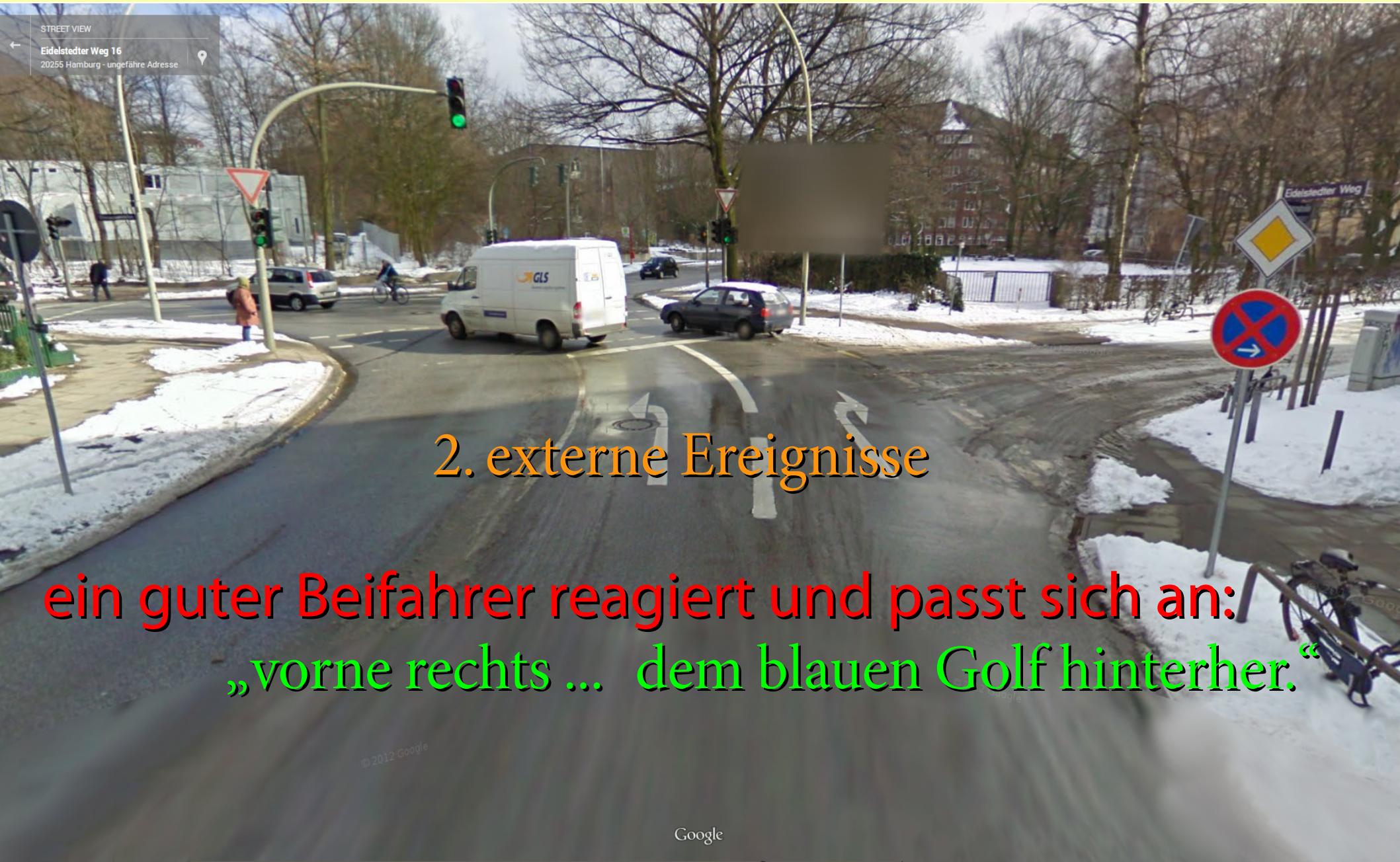
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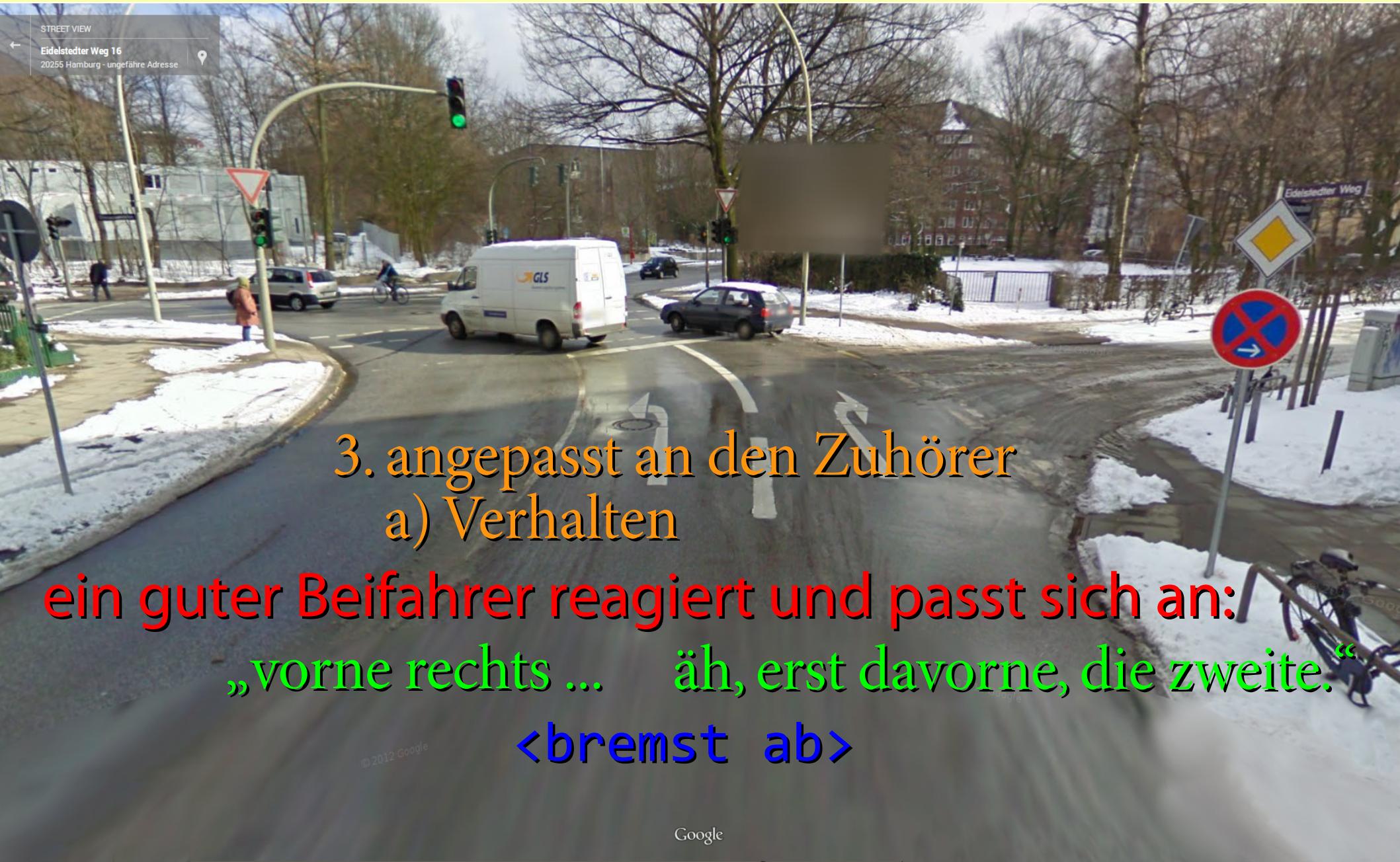
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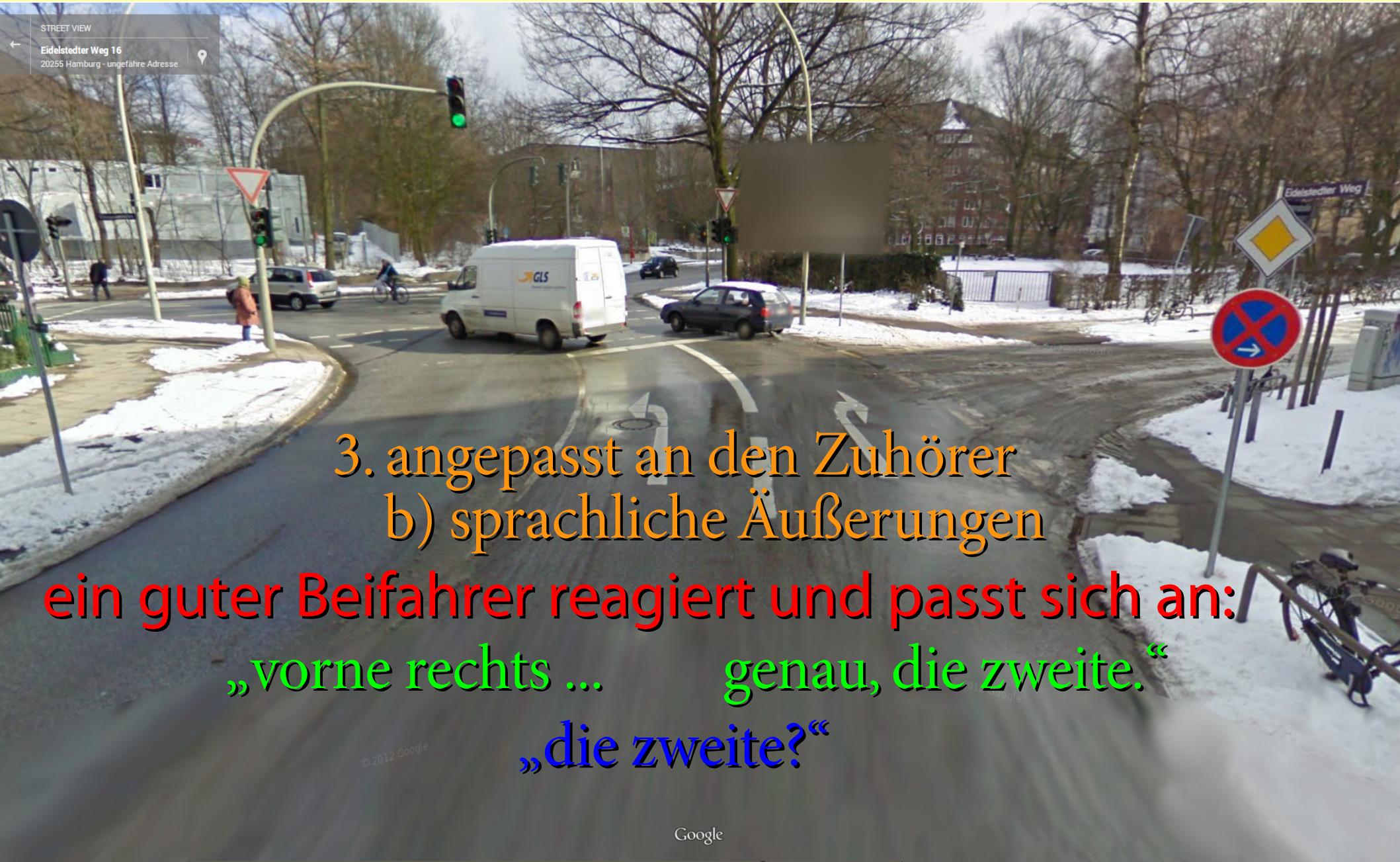
Menschen sprechen *responsiv*.



3. angepasst an den Zuhörer
b) sprachliche Äußerungen

ein guter Beifahrer reagiert und passt sich an:
„vorne rechts ...“

Menschen sprechen *responsiv*.



Zwischenfazit zur Sprachinteraktion

* bzw. nur sehr eingeschränkt (Baumann 2013 Diss, Baumann&Schlangen 2013,...)

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1. Sprache entwickelt sich über die Zeit
 2. die Welt ändert sich, während wir sprechen
 3. wir ändern uns, während mit uns gesprochen wird
 - Fähigkeit während des Sprechens umzuplanen
 - Fähigkeit während des Zuhörens zu planen & agieren
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- Lösung: schritthalrende (inkrementelle) Verarbeitung ermöglicht Responsivität in einer veränderlichen Welt
 - ➔ Computersysteme sind dazu bisher nicht* fähig

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weitere Szenarien

- maschinelles Simultandolmetschen
 - v.a. internes Umplanen (Baumann et al., 2014 IWSLT)
 - Dialoginteraktion Mensch ↔ Roboter
 - v.a. externe Ereignisse (Baumann&Lindner, 2015 ICSR)
 - Interaktion mit konversationalen Dialogsystemen
 - v.a. Anpassung an Nutzerfeedback
(Buschmeier et al., 2012, SigDial; Baumann et al., 2013 ESSV)
- praktisch jegliche Sprach*interaktion* profitiert von responsivem Verhalten

vorherrschende Form der
Mensch-Maschine-Sprachinteraktion

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Ping - Pong



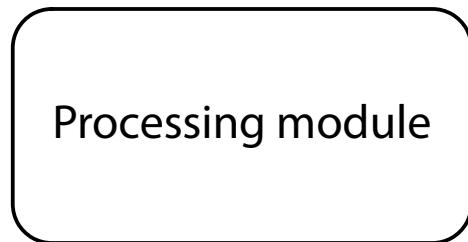
schritthaltende / inkrementelle Verarbeitung: Definition

eine inkrementelle Verarbeitungskomponente

- verarbeitet Eingaben Stück-für-Stück
- generiert (vorläufige) Ausgaben bevor die Eingabeverarbeitung abgeschlossen ist

Incremental vs. Non-incremental Processing

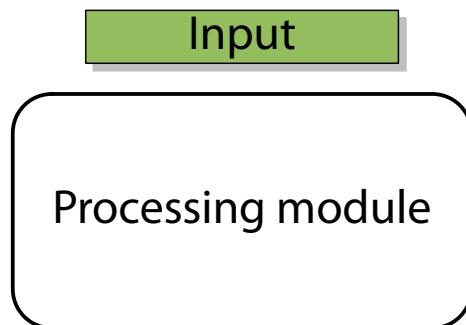
- herkömmliche Verarbeitung:



- Verarbeitung beginnt mit Abschluss der Eingabe → Delay!
 - modulares System: Delays summieren sich

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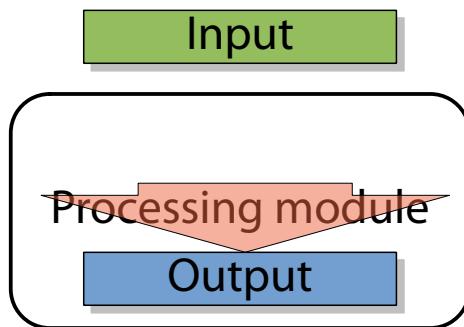
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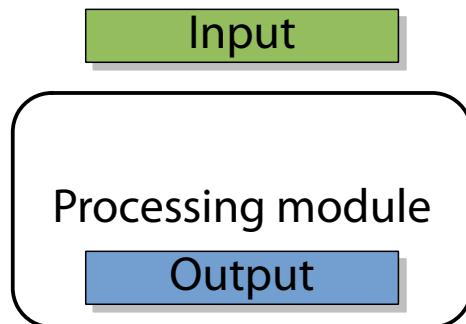
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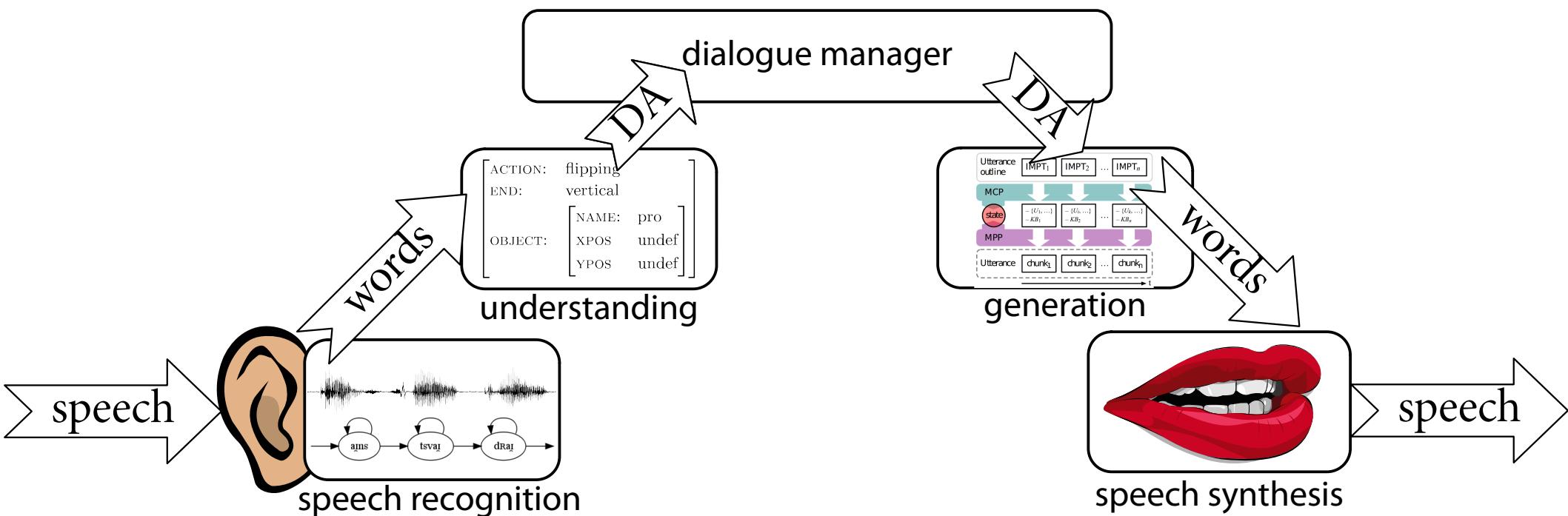
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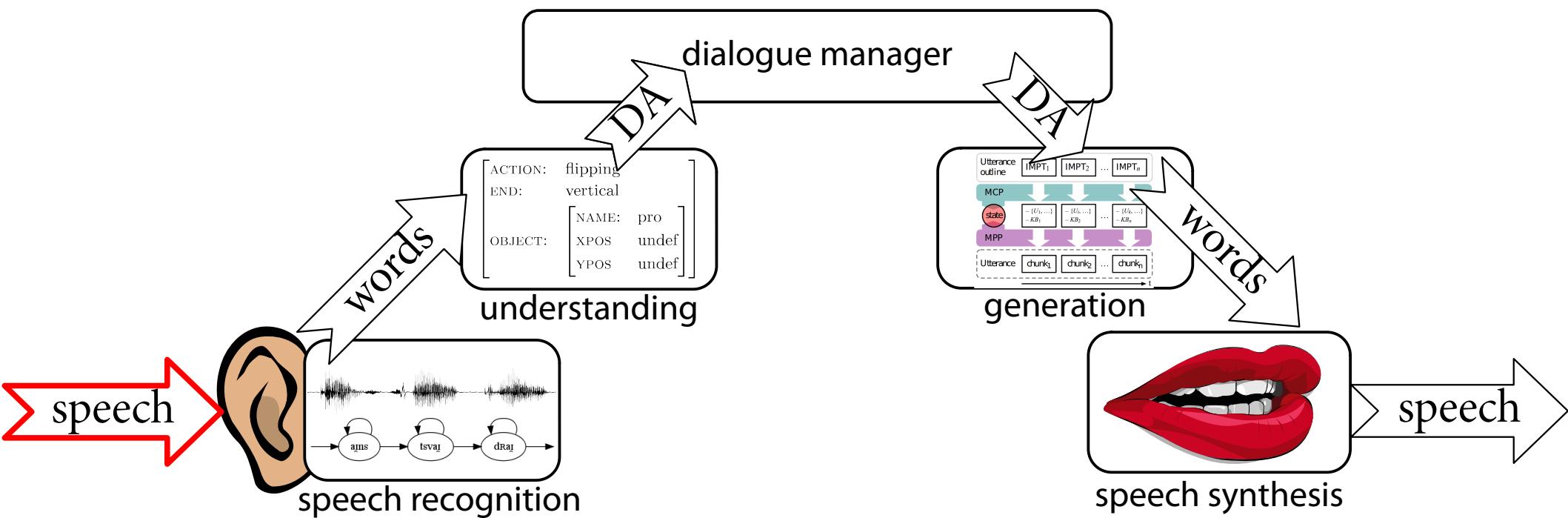
Paradigma: Modulare Verarbeitung

- Verarbeitung gesprochener Sprache ist komplex
→ Teile-und-herrsche durch spezialisierte Module
- Pipeline-Verarbeitung, ein Modul nach dem anderen



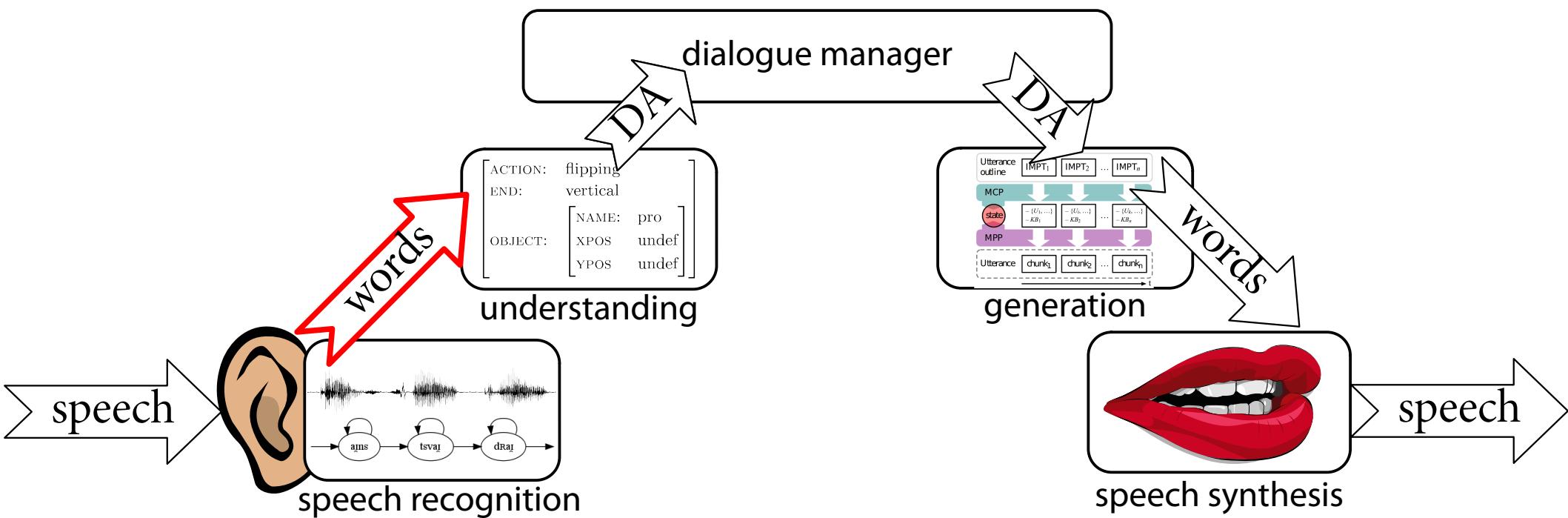
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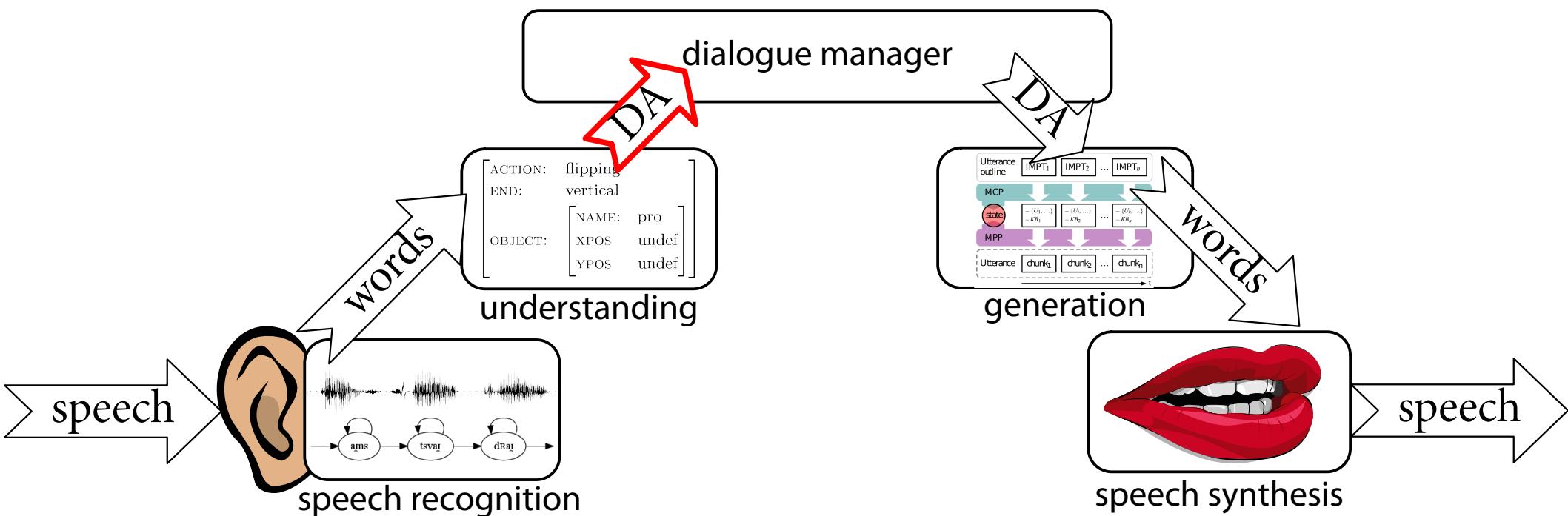
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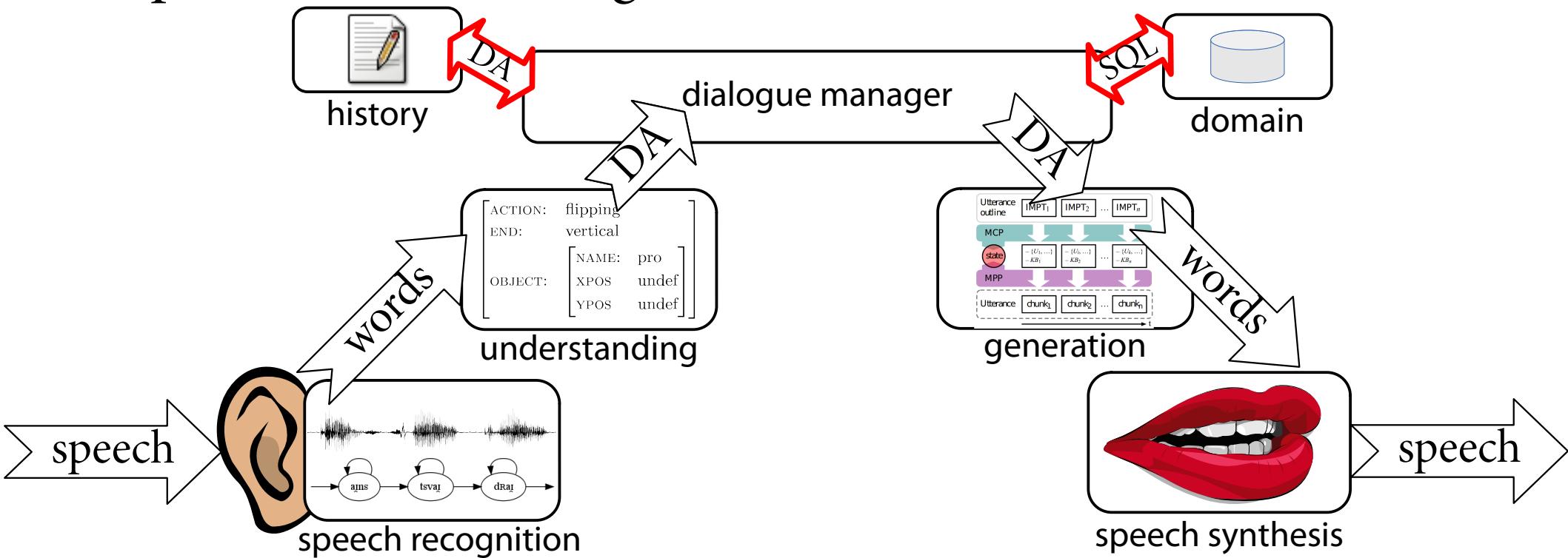
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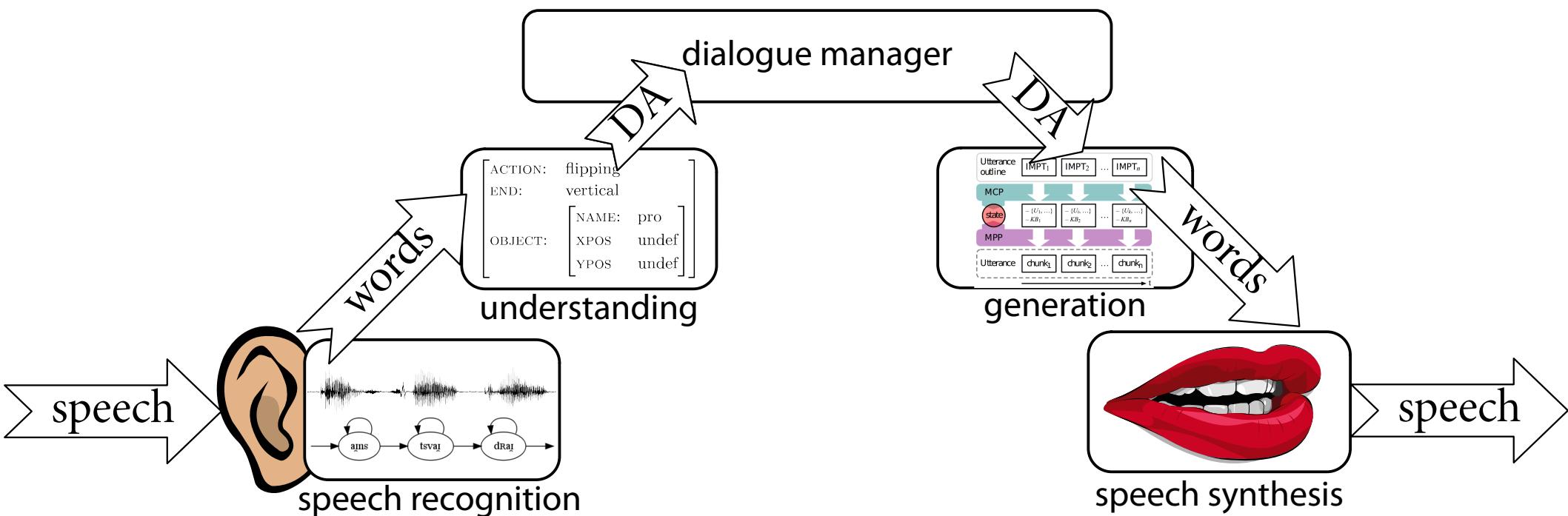
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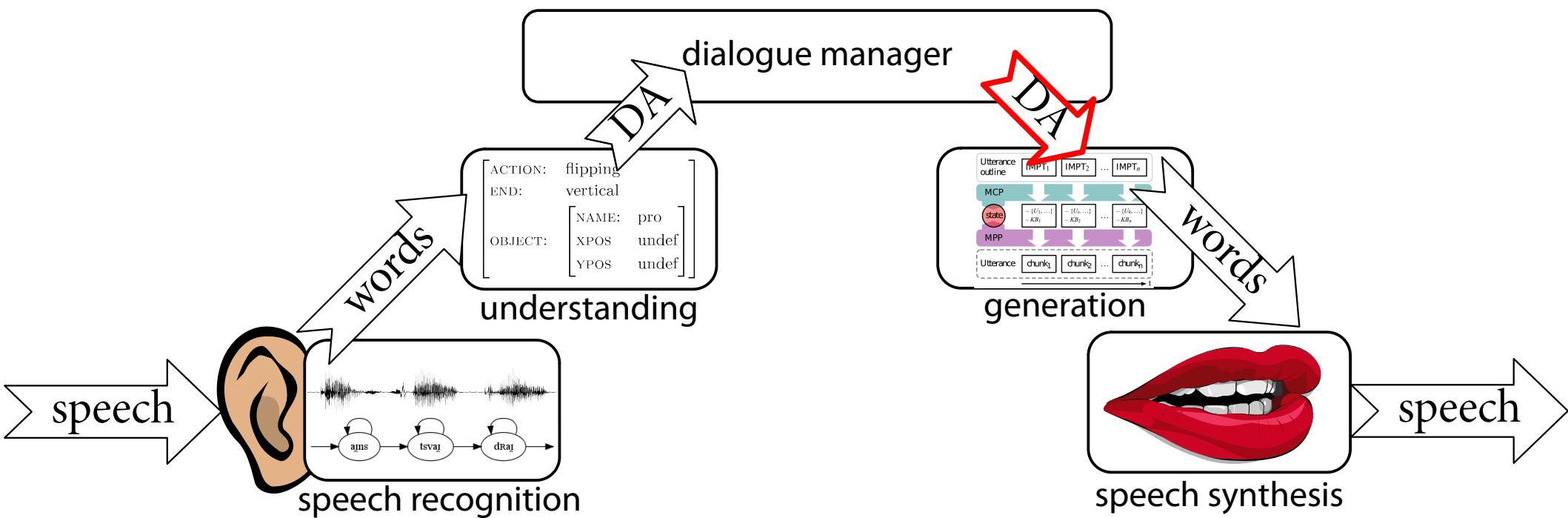
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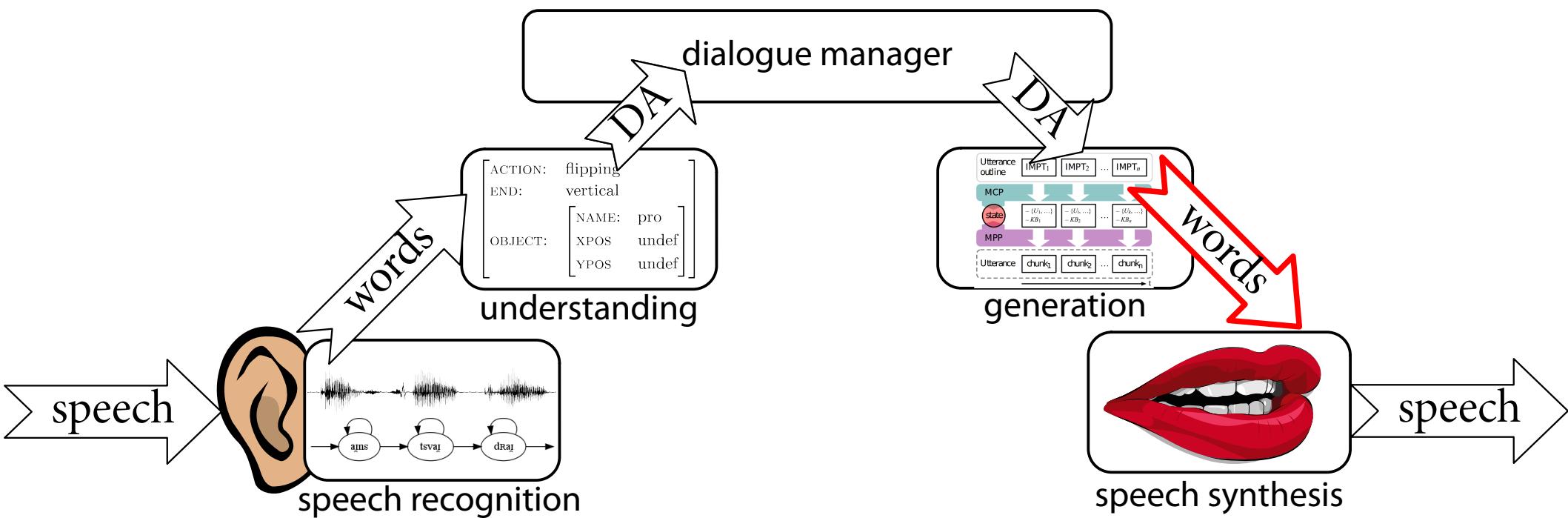
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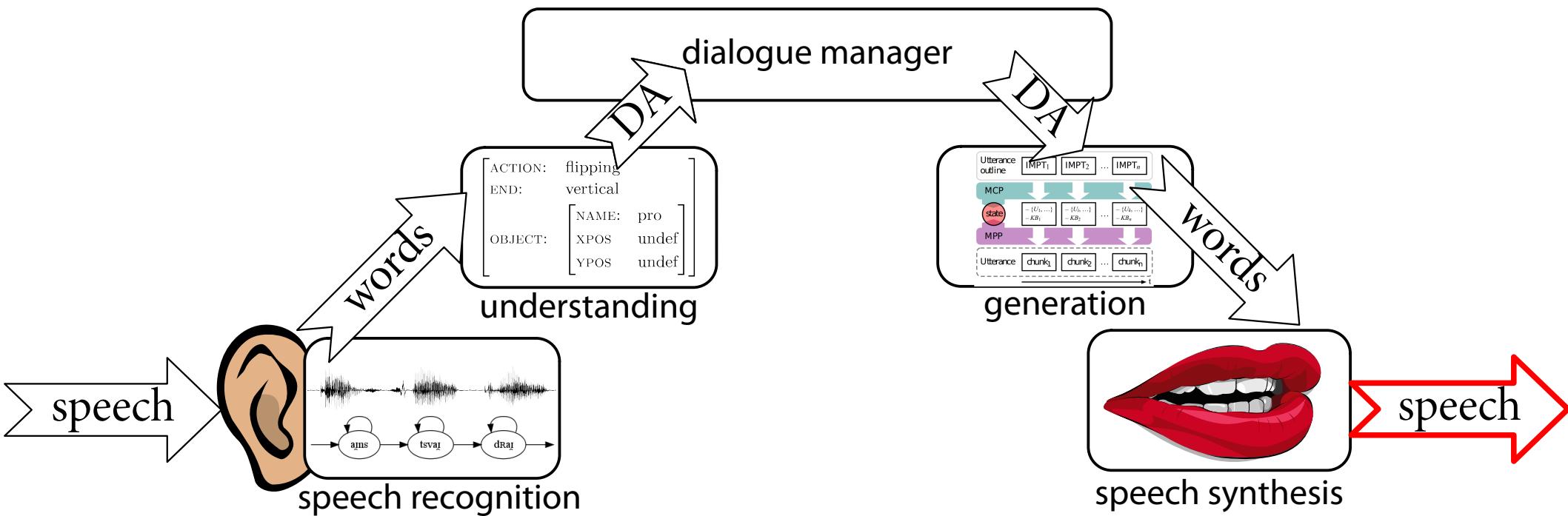
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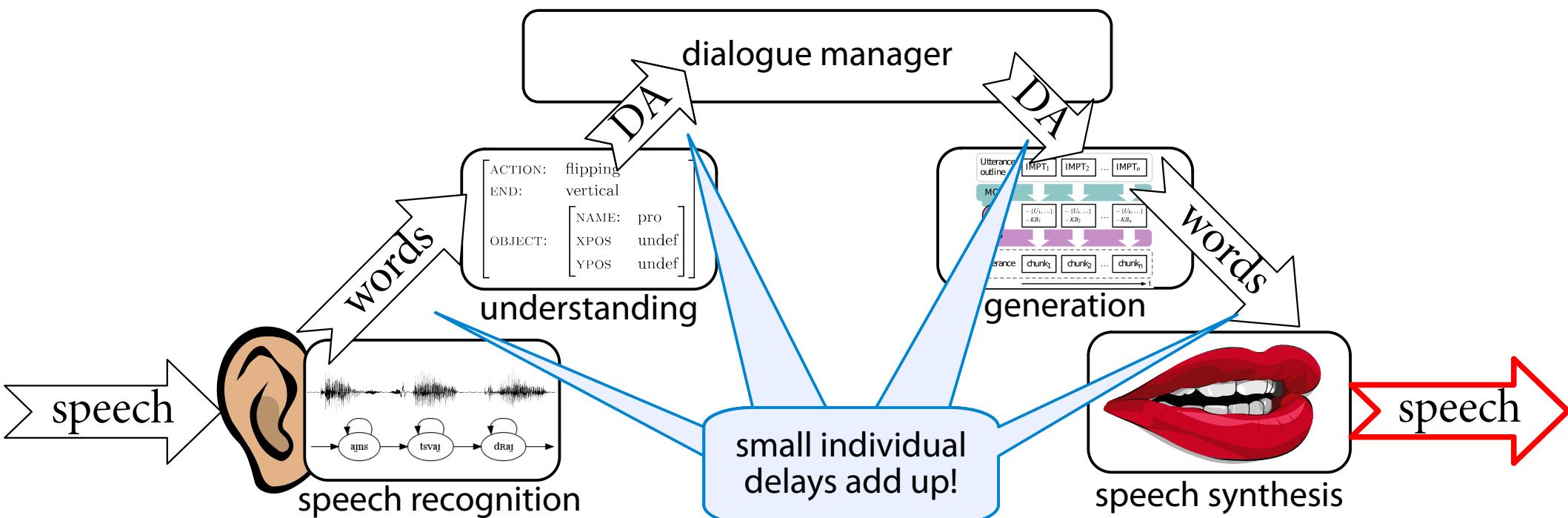
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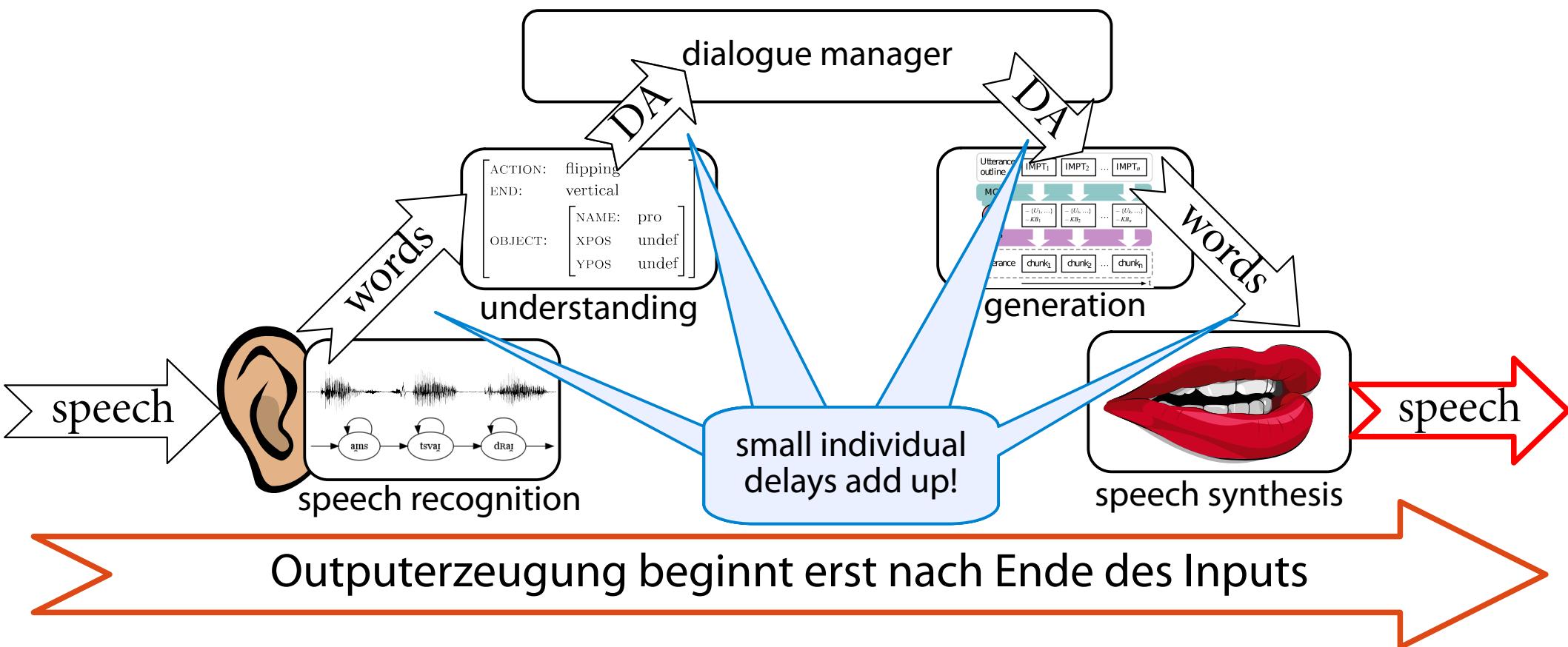
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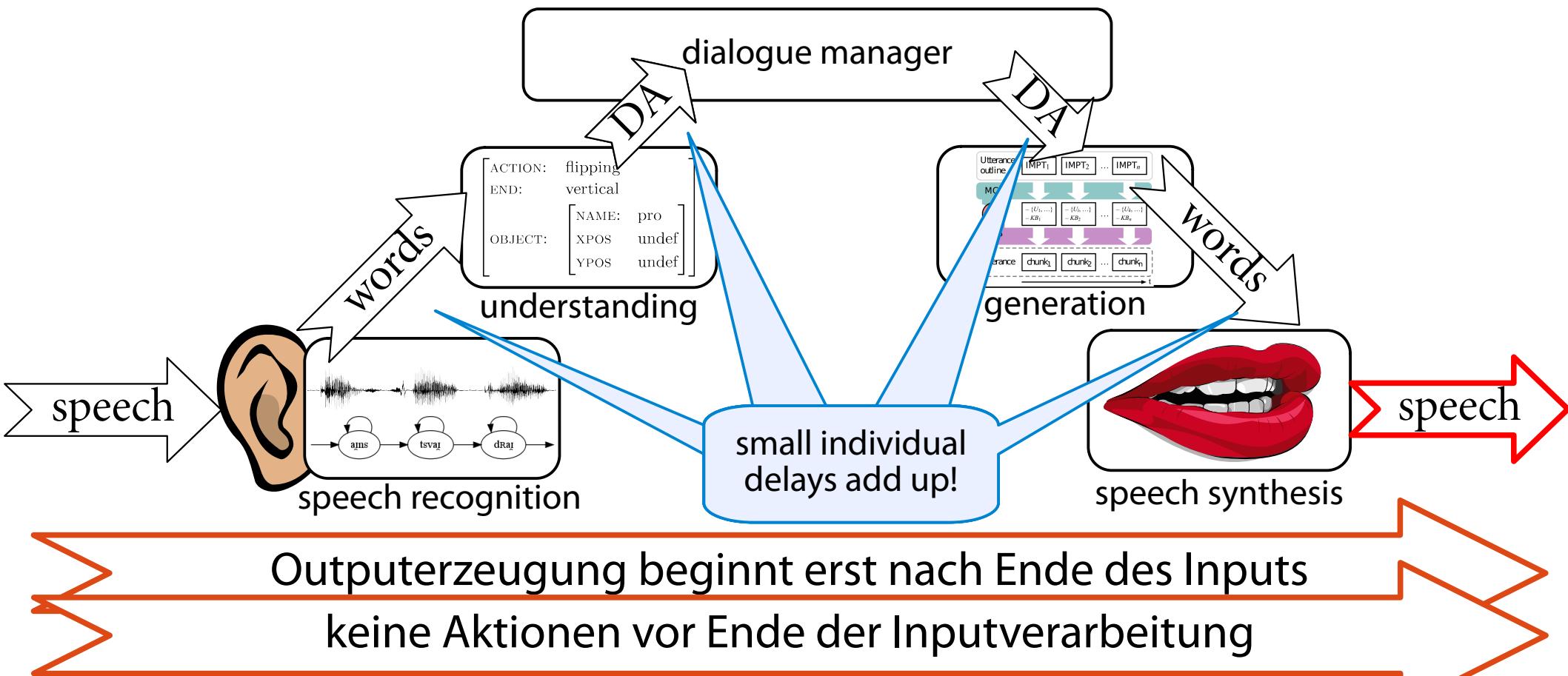
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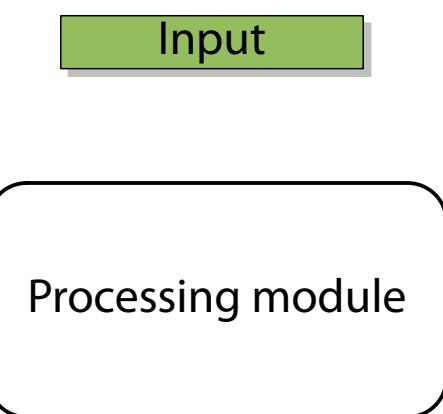


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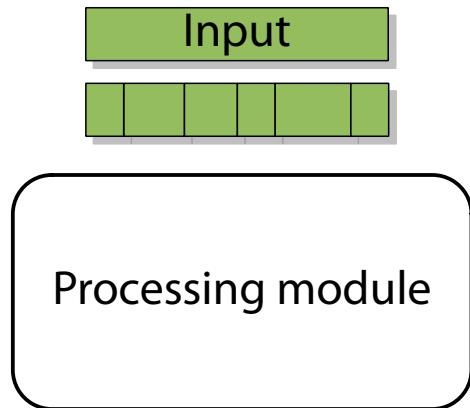
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Incremental Processing

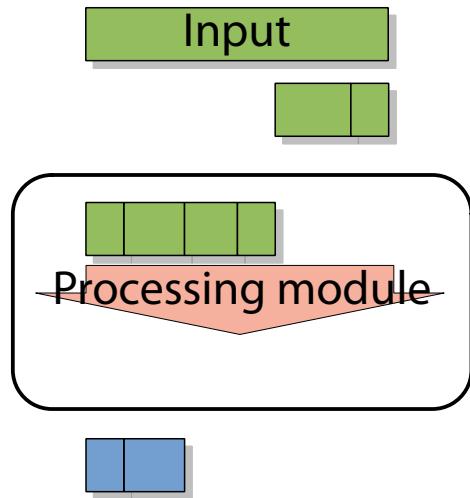


Incremental Processing



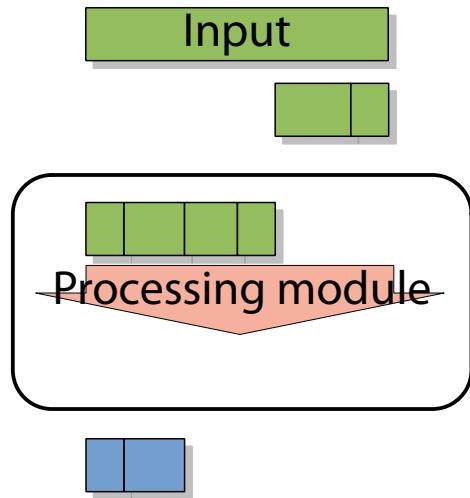
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- Eingabeeinheiten können zu größeren Ausgabeeinheiten zusammengefasst werden

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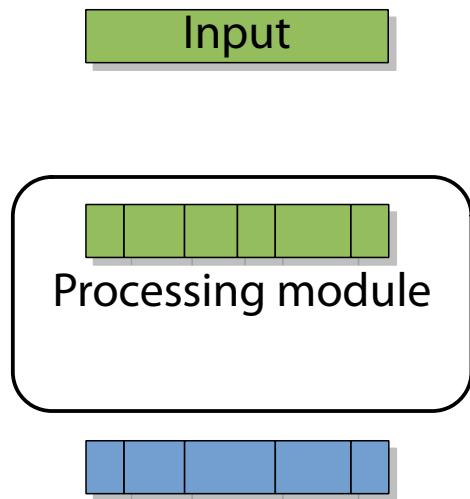
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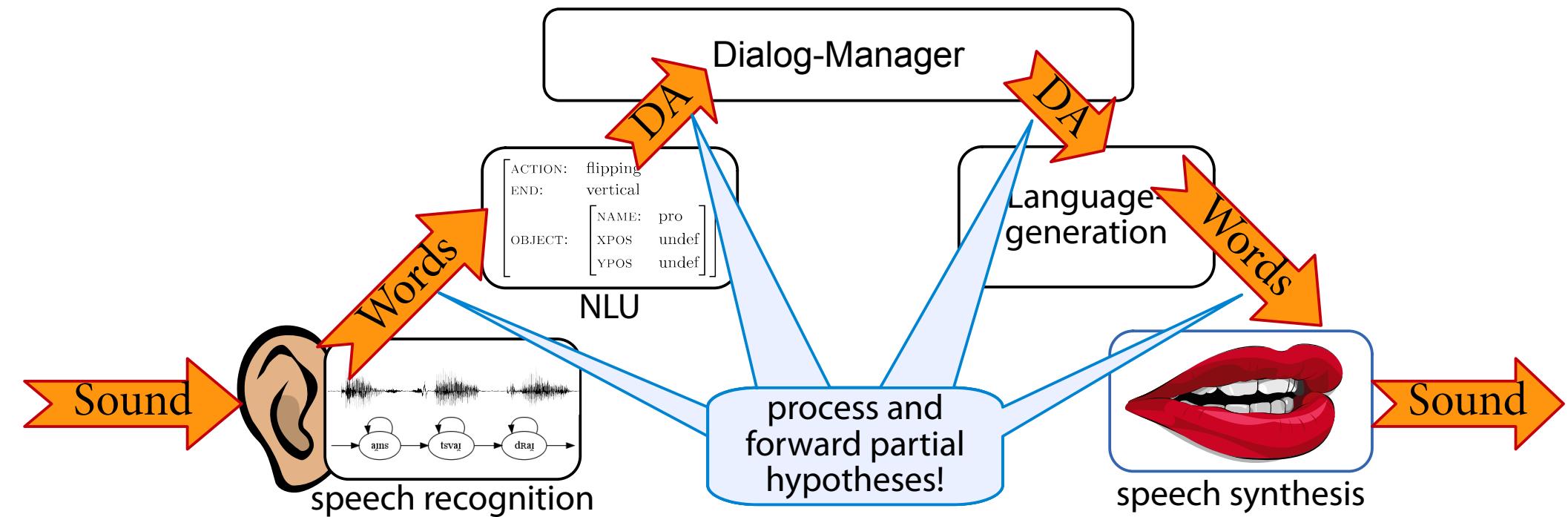
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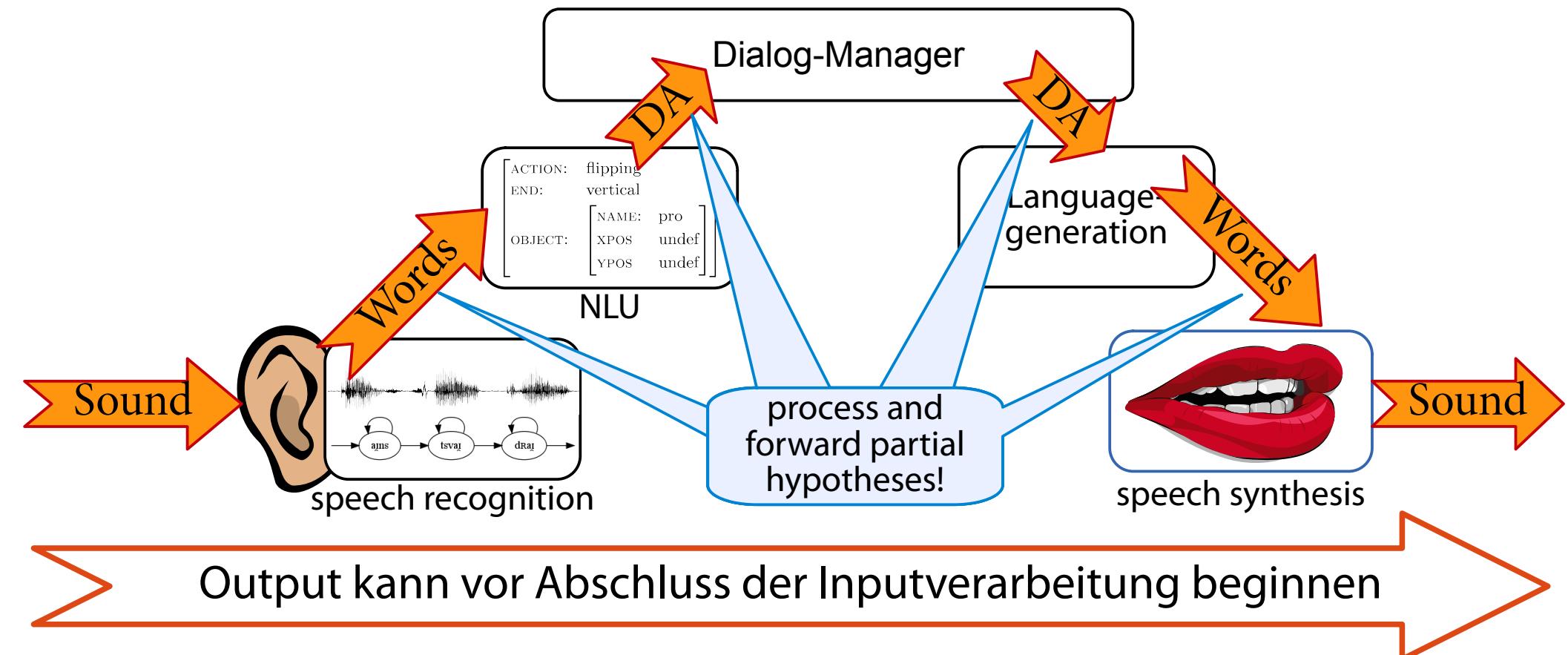


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Herausforderung Inkrementalität

four

[f O 6]

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- Hypothesen basieren nur auf dem *was bereits bekannt ist*
 - mehr Kontext kann Ergebnisse beeinflussen
- example: speech recognition
 - input: [f O 6] → this sounds like “four”!
 - addition of [t i:] → together, this sounds like “fourty”!
 - what happens if [n] is next?

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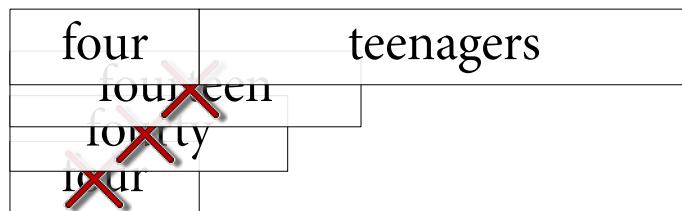
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Herausforderung Inkrementalität

Baumann, Atterer & Schlangen (2009), McGraw & Gruenstein (2012)

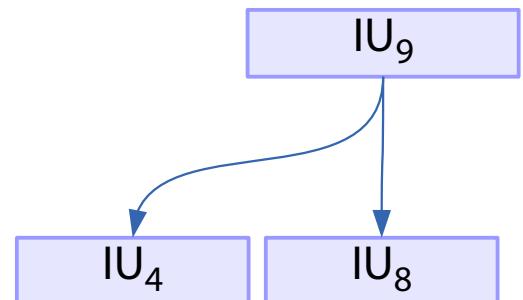
Herausforderung Inkrementalität

- Hypothesen basieren nur auf dem *was bereits bekannt ist*
 - mehr Kontext kann Ergebnisse beeinflussen
- Notwendigkeit, später Änderungen zu ermöglichen
- lohnt es sich trotzdem noch?

Architektur-Grundlage

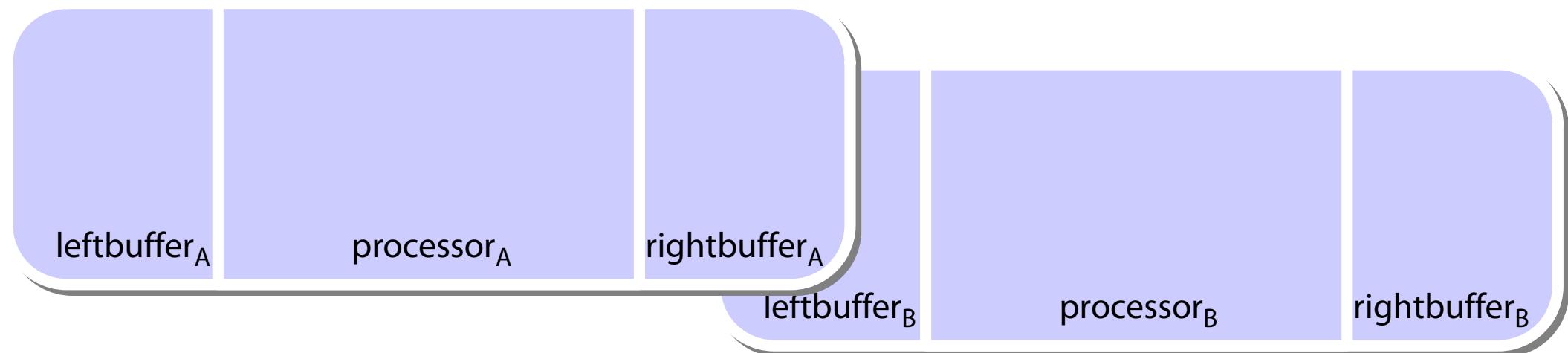
Incremental Unit model (Schlangen & Skantze 2009, Baumann 2013)

- atomar = minimale Menge an Information auf der gegebenen Abstraktionsebene
- Verknüpfung mit zugehörigen Einheiten:
Gesamtnetz aller Einheiten stellt den Informationszustand des Systems dar
- linking erfasst **Dependenzen** zwischen Einheiten
 - allows to drill into genealogy of the input (white-box approach)
 - semantics of “umfahren”? → inspect prosodic realization!
 - allows to track changes to **revise** one's previous output hypothesis



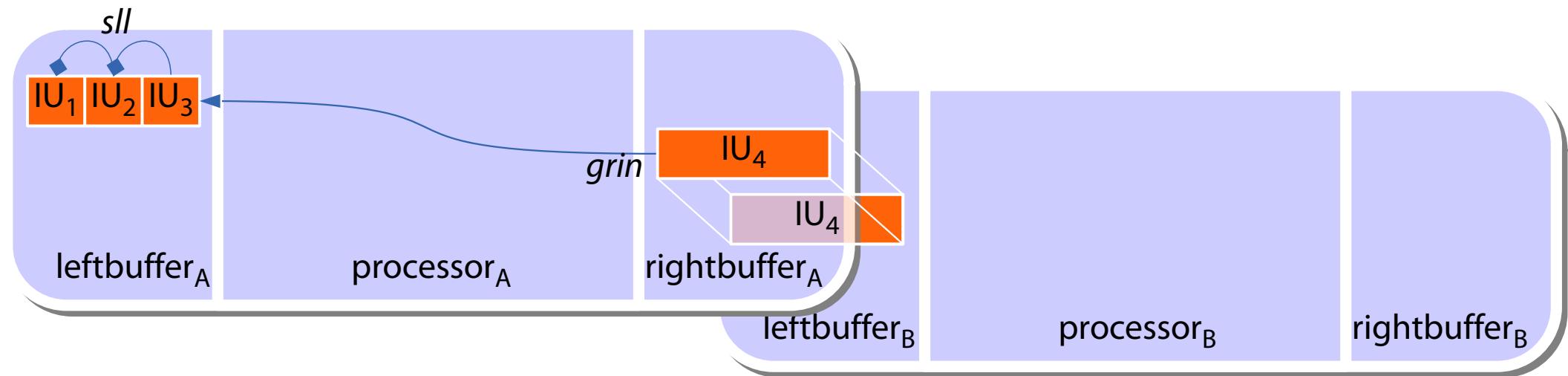
Processing modules

- processing modules are connected via buffers



Processing modules

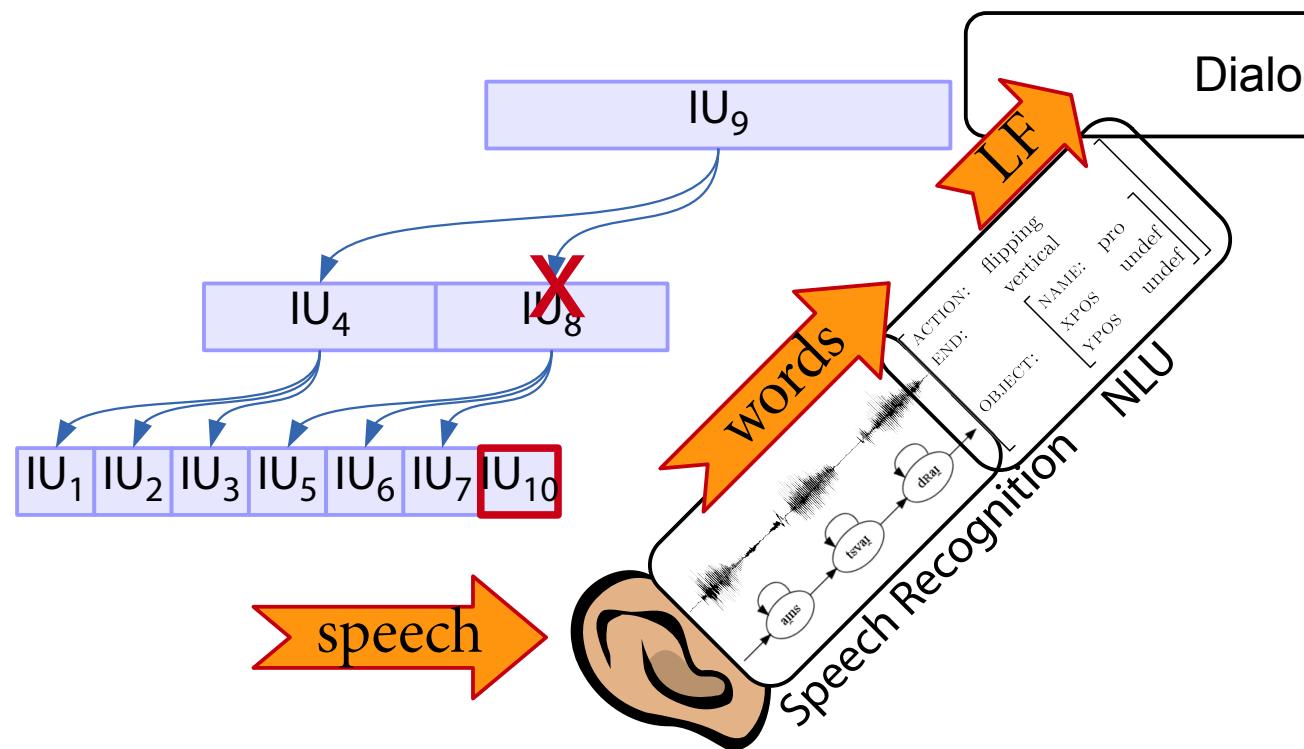
- processing modules are connected via buffers
- buffers contain incremental units (IUs)



- Links between IUs:
 - **grounded-in** links (*grin*) denote ancestry
 - **same-level** links (*sll*) for information of the same type

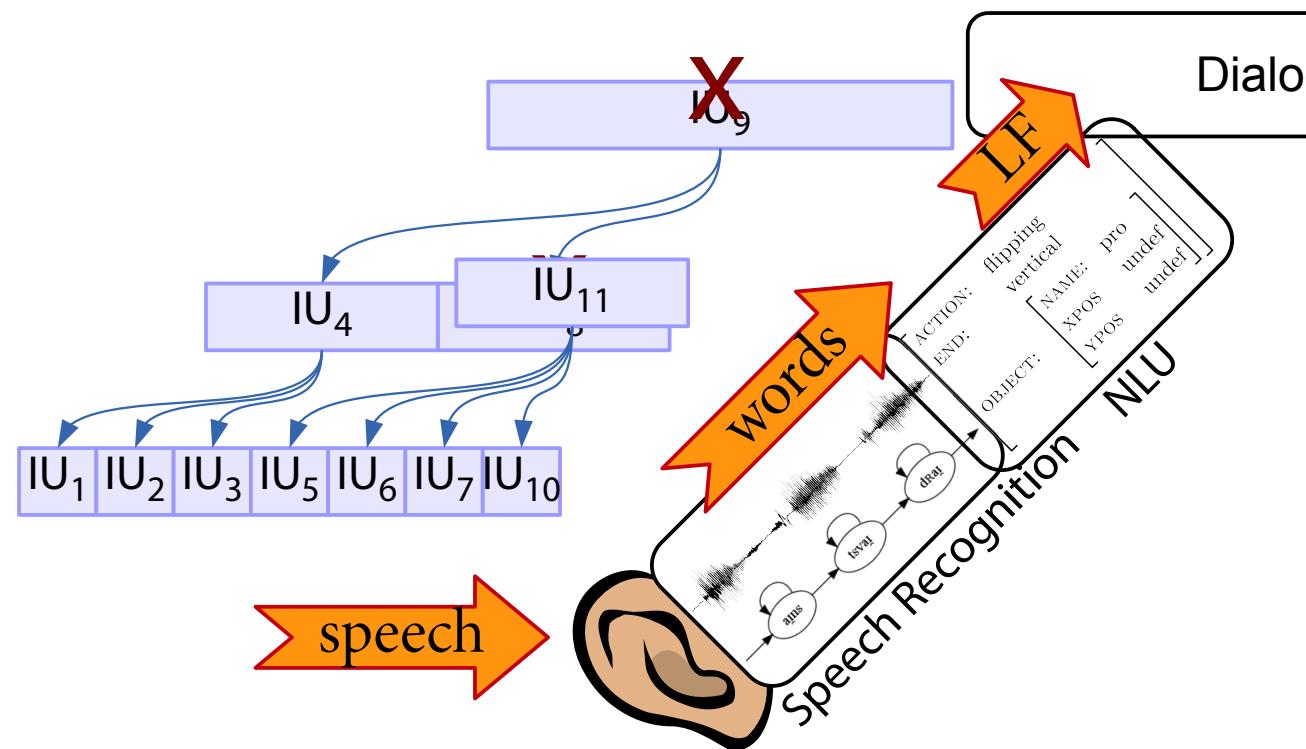
Incremental Unit Network

- belief changes reflected by changes in the network
 - more audio input arrives
 - word hypothesis is revoked ...



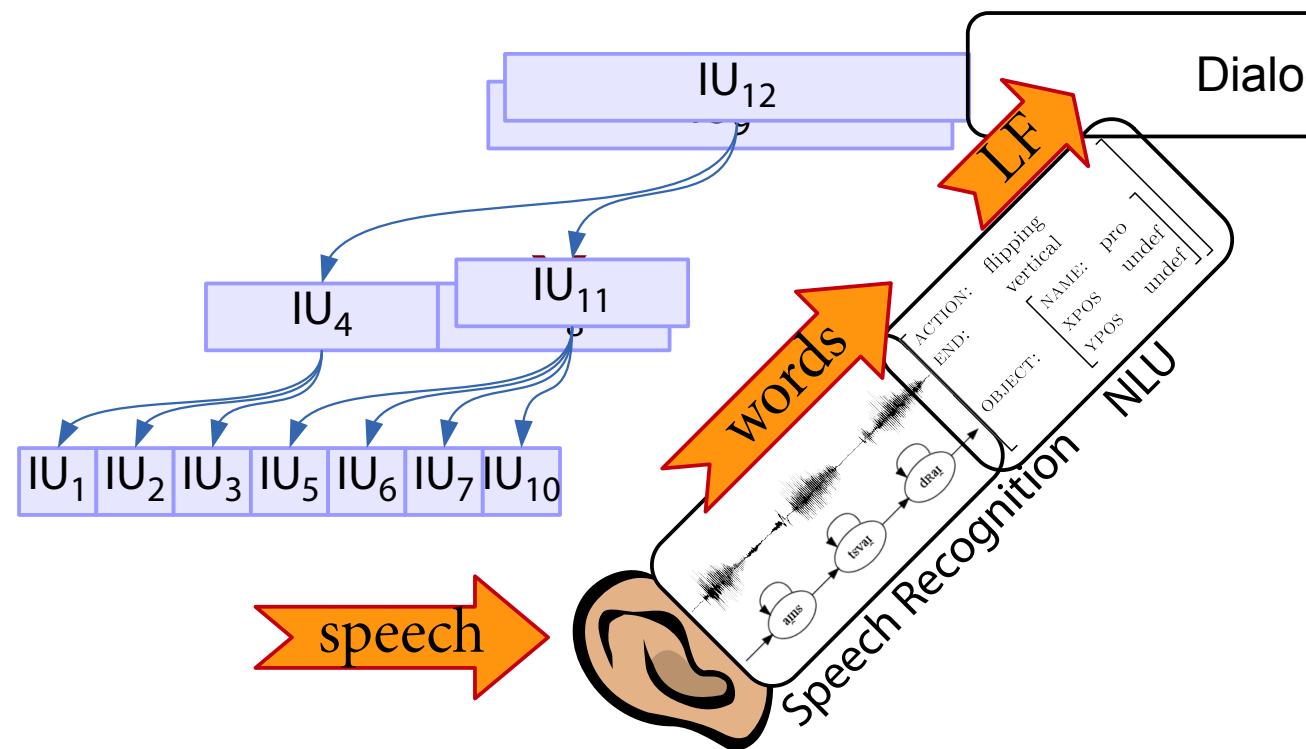
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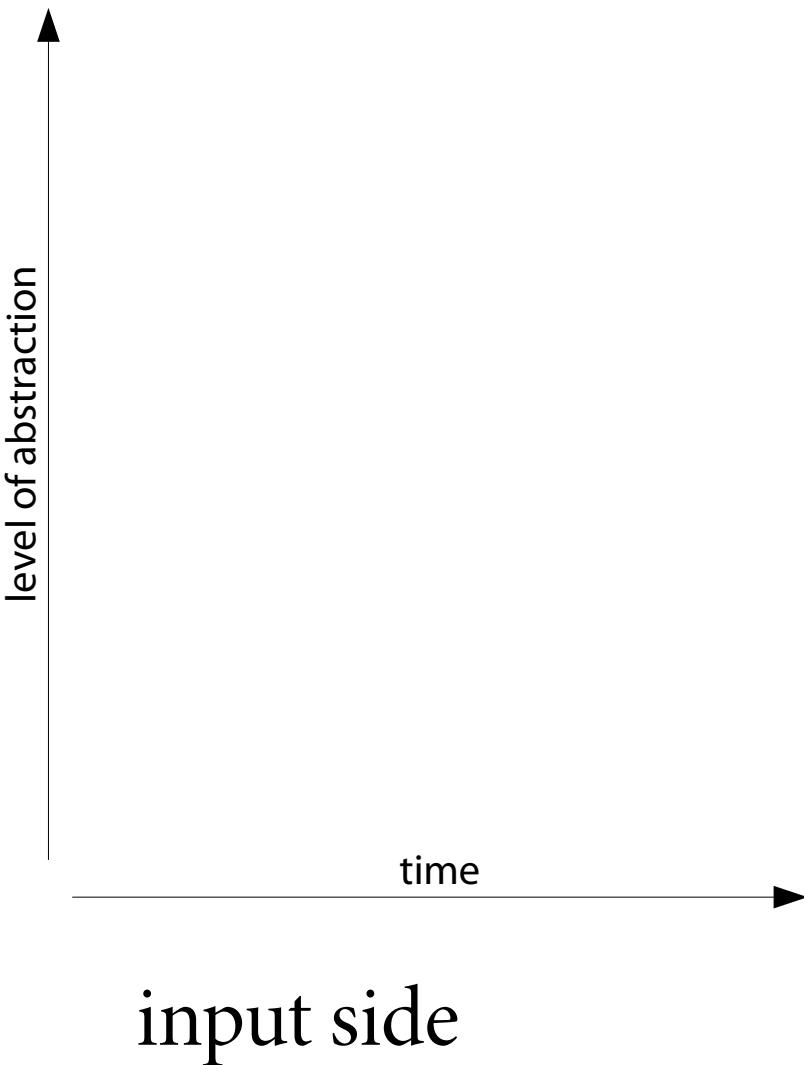


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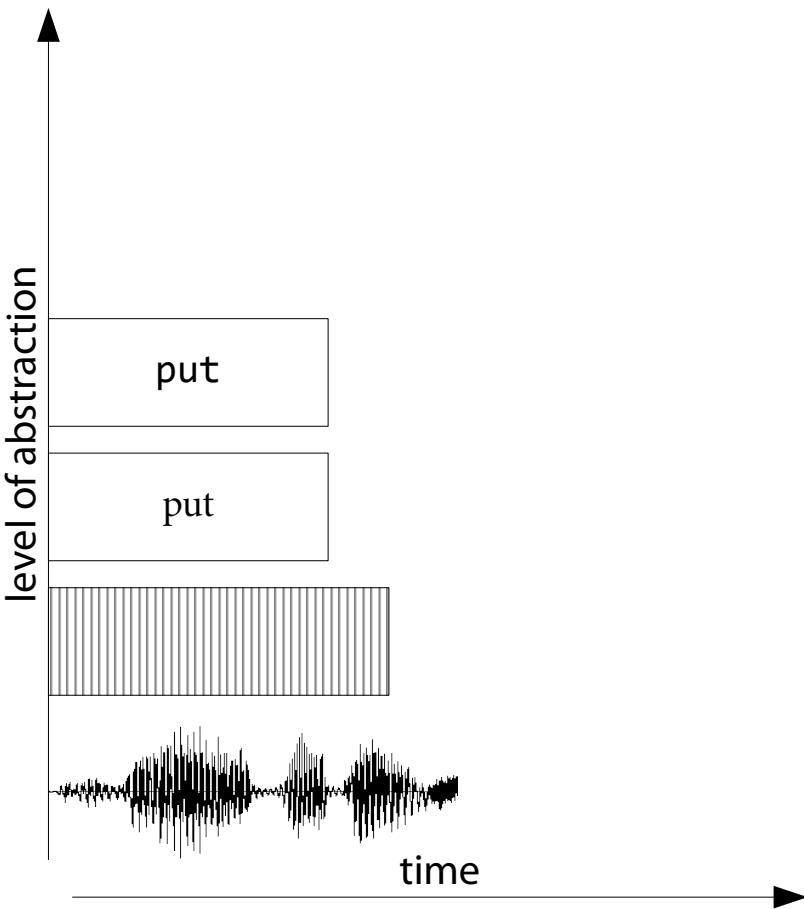
- belief changes reflected by changes in the network
 - more audio input arrives
 - word hypothesis is revoked and replaced by a different one
 - changes trickle up in the system



Consuming input incrementally

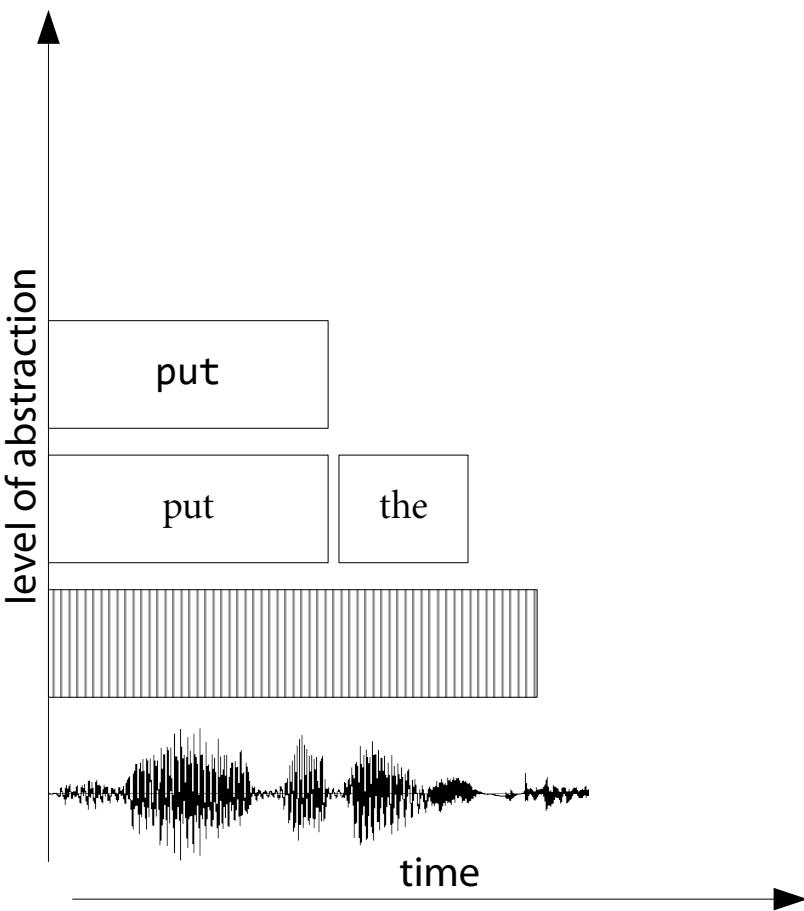


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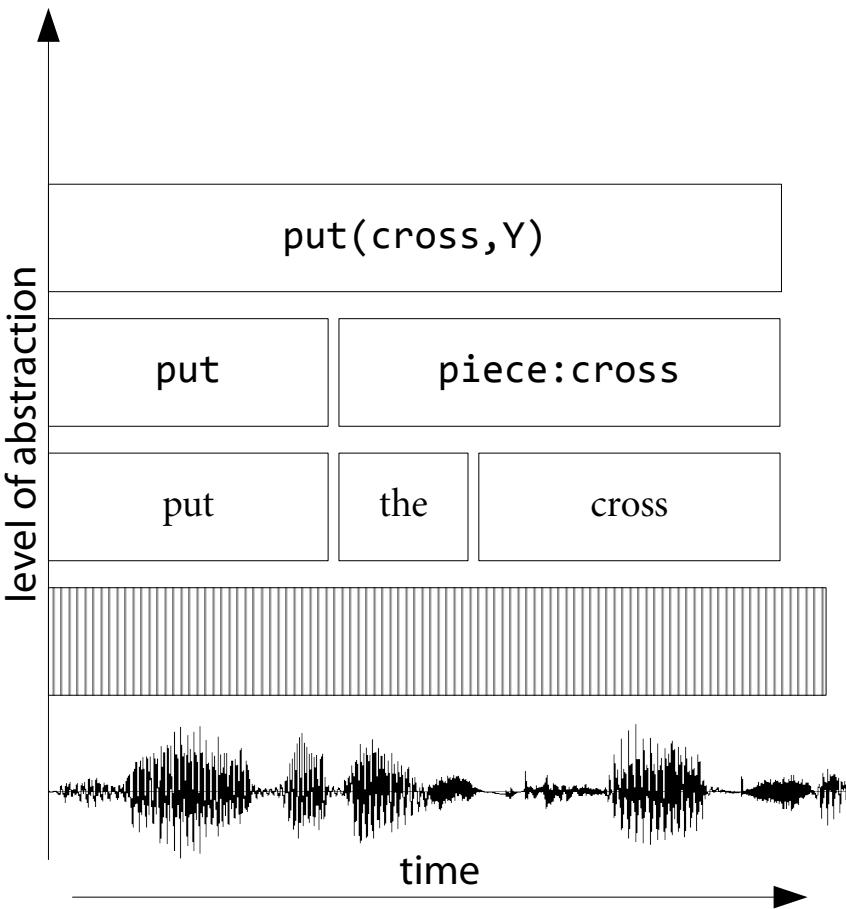
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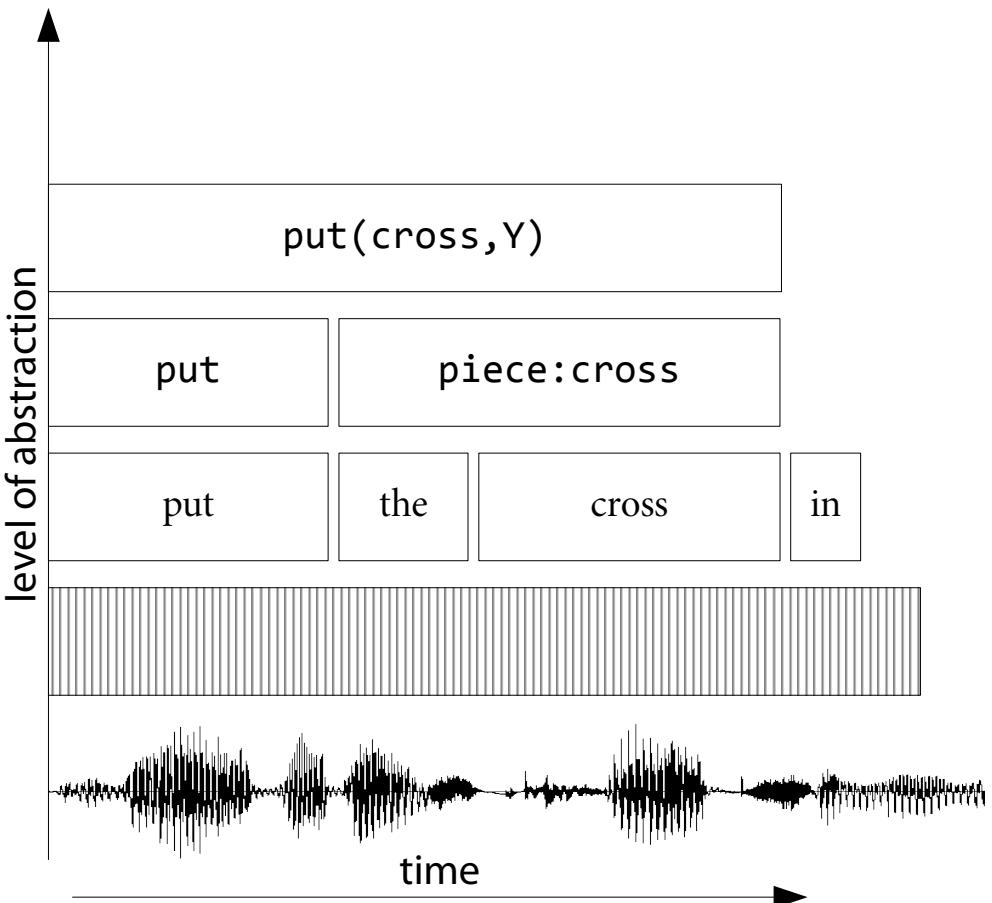
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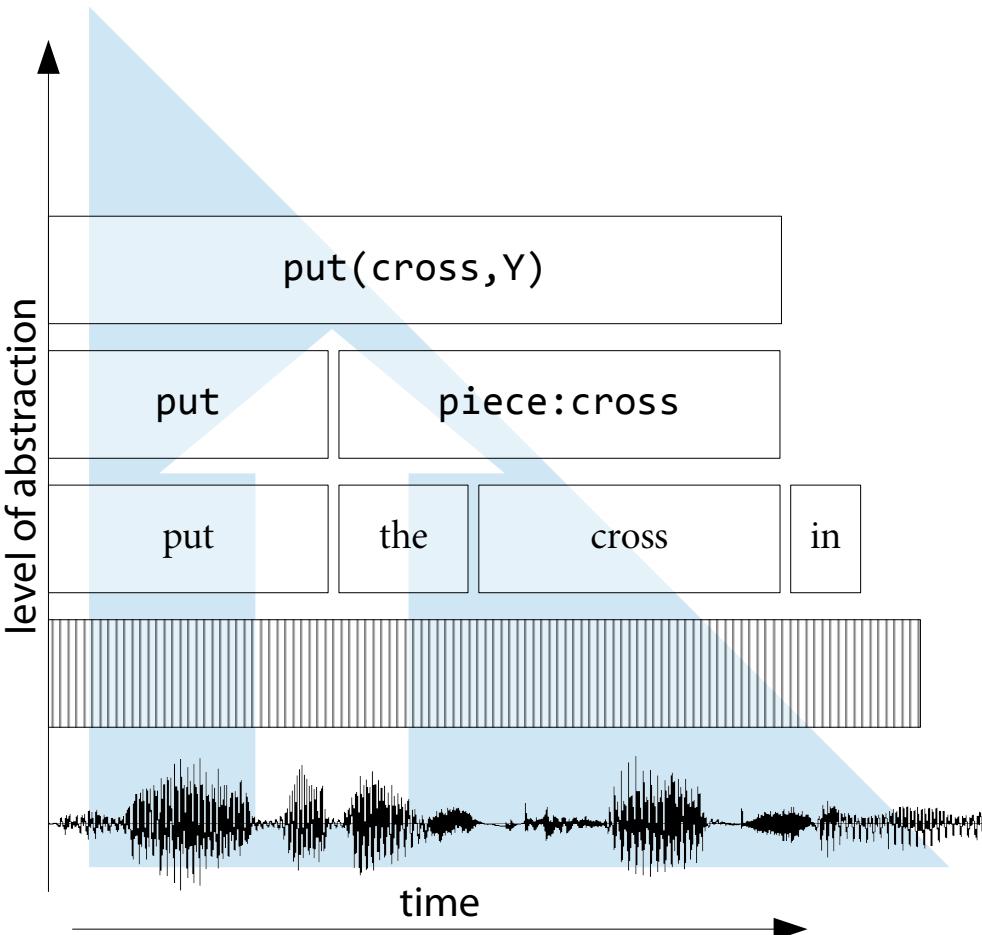
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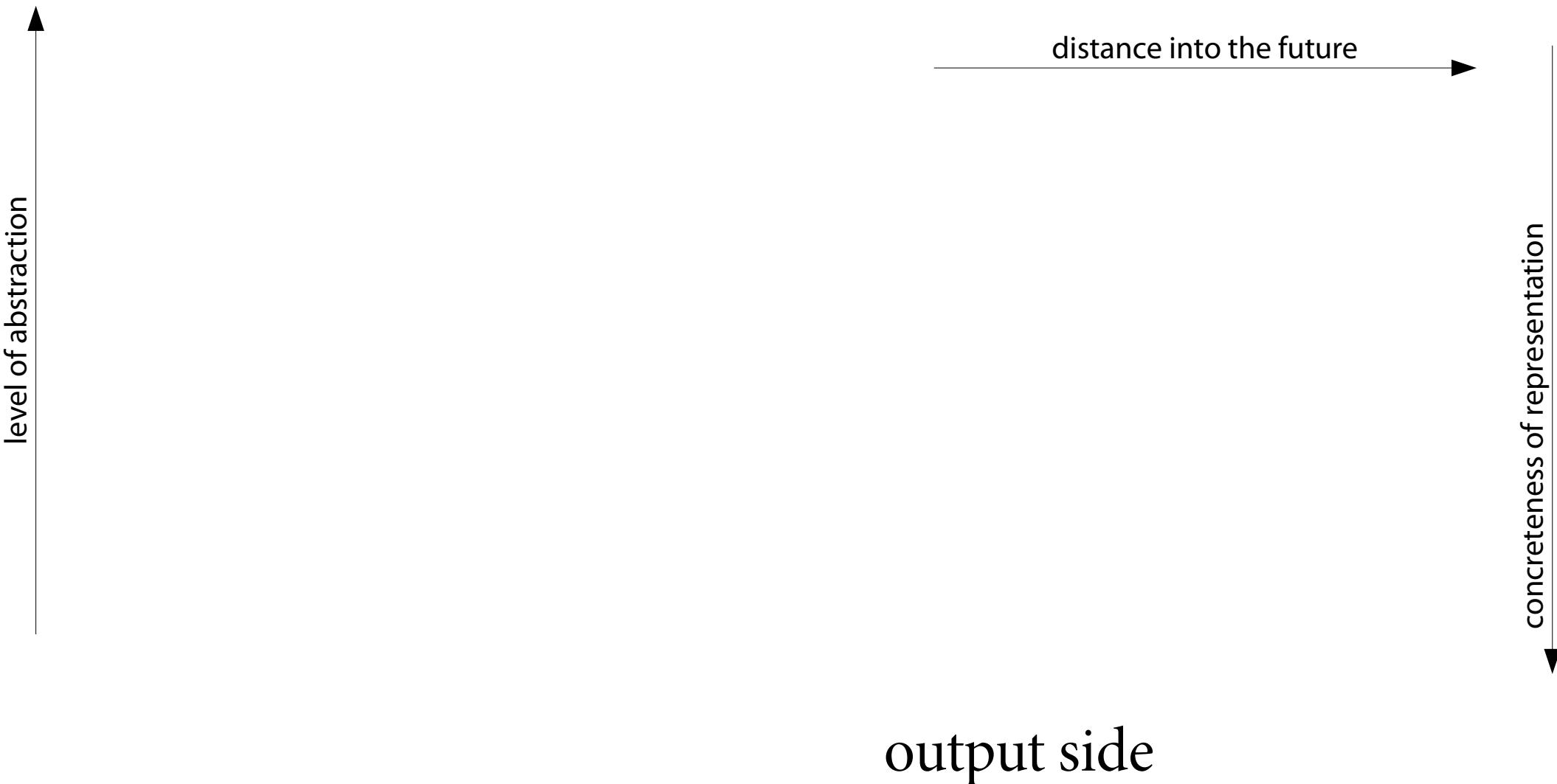
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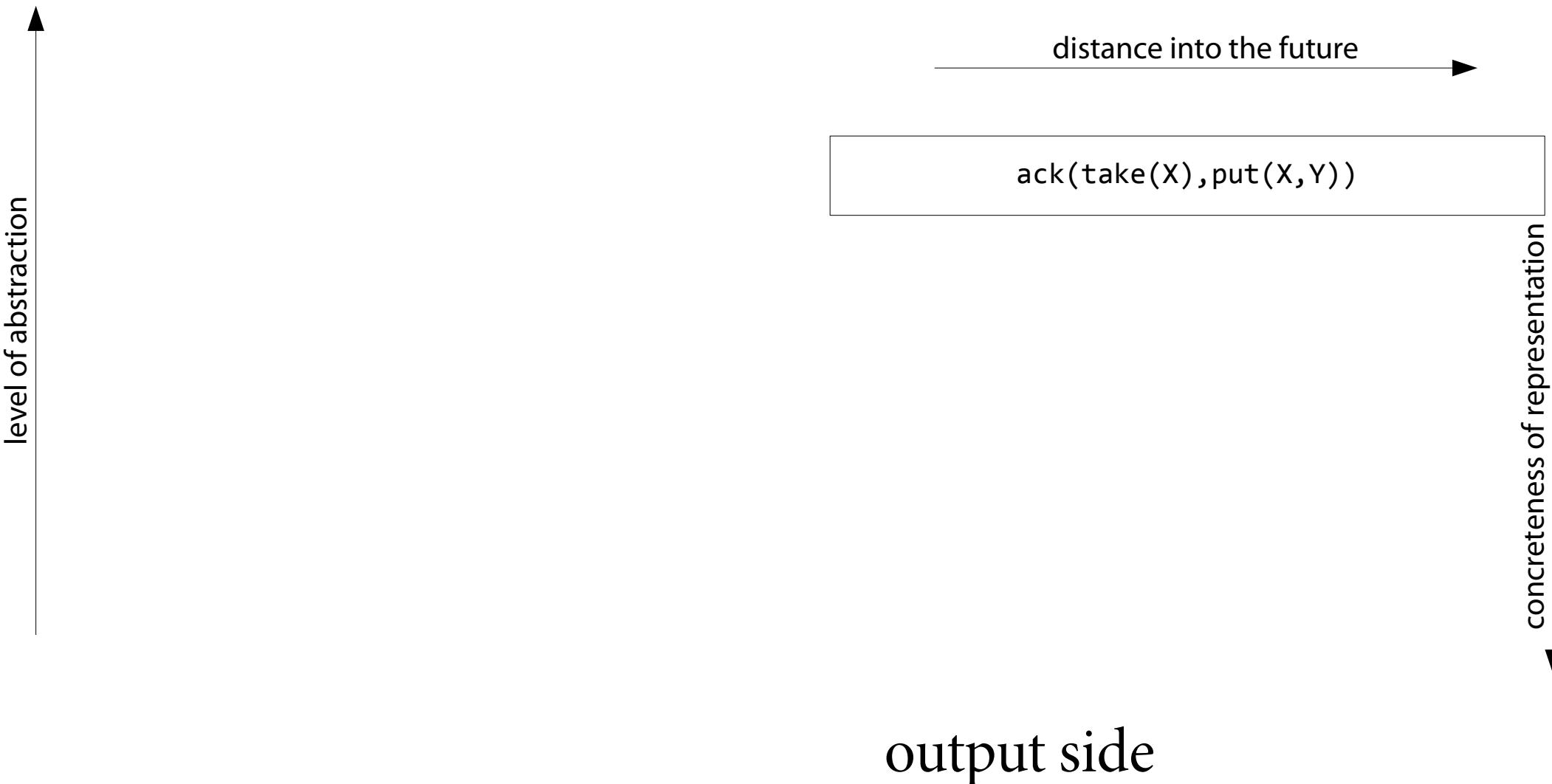


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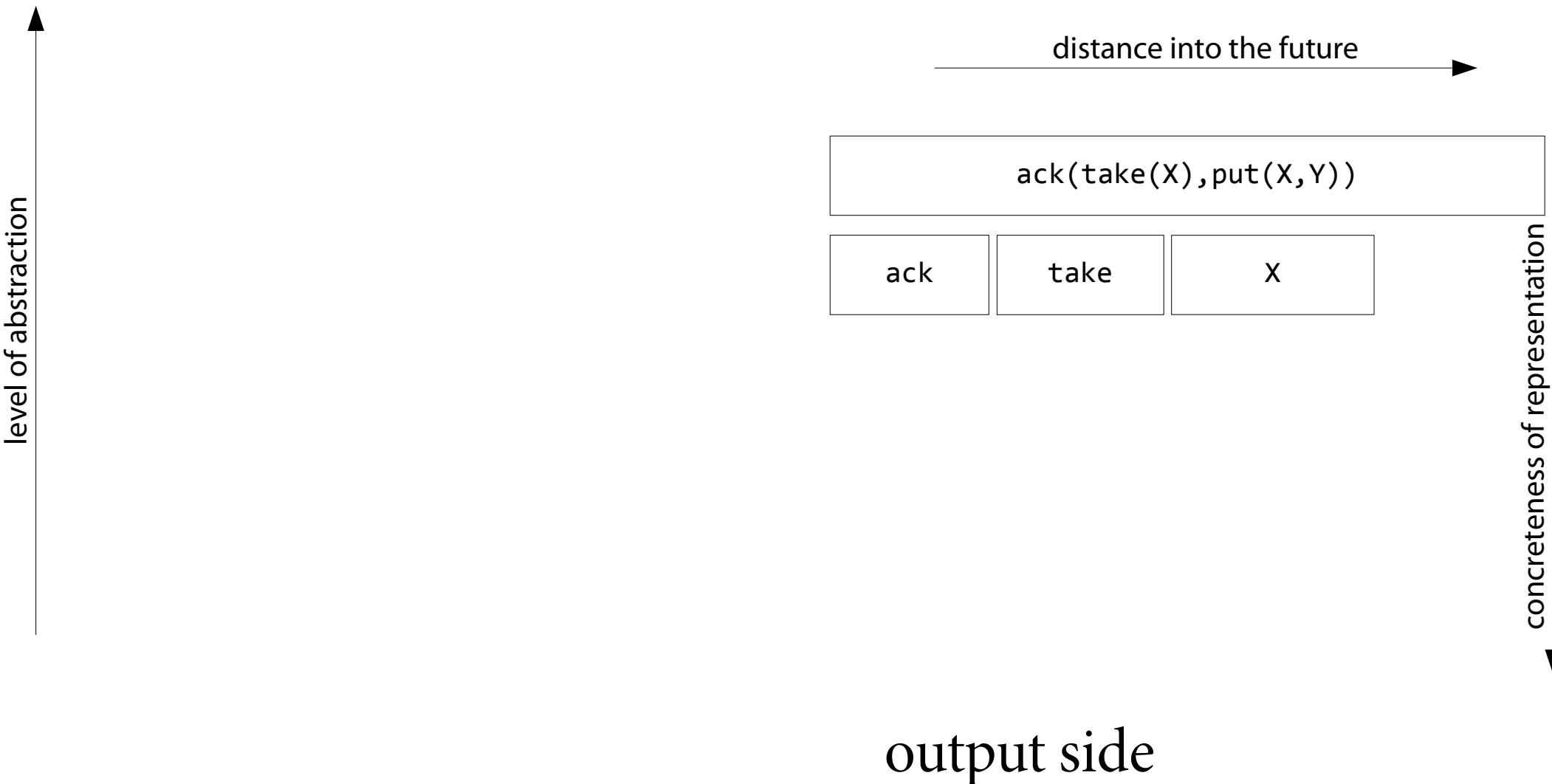
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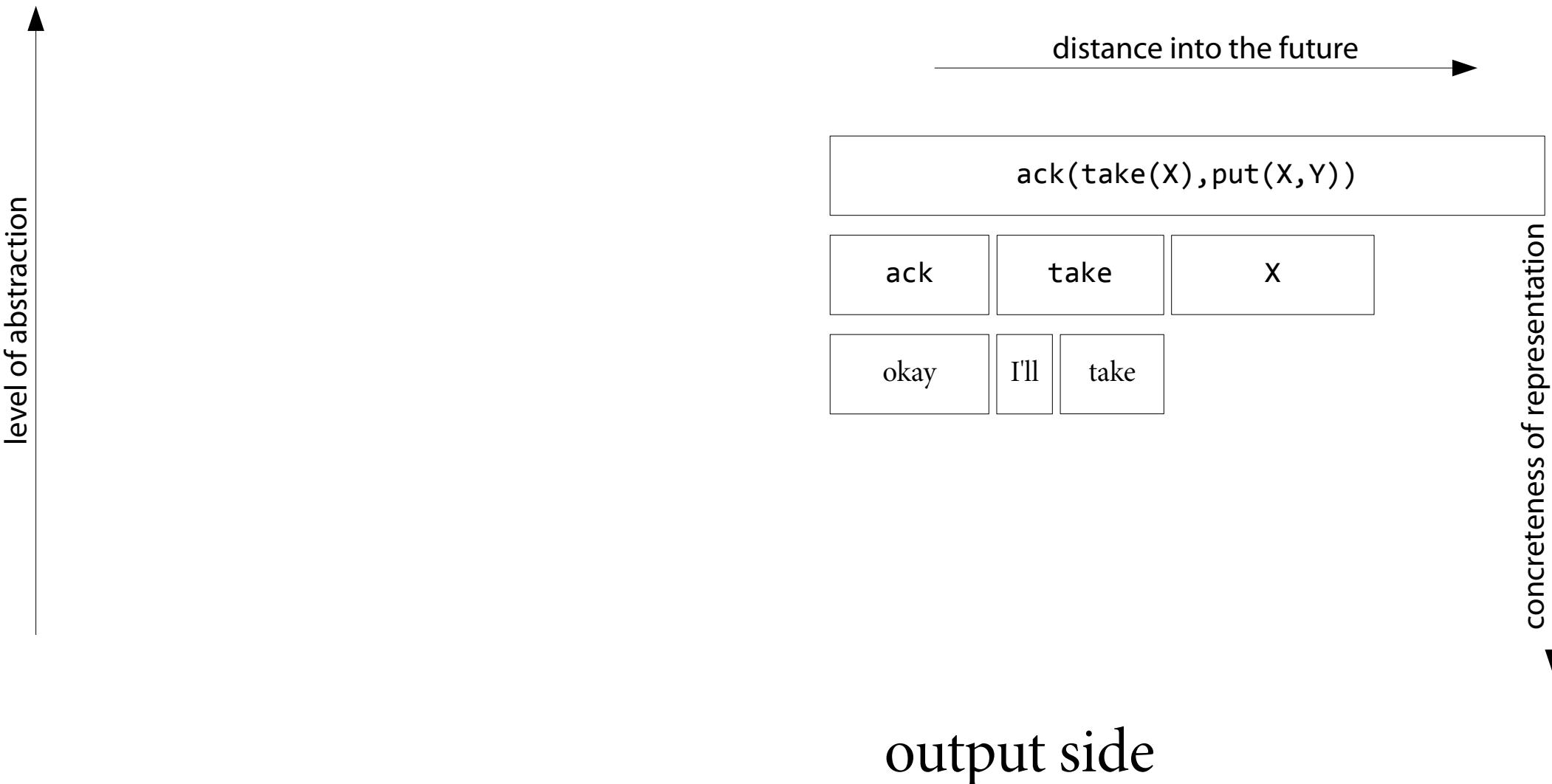
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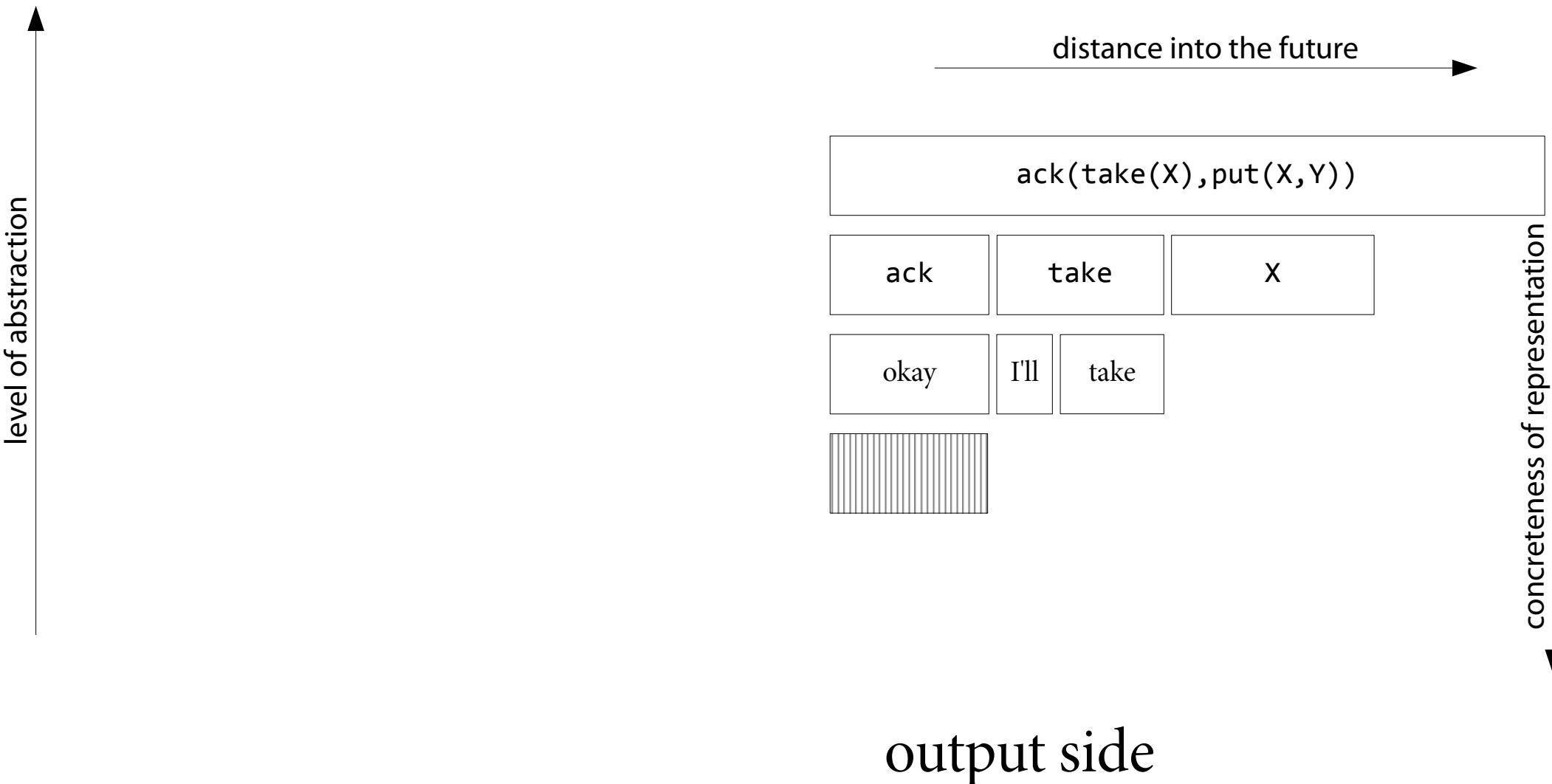
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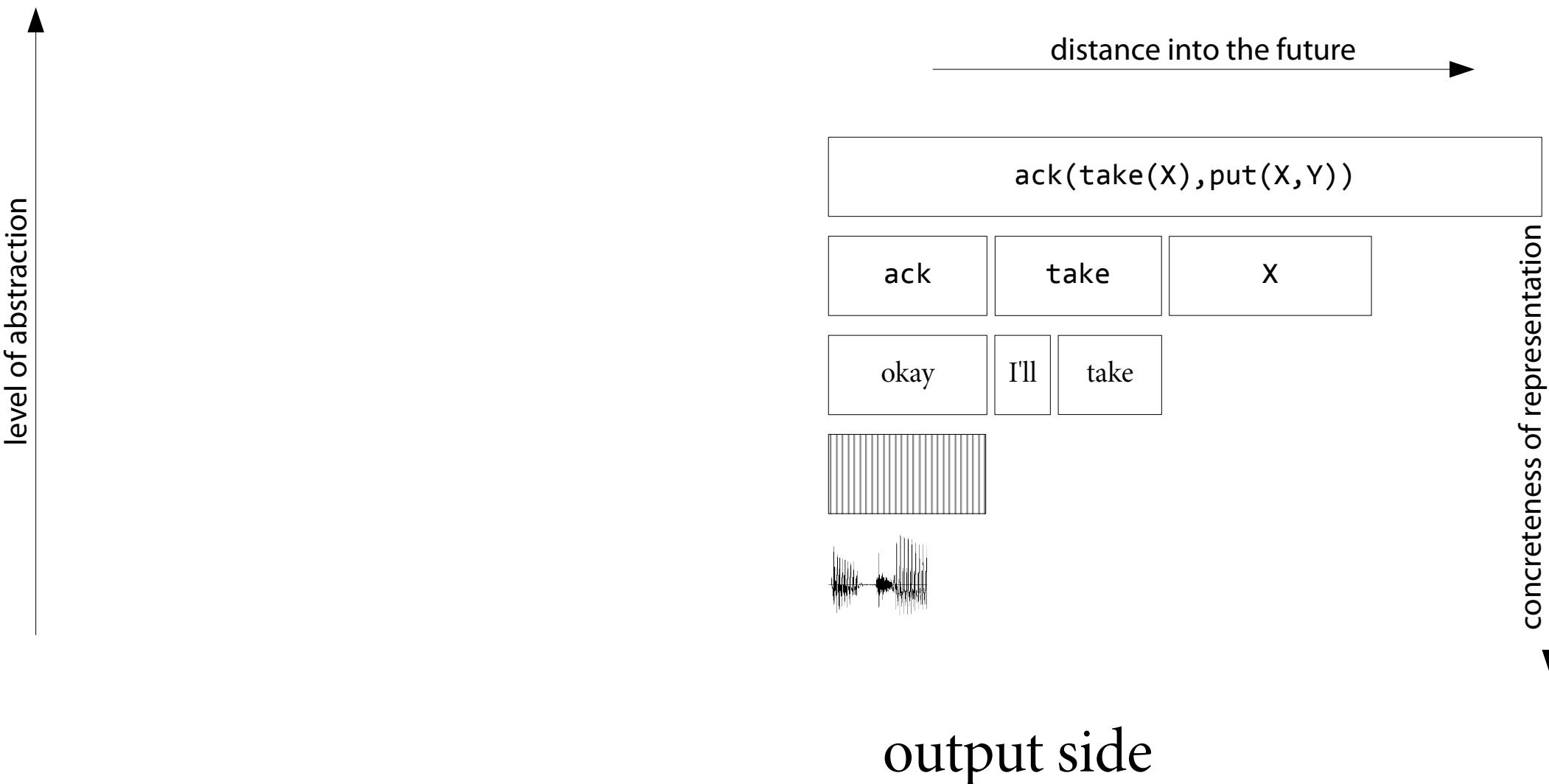
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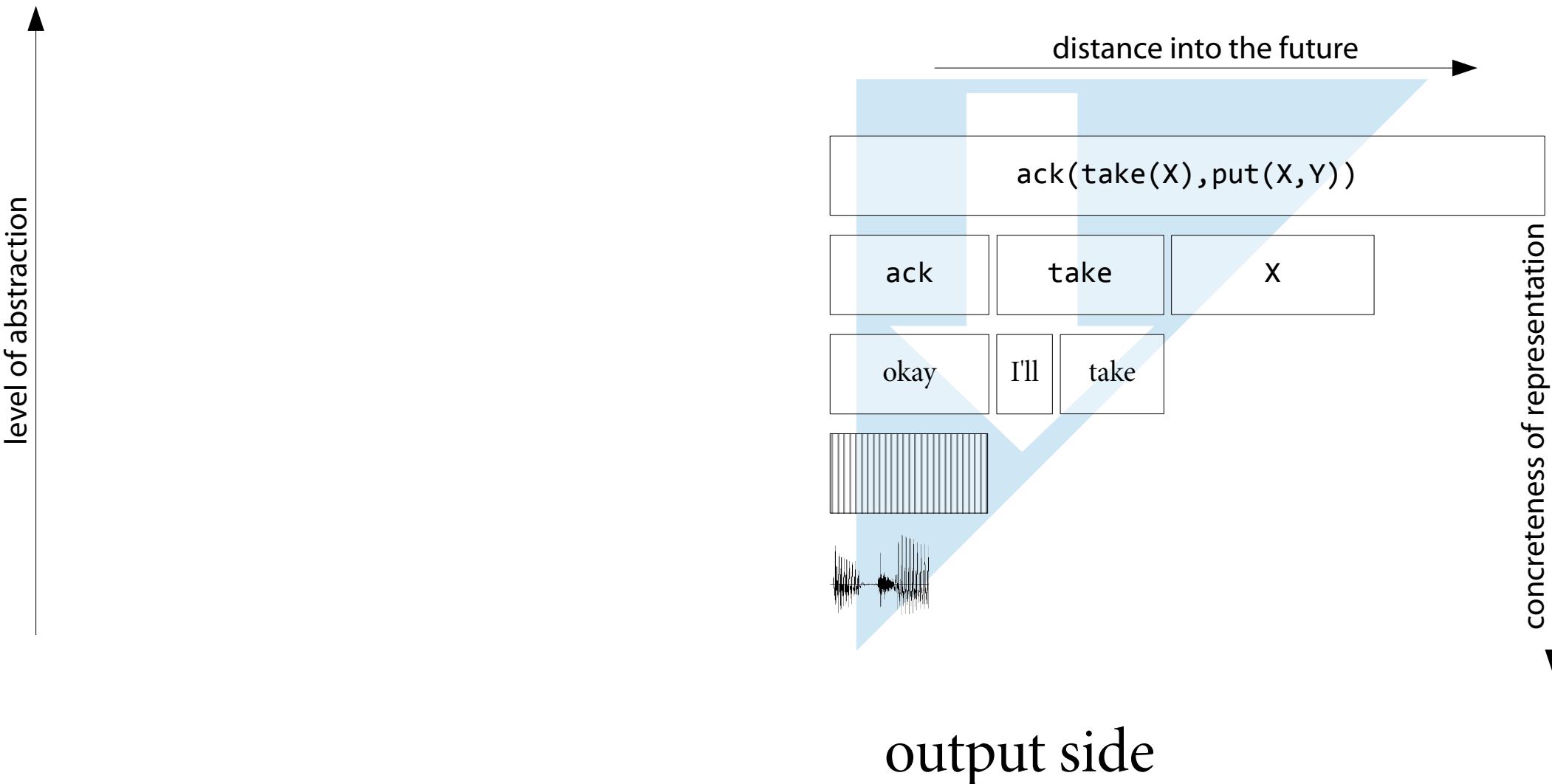
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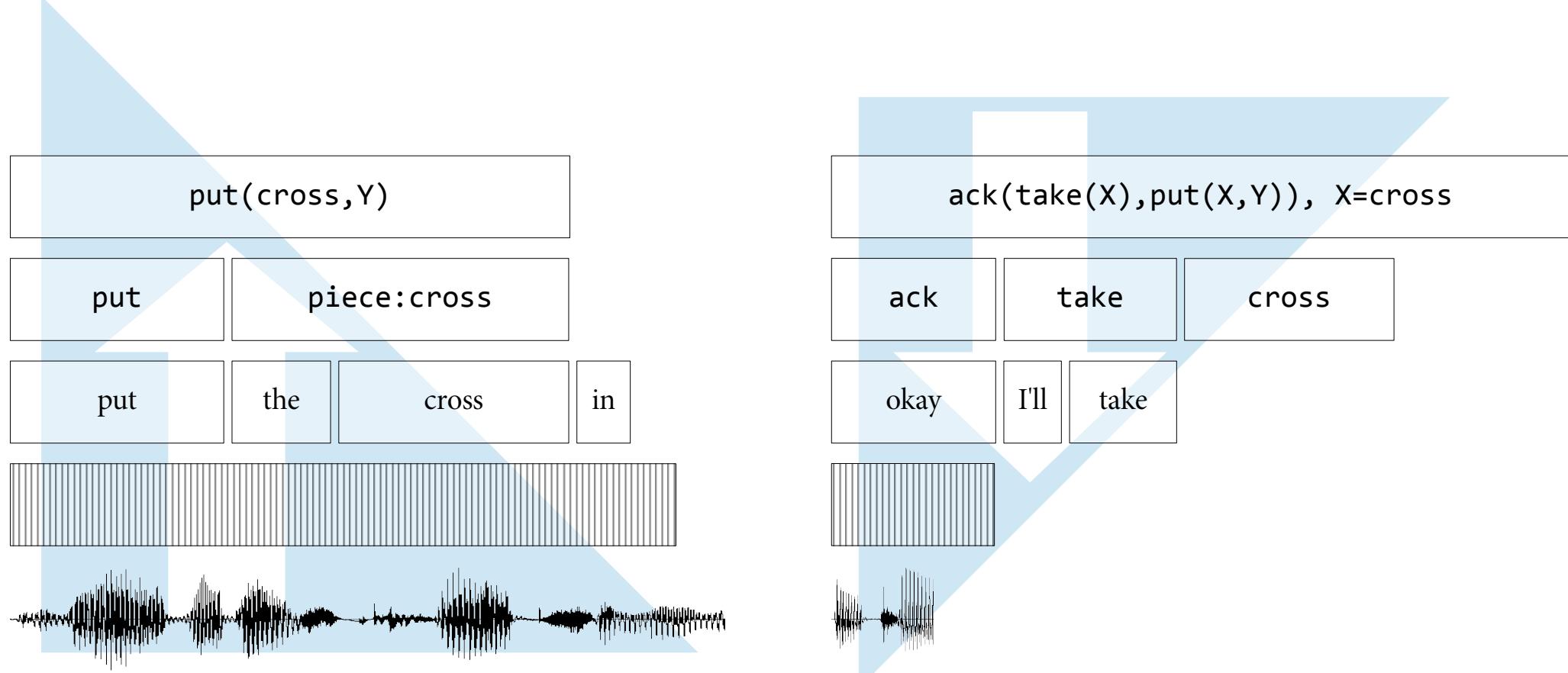
Producing output just-in-time



Producing output just-in-time



A data model for incremental just-in-time processing



input side

output side

A data model for incremental just-in-time processing

DM reasoning/decision: need to grab to be able to put → confirm

put(cross,Y)

put

piece:cross

put

the

cross

in



ack(take(X),put(X,Y)), X=cross

ack

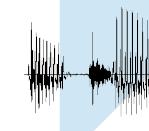
take

cross

okay

I'll

take



input side

output side

IU Data Model

- Incremental Units (IUs)
 - encapsulate minimal amounts of information at the current level of abstraction (phones, words, ideas, ...)
 - linked to other units on the *same level* to form hypotheses
 - linked to units they are based on to track dependencies
 - network of units stores information states
- Updates to the network reflect changes in understanding:
 - add units when new information becomes available
 - *revoke* units if they turned out to be wrong
 - notify about degree of commitment/certainty to a unit

~~Drei~~ ein paar Beispiele
für inkrementelle Verarbeitung

More natural human-computer interaction

- partial incremental (multi-modal) dialogue systems
 - reduced system domains that exploit only one specific aspect
- some example systems
 - subtle feedback to signal understanding, sub-turn interaction
 - the use of affordances in continuous control
 - flexible delivery of spoken output to bind with other modalities
 - flexible spoken output in a noisy domain
 - ability to co-complete / shadow user speech

for the „micro-domain principle“ see (Edlund et al, Speech Communication 2008).

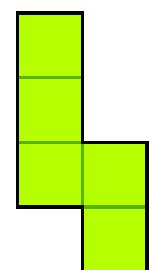
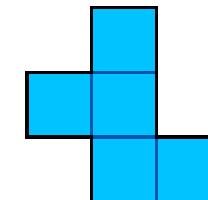
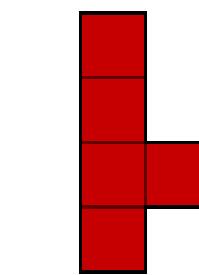
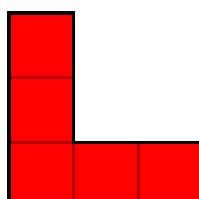
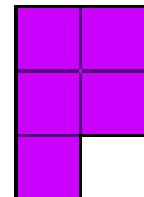
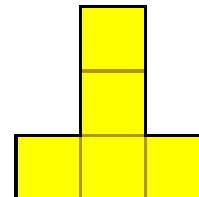
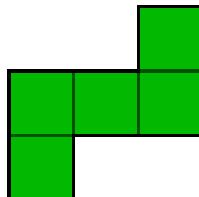
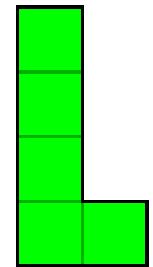
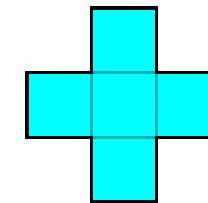
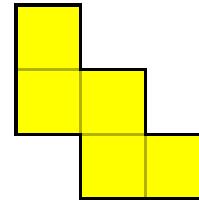
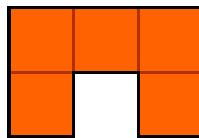
Feedback and sub-turn interaction

- Humans use feedback to signal state of understanding
 - often within a very tight *feedback loop*
 - incremental processing allows to tighten this feedback loop
 - in the video (to follow): visual feedback during the utterance
- Human reaction time (and type of reaction) depends on pragmatic completeness and prosody
 - crudely modelled using a simple prosodic rule
 - actions are performed as soon as system is certain

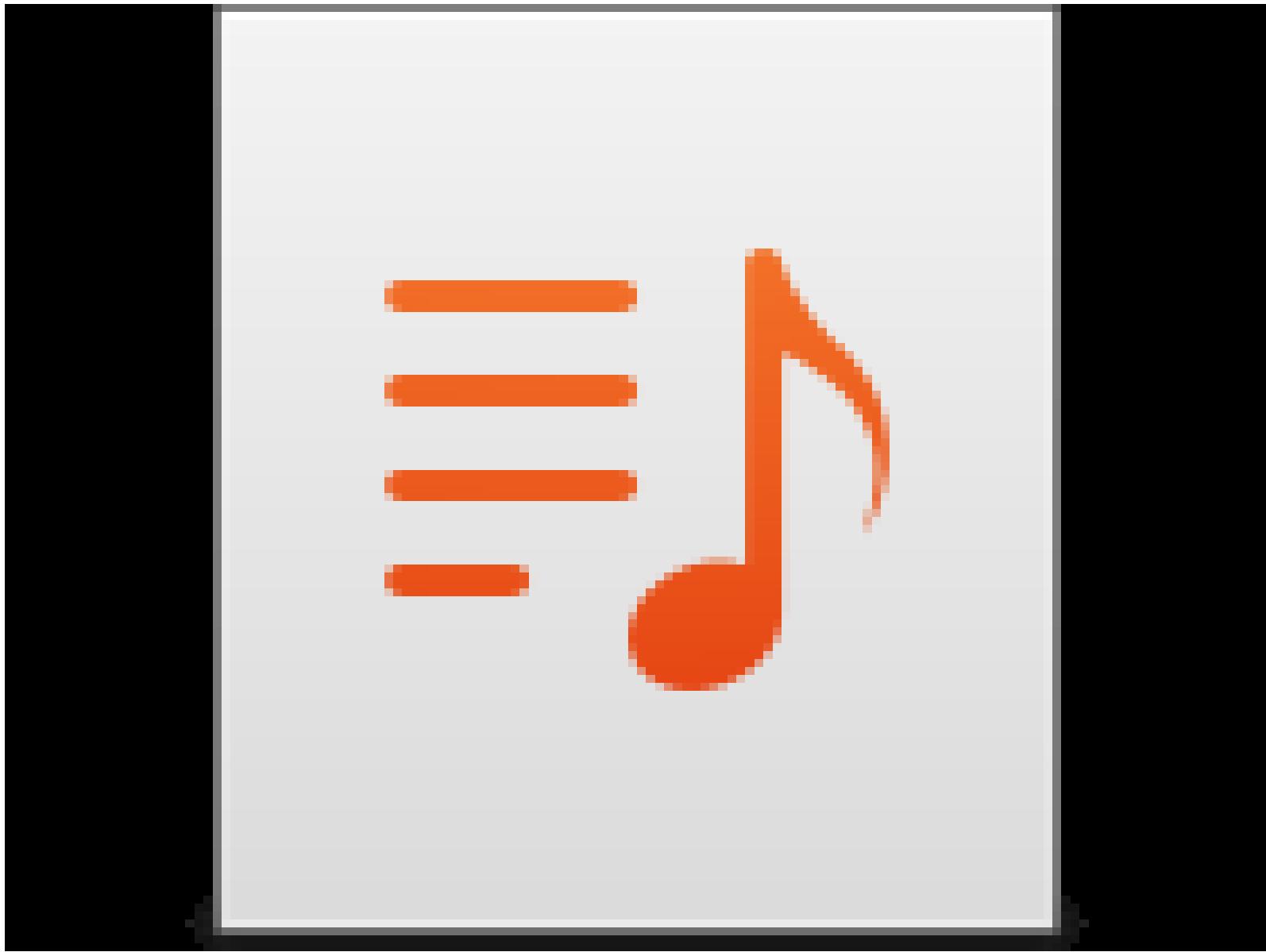
A simple task domain

- 12 pentomino pieces
- human is to manipulate pieces:

- rotate
- flip
- delete



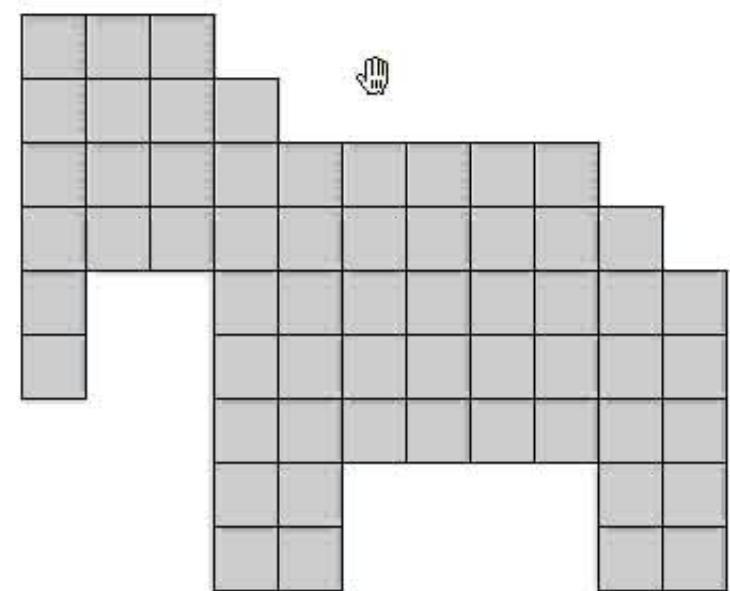
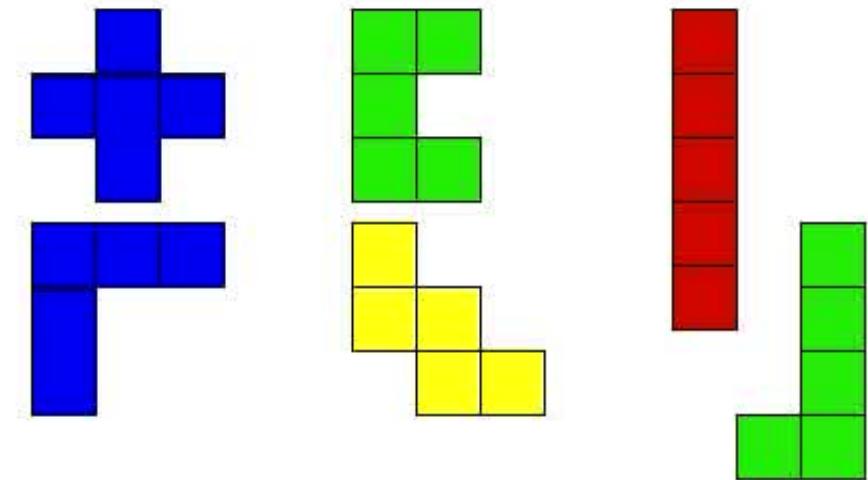
Feedback and sub-turn interaction



Feedback and sub-turn interaction

- main features:
 - tight visual feedback loop to signal partial understanding
 - fast, sub-turn interaction based on prosodic rules
- overhearer study showed significantly better rated interactions over a baseline system
 - despite the differences between the systems being very subtle
 - small difference in behaviour → large difference in impression

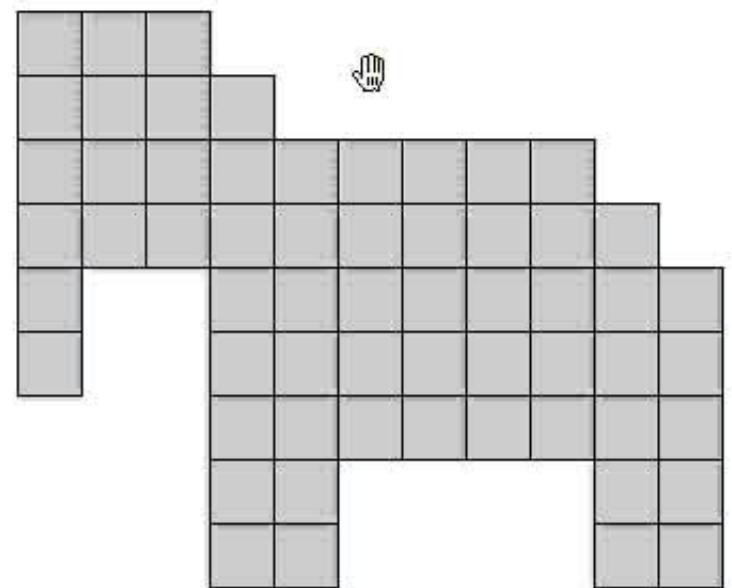
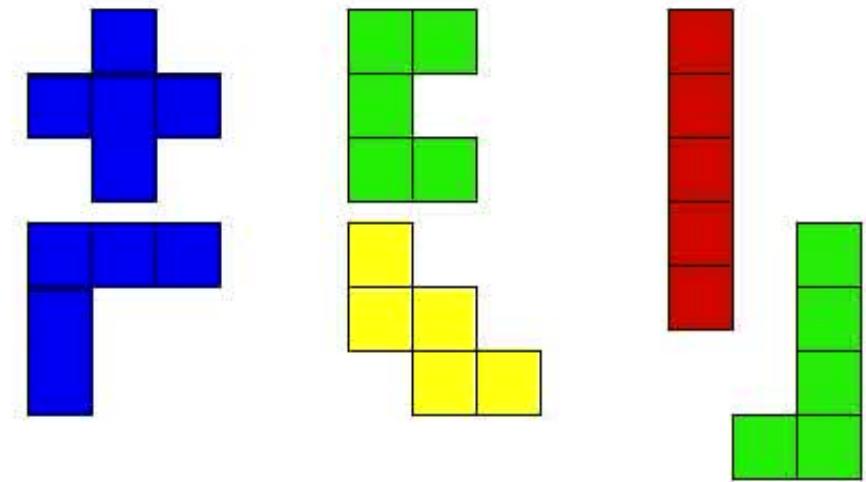
Playing puzzle games



Playing puzzle games

- how to puzzle an elefant?
- game alternates between
 - selecting puzzle piece
 - and placing it on the board

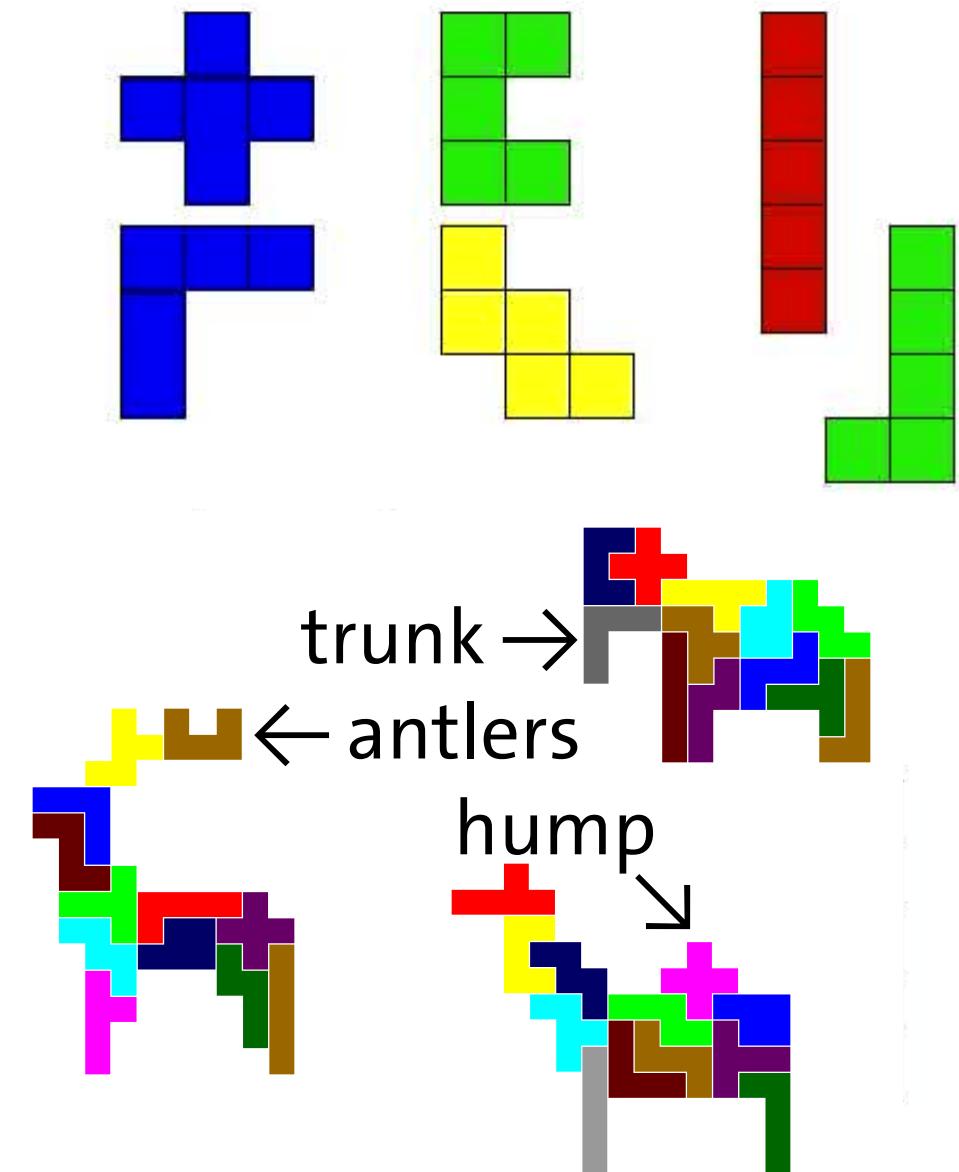
- main challenge:
referring expressions
- move complexity into
the interaction loop:
steering instead of naming



Playing puzzle games

- how to puzzle an elefant?
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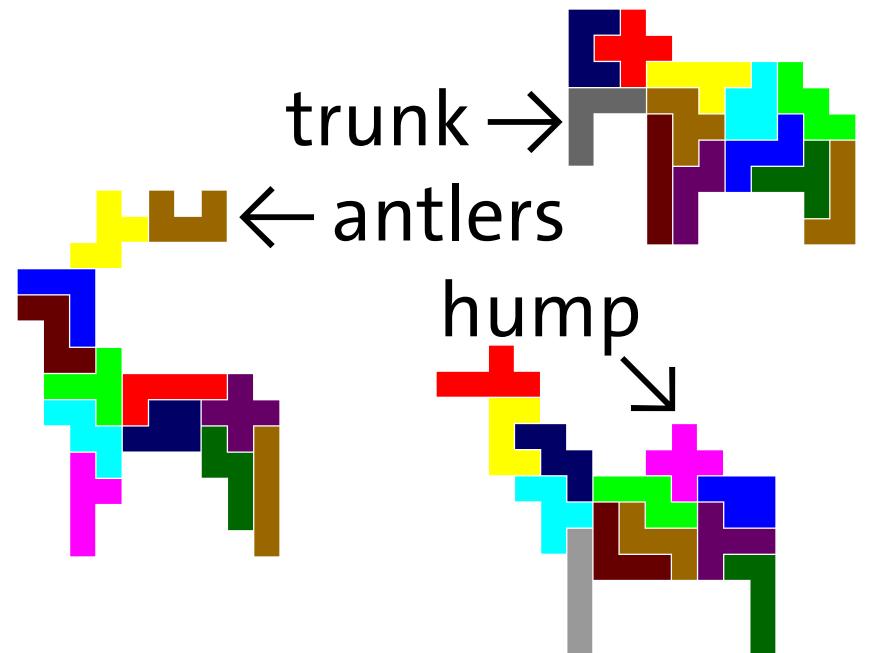
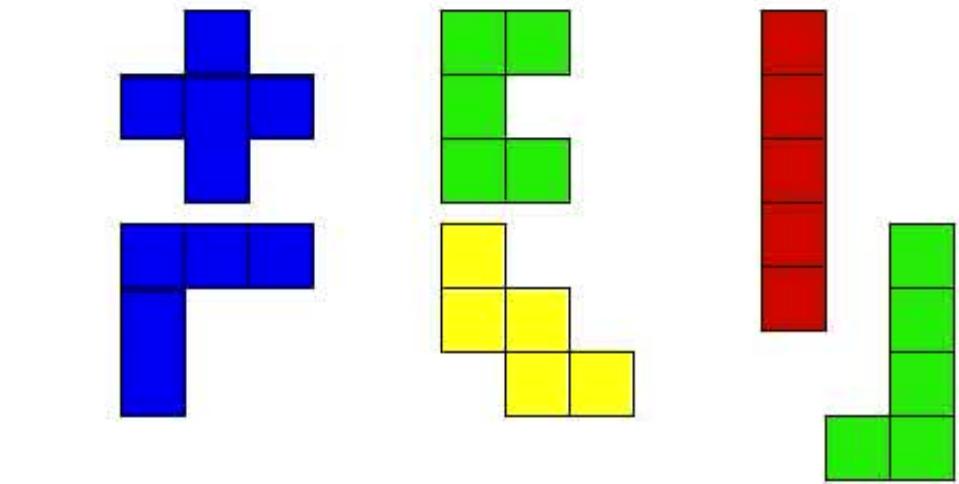
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Playing puzzle games

- how to puzzle an elefant?
- game alternates between
 - selecting puzzle piece
 - and placing it on the board

- main challenge:
referring expressions
- move complexity into
the interaction loop:
steering instead of naming



Baumann et al. (2013)

Affordance as a driving principle

Jenkins, Journal of Scientific Psychology, pp. 34-45, Dec. 2008.

Affordance as a driving principle

- affordances: conventionalized attribute-meaning pairs that manifest possibilities of interaction
 - doors afford to be opened
 - questions afford to be answered („where should I put the piece?“)
- *motion* manifests the possibility of interacting with the motion itself (*steering*)
 - steering (in 2D) is comparatively easy
 - to keep up the steering metaphor, the system must react to commands without noticeable delay

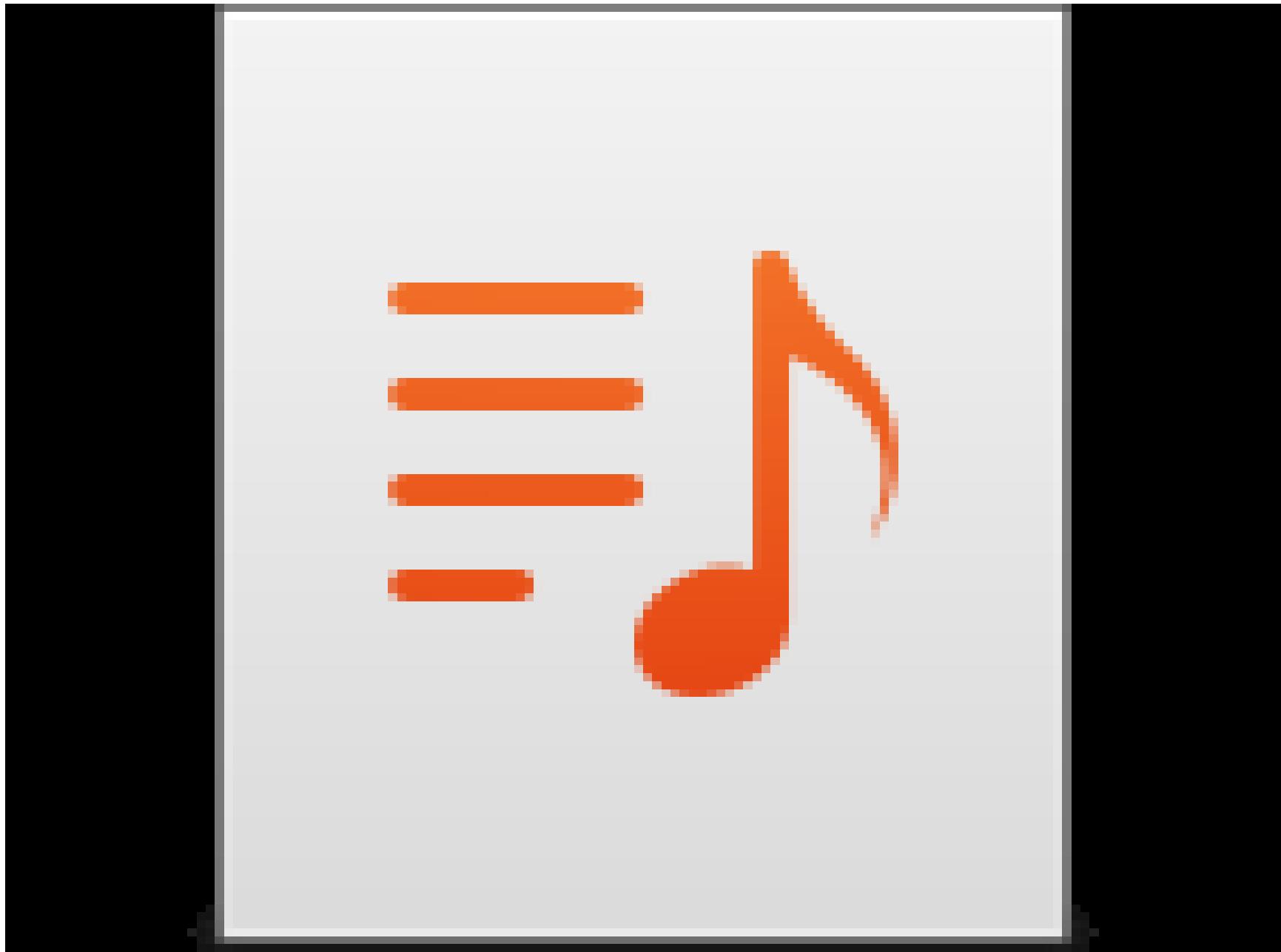
Example Video

please focus on:

- swiftness of system reactions
 - error recovery

(ASR results shown at the bottom)

Steering metaphor in interaction



Experimental Evaluation

Experimental Evaluation

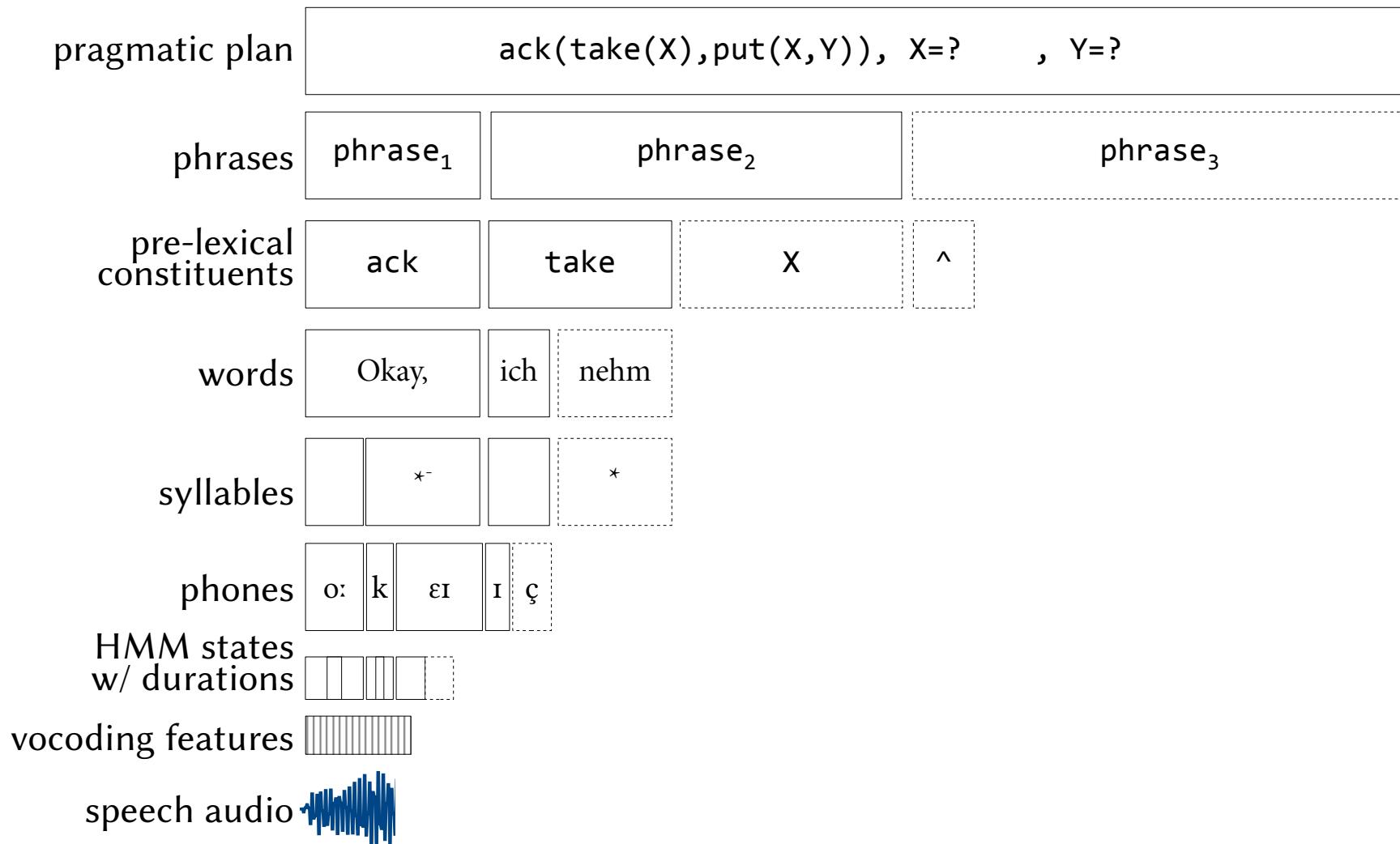
system was tested with/without immediate motion after the positioning question

- all users react to the affordance of motion (i.e., give steering commands)
 - significantly faster task completion
 - user questionnaire indicates advantage for affordance of motion (rated more transparent and reactive)
- ASR errors inhibit understanding, but not interaction!

Affordances: Take-away Message

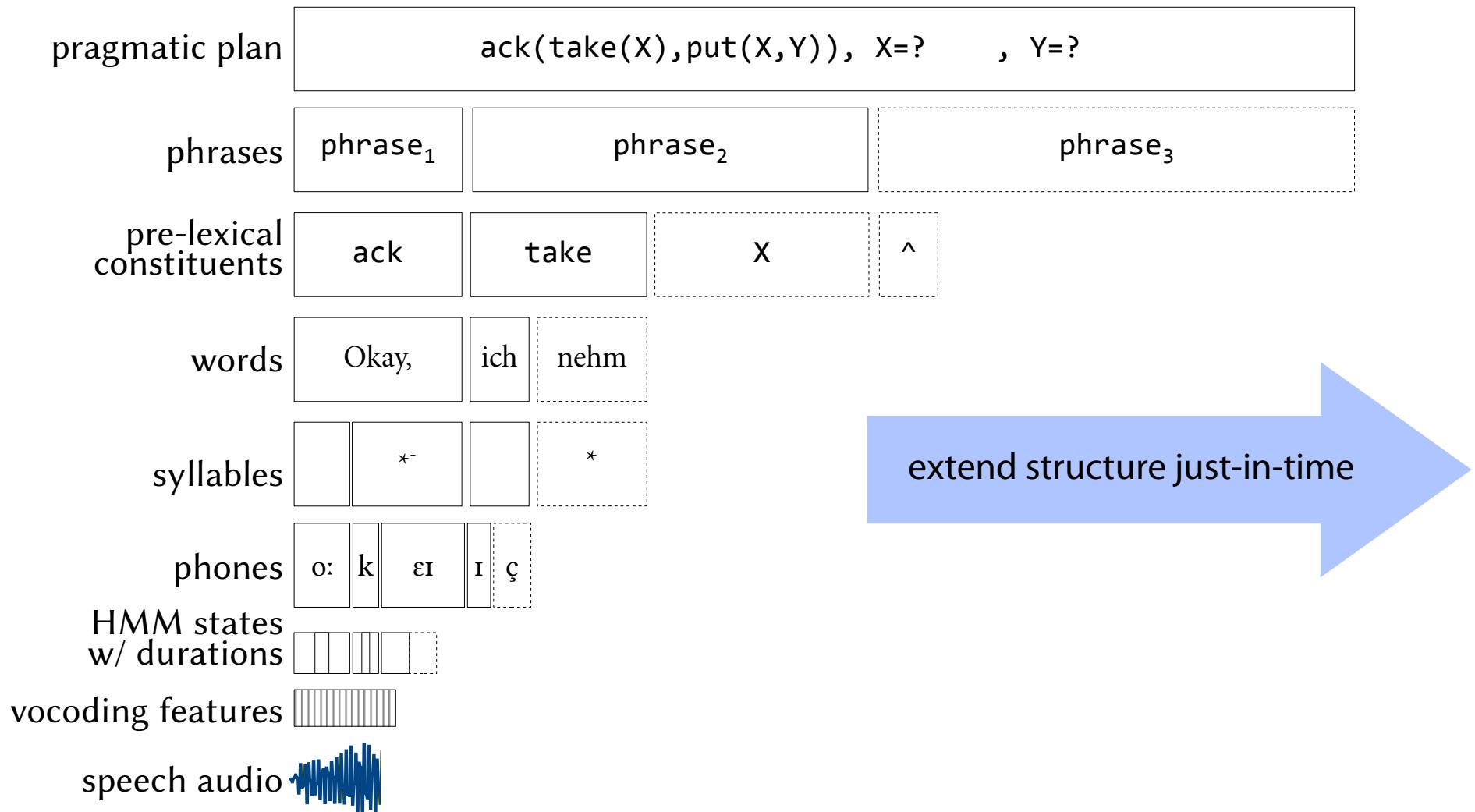
- move complexity where it hurts least / is manageable most easily
 - ask/act often in small steps → incrementally!
- think about what you propose to a user / what affordances are opened up
 - the relative strength of concurrent affordances
 - should the system act, ask, or do both?
 - how about the ordering of these?
 - the *ease of use* of affordances (e.g. steering is easy)

Incremental Speech Generation and Synthesis (HMM-based)



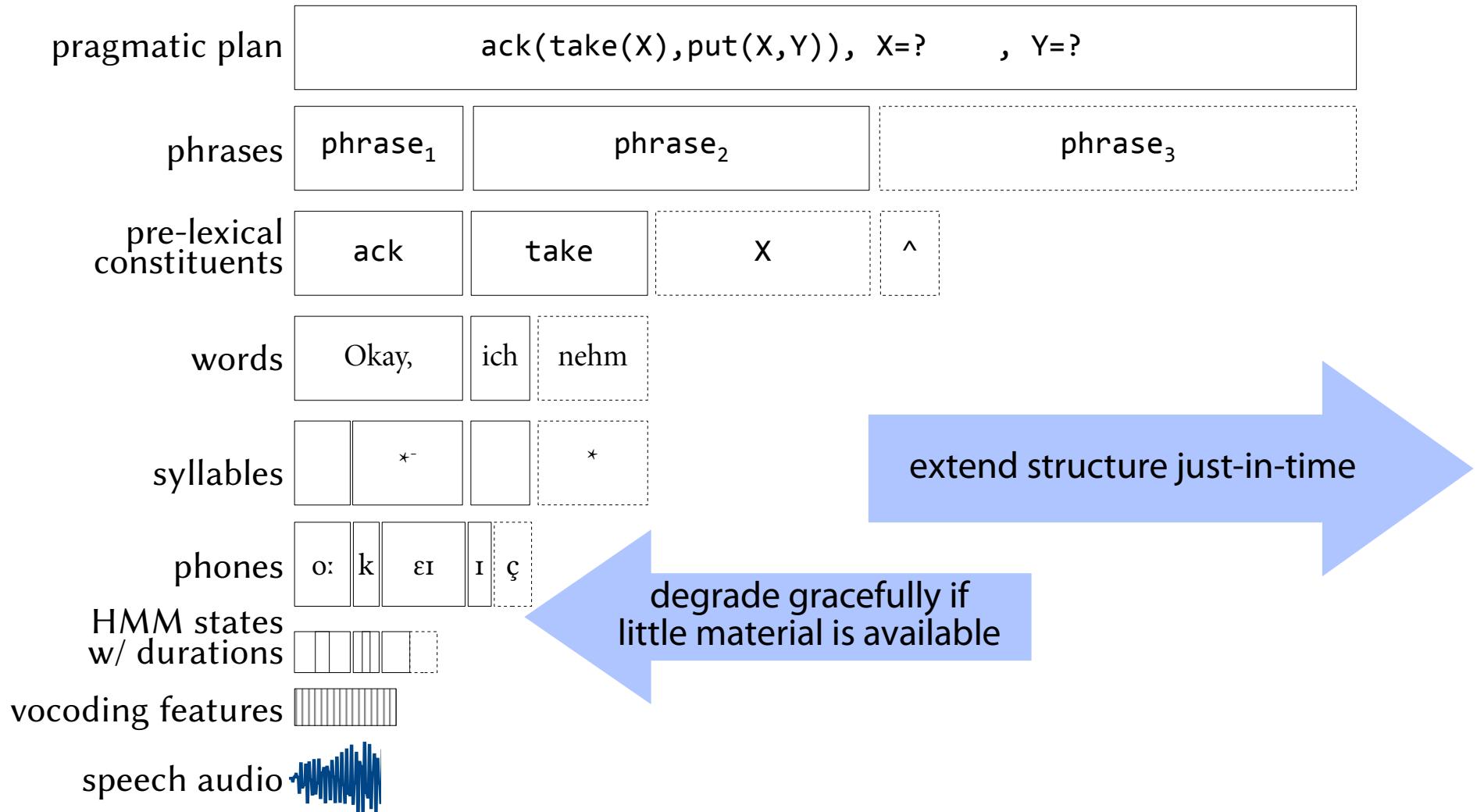
Baumann and Schlangen (2012a,b)
Baumann and Schlangen (2013a,b)
Baumann (2014a,b)

Incremental Speech Generation and Synthesis (HMM-based)



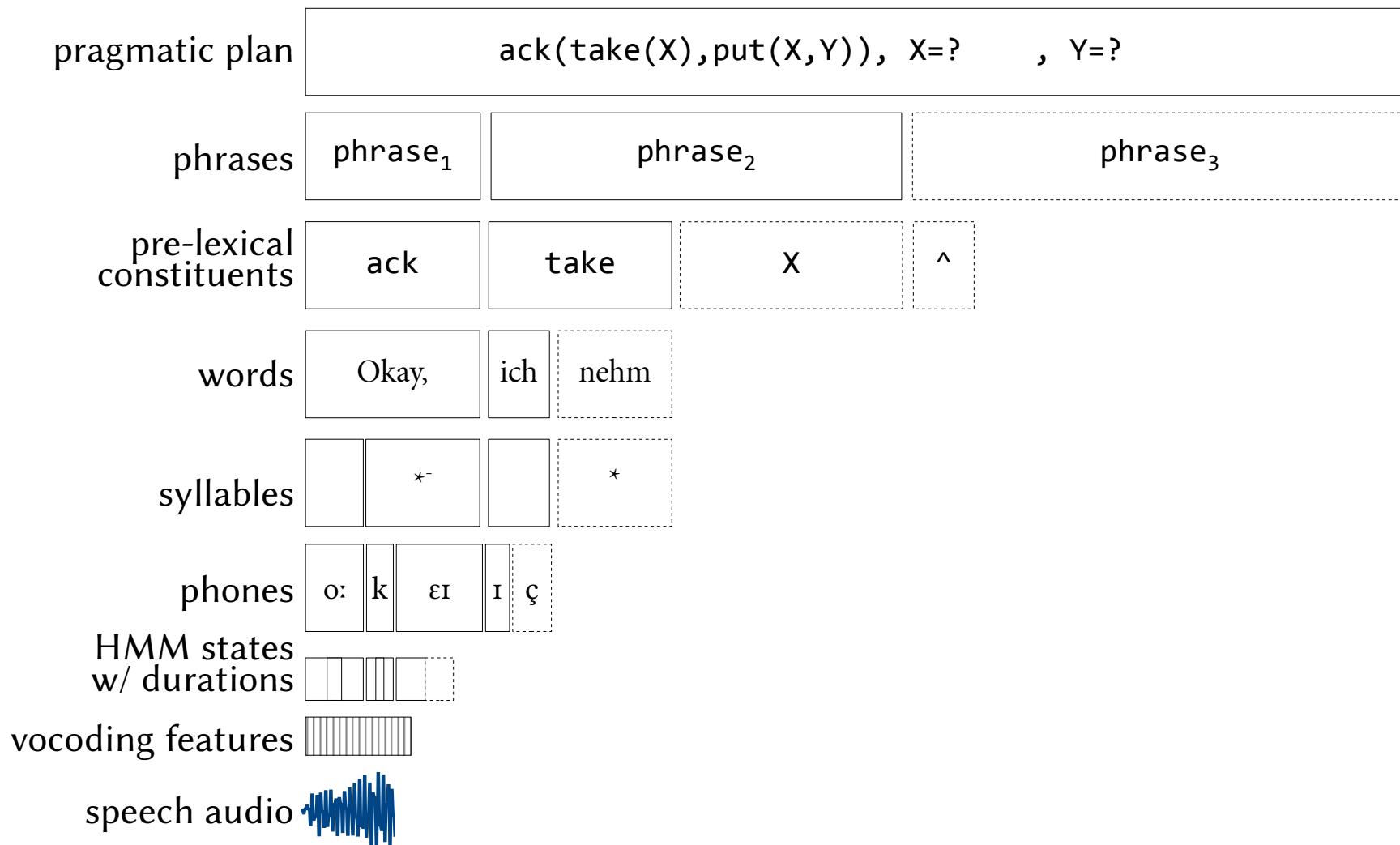
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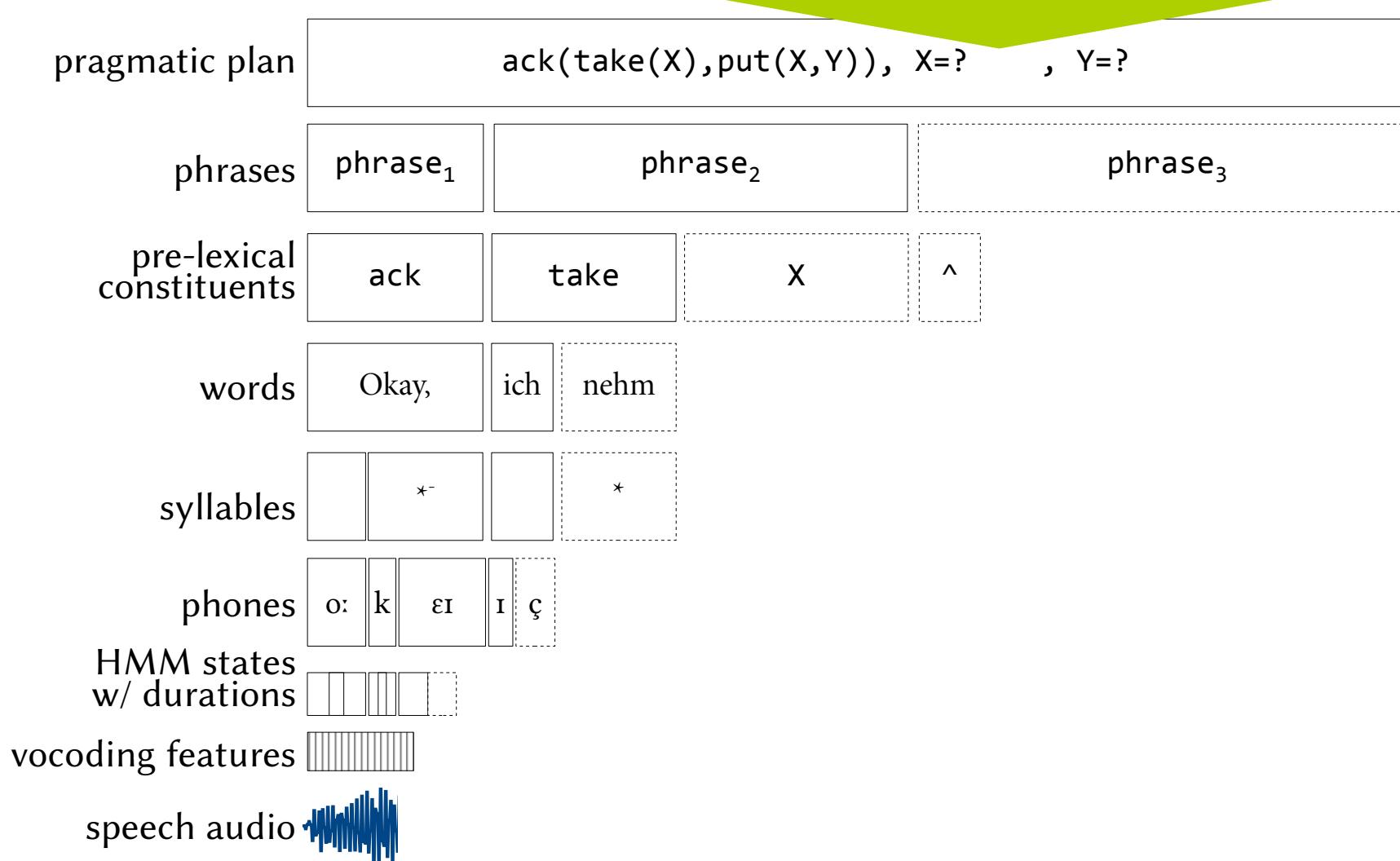
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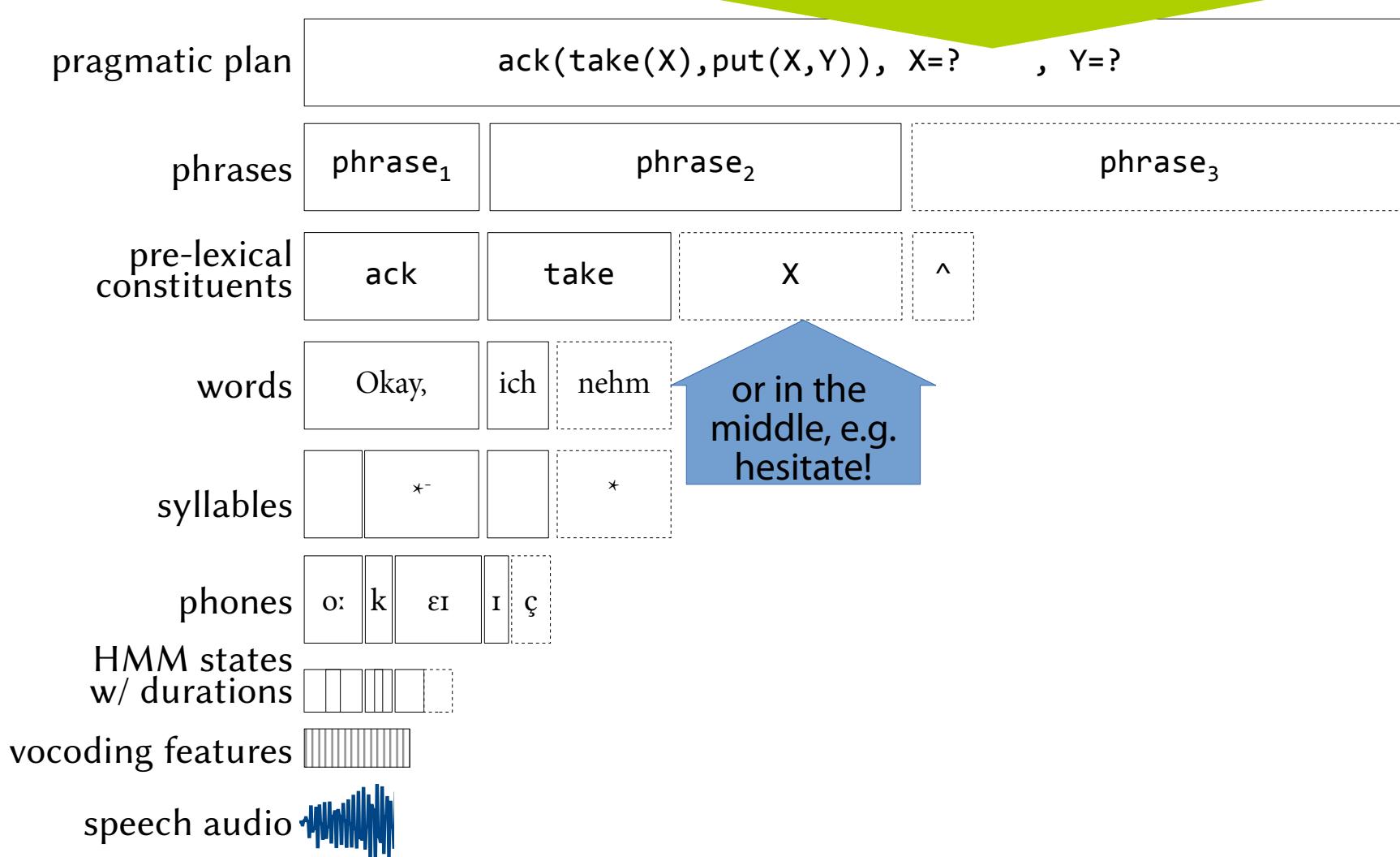
Incremental Speech Generation and Synthesis

specification
extension / changes
at the top



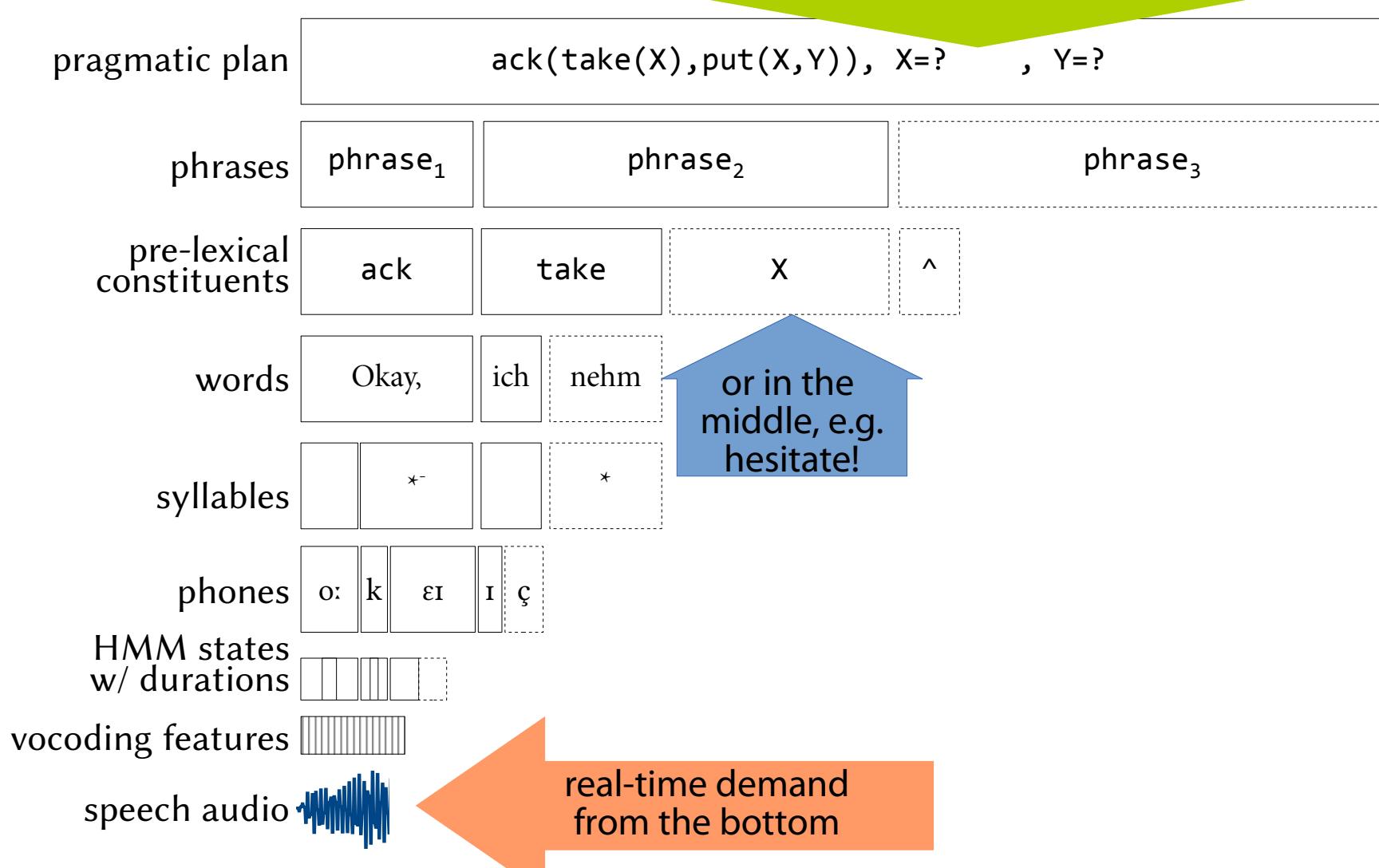
Incremental Speech Generation and Synthesis

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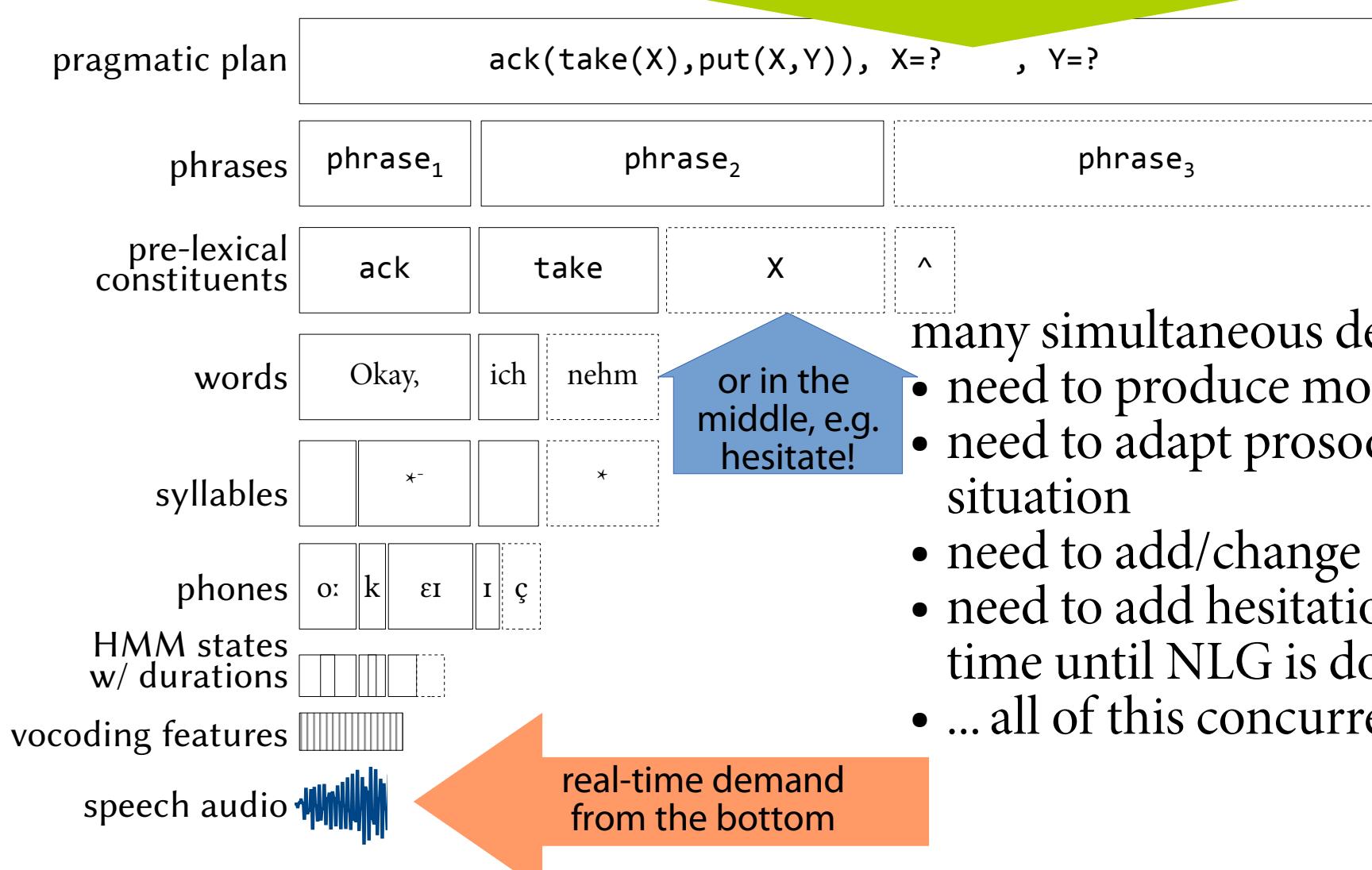
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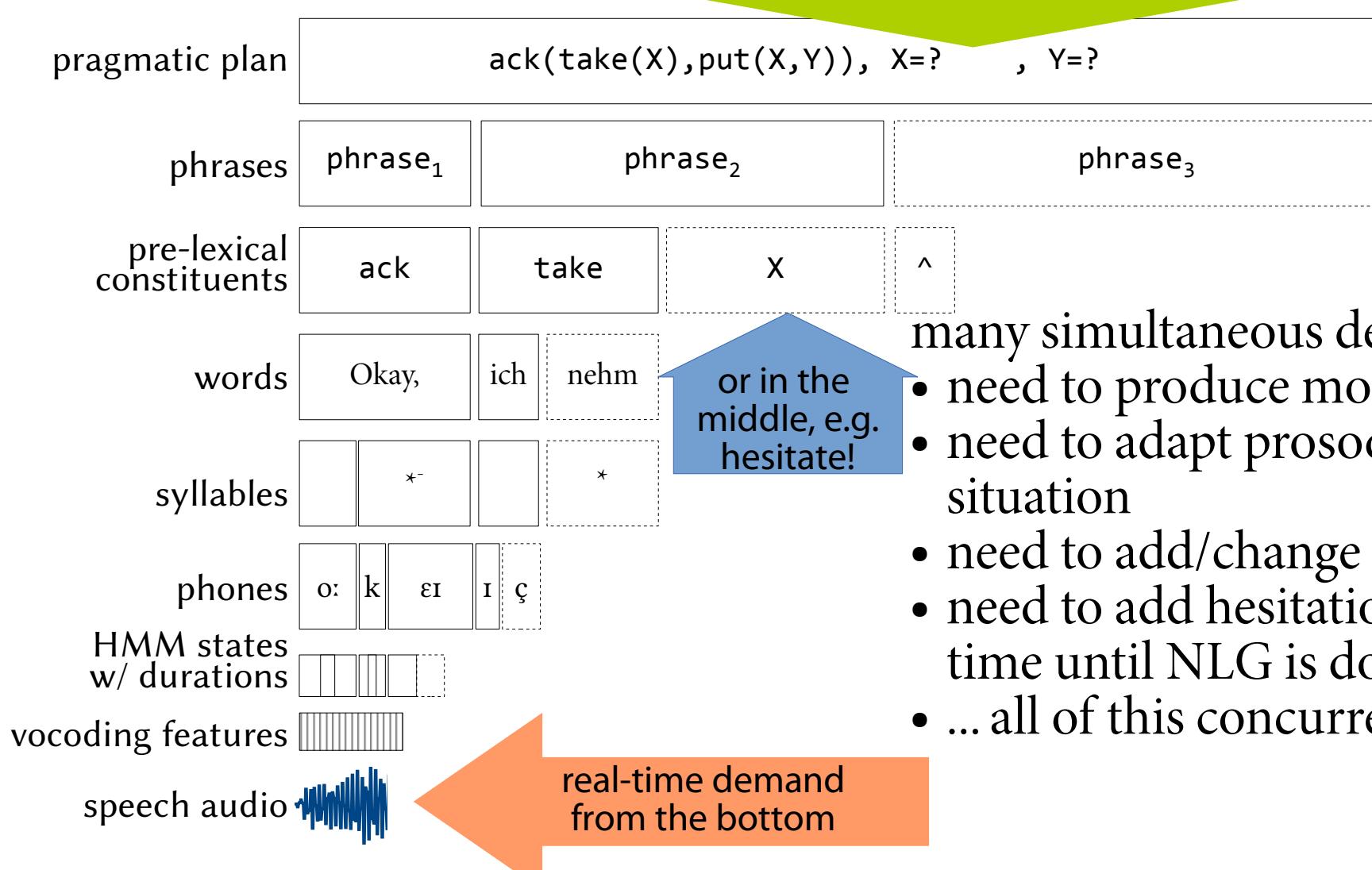
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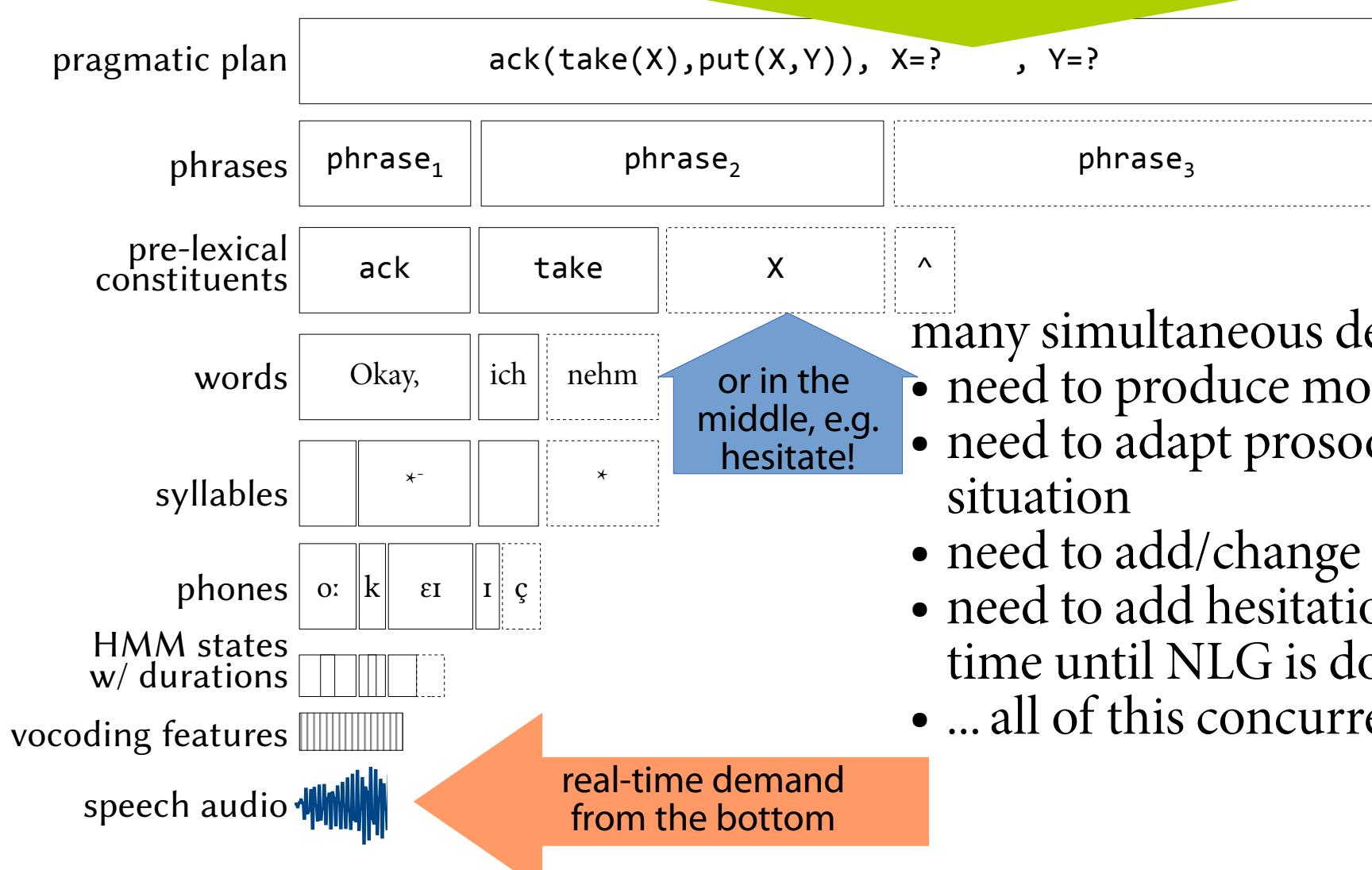
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Incremental Speech Generation and Synthesis

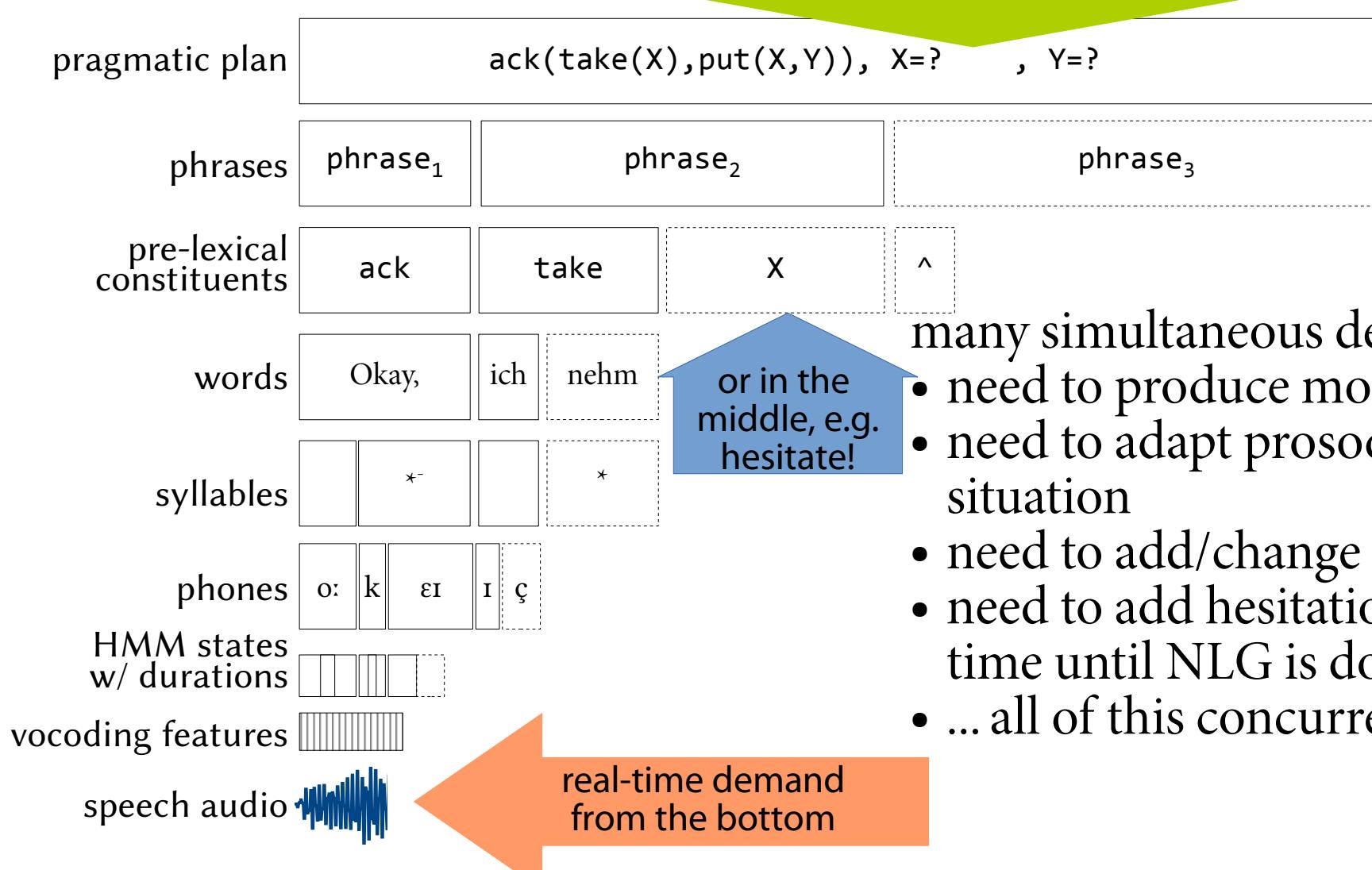
specification
extension / changes
at the top



- many simultaneous demands:
- need to produce more speech
 - need to adapt prosody to situation
 - need to add/change material
 - need to add hesitation to span time until NLG is done
 - ... all of this concurrently

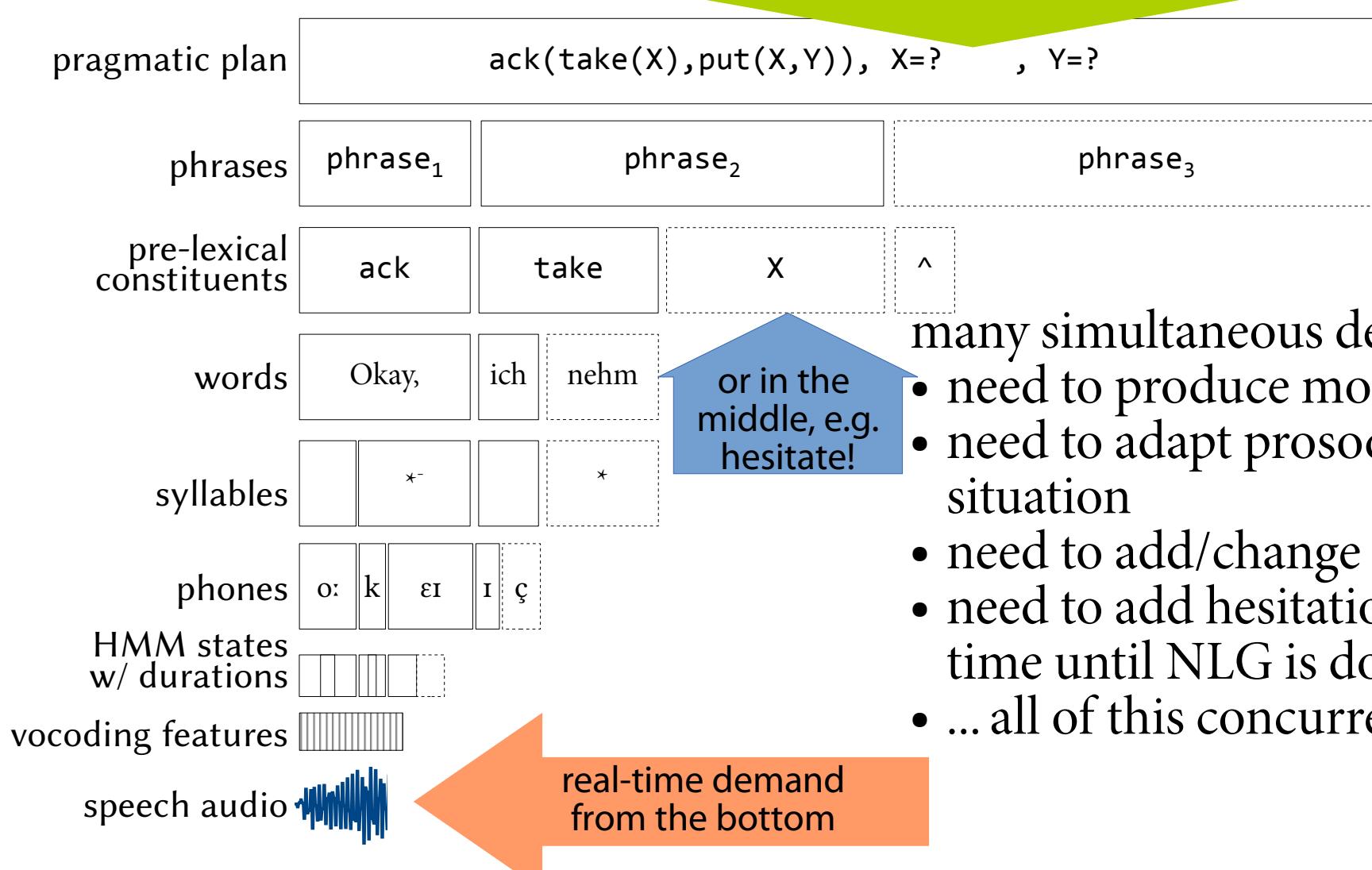
Incremental Speech Generation and Synthesis

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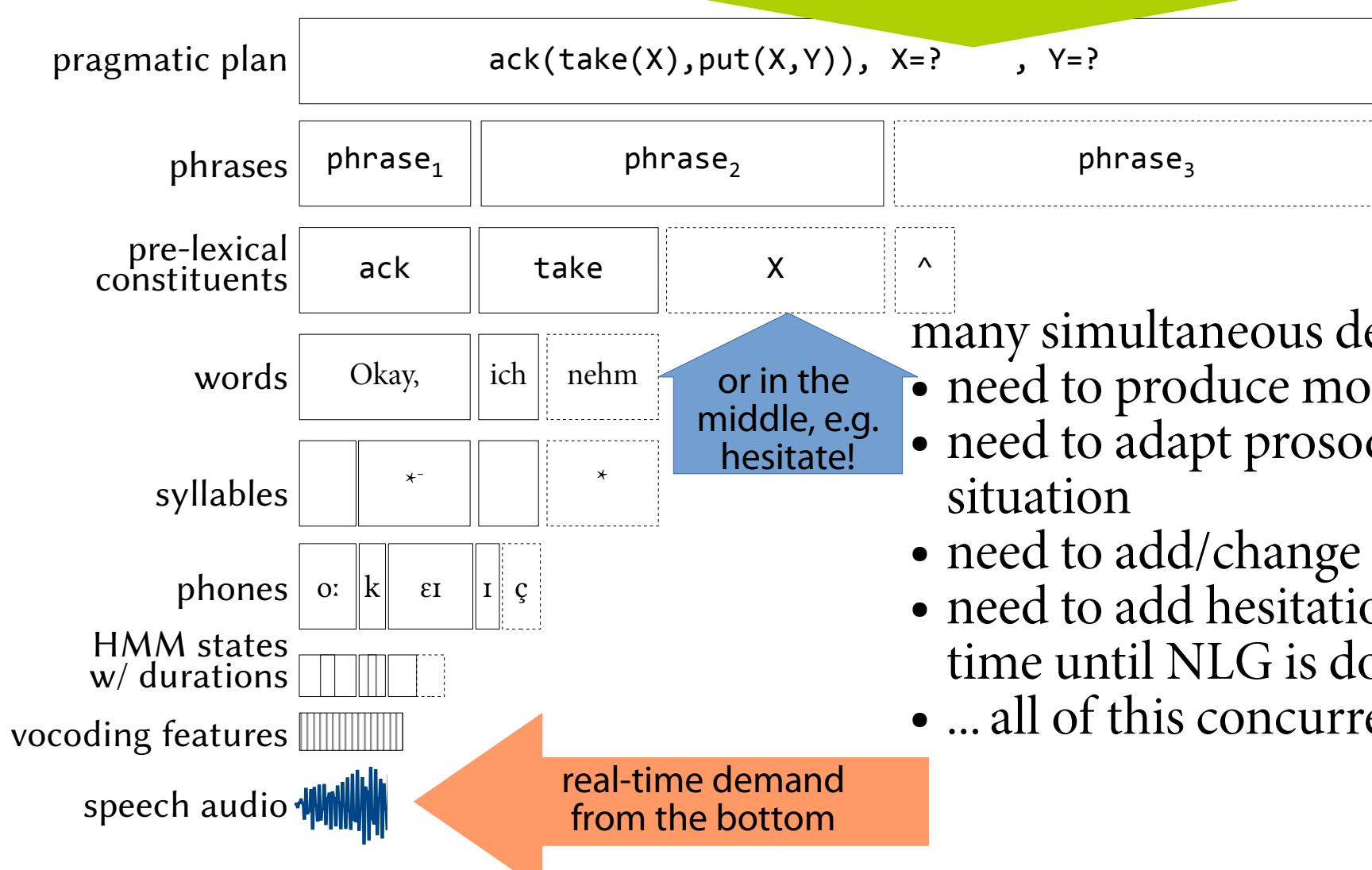
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Incremental Speech Generation and Synthesis

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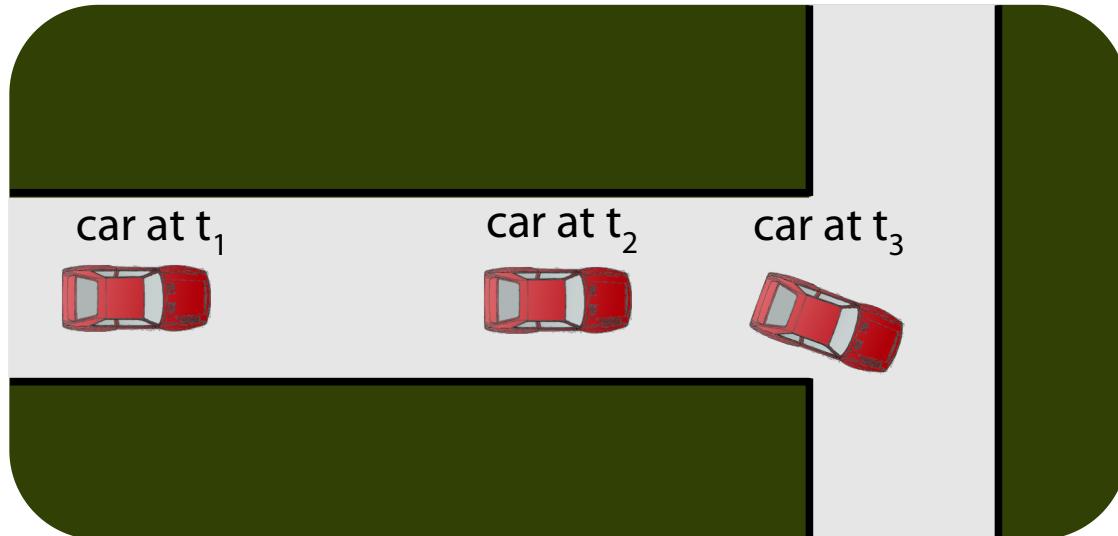


Breaking
NEWS

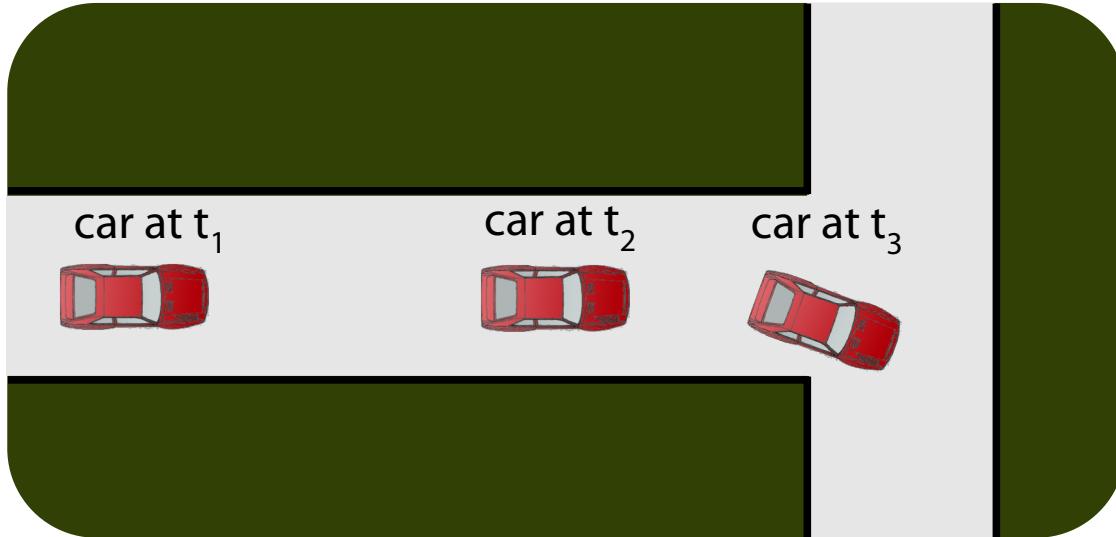


Acting in Dynamic Environments

- dynamic environment changes quickly
 - rate of notable events is high – too high to generate one descriptive utterance per event
- events need to be combined into complex utterances



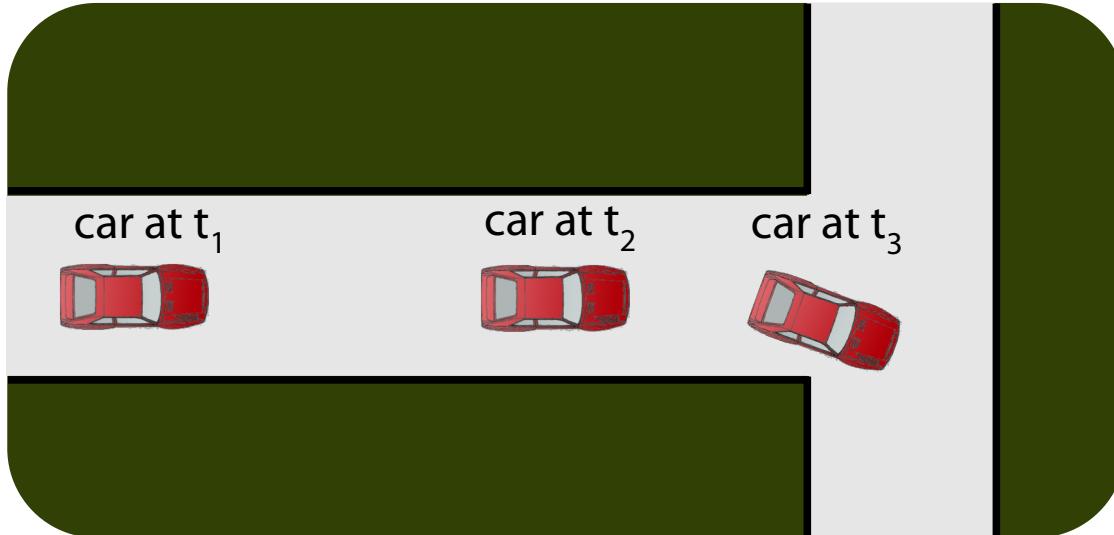
Acting Incrementally



- at t_1 : car drives along street
- at t_2 : the car is *likely* to turn
- at t_3 : car is turning right

"The car drives along X-street.
...X-street, and then turns...
...right into Y-street."

Acting Incrementally



- at t_1 : car drives along street
- at t_2 : the car is *likely* to turn
- at t_3 : car is turning right

"The car drives along X-street.

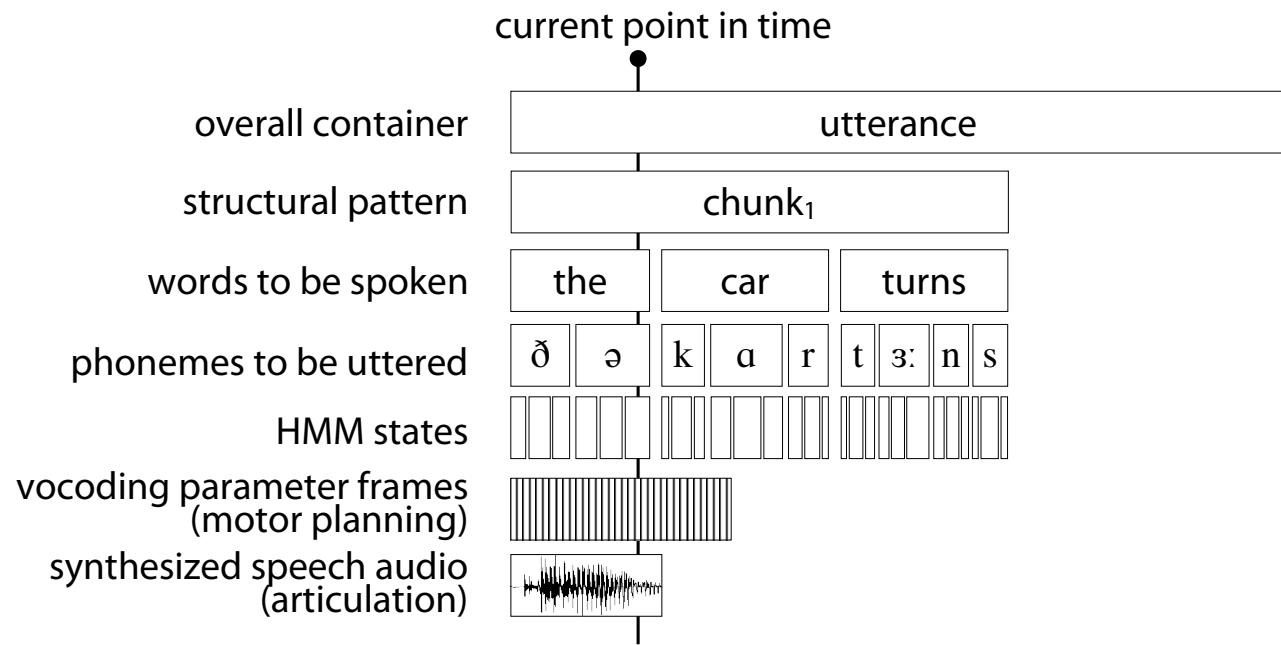
...X-street, and then turns...

...right into Y-street."

prosody
must be
adapted!

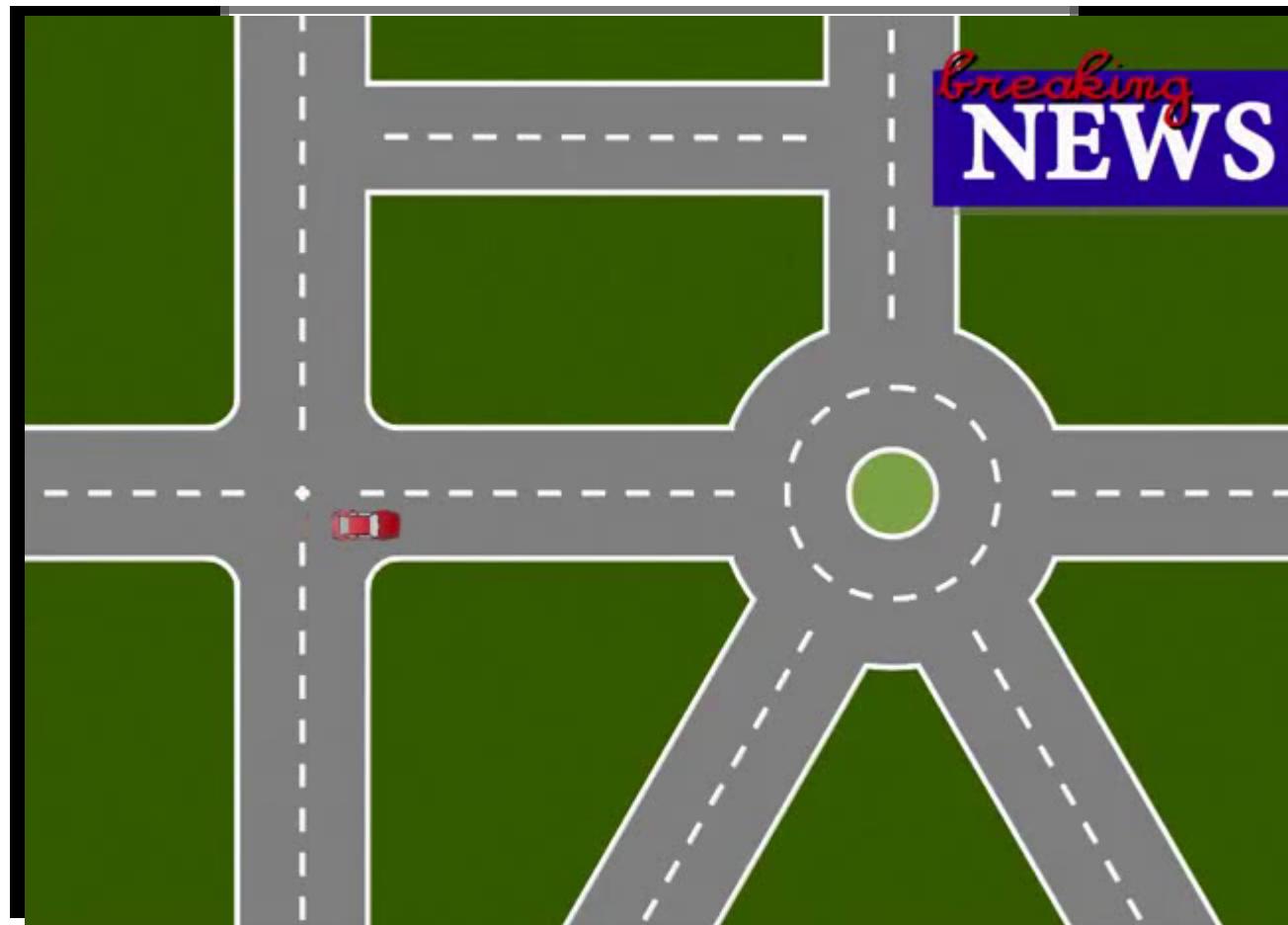
Acting Incrementally: Challenges

- *piece-meal input*: phrases or individual words
 - conventional speech synthesis assumes full utterances
- phrases have to be *connected prosodically*
- processing should occur
just-in-time

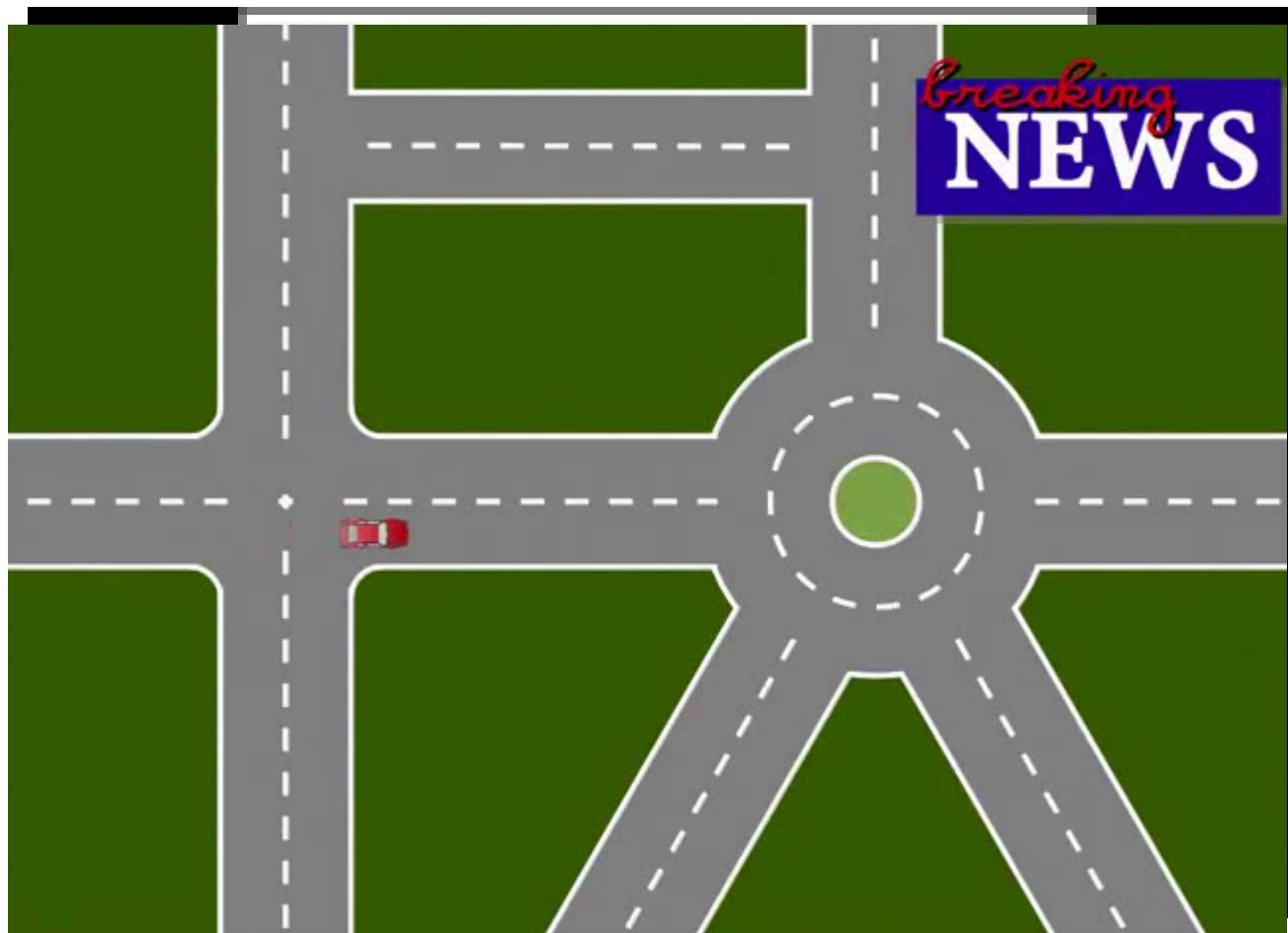


given that incremental speech synthesis
measurable degrades prosodic parameters –
→ **does this degradation matter to listeners?**

Standard behaviour



Incremental behaviour (taking expectations into account)

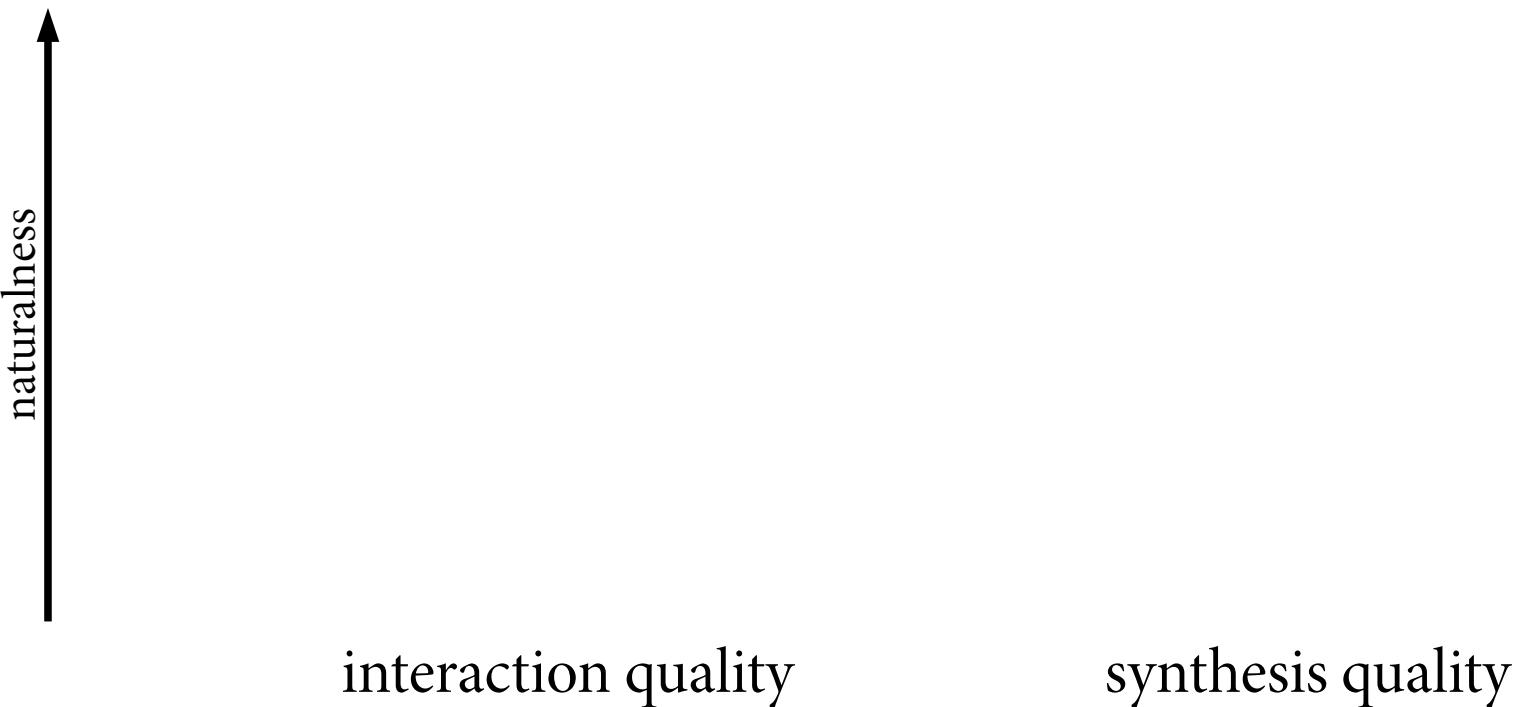


Experiment

- incremental system vs. baseline system
- 9 settings in the CarChase domain
- 9 subjects were asked to rate (5-point Likert)
 - naturalness of verbalization (to capture interactional adequacy)
 - naturalness of *pronunciation* (to capture synthesis quality)
- results in 81 paired samples
- incremental processing implemented in InproTK,
using speech synthesis technology from MaryTTS

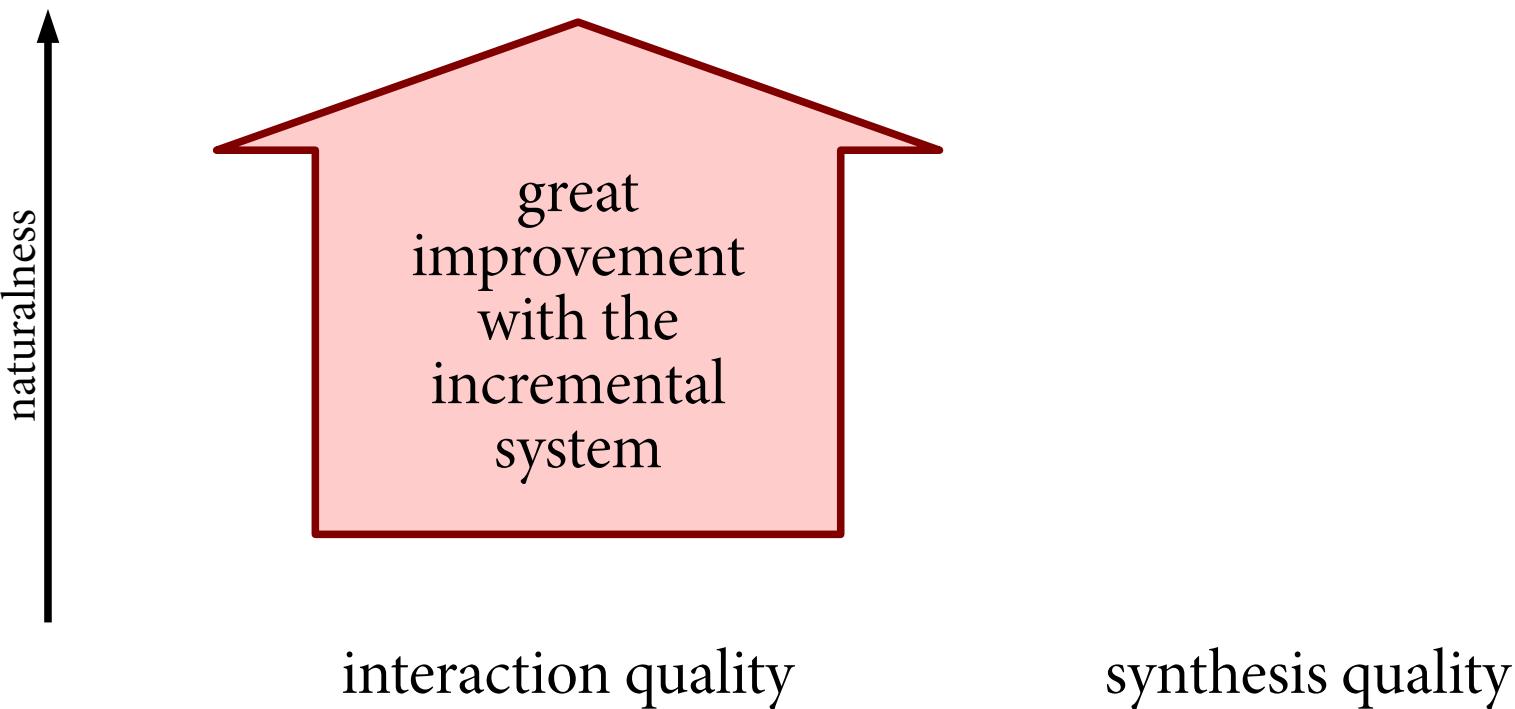
Expected results

- we were hoping for a good trade-off:



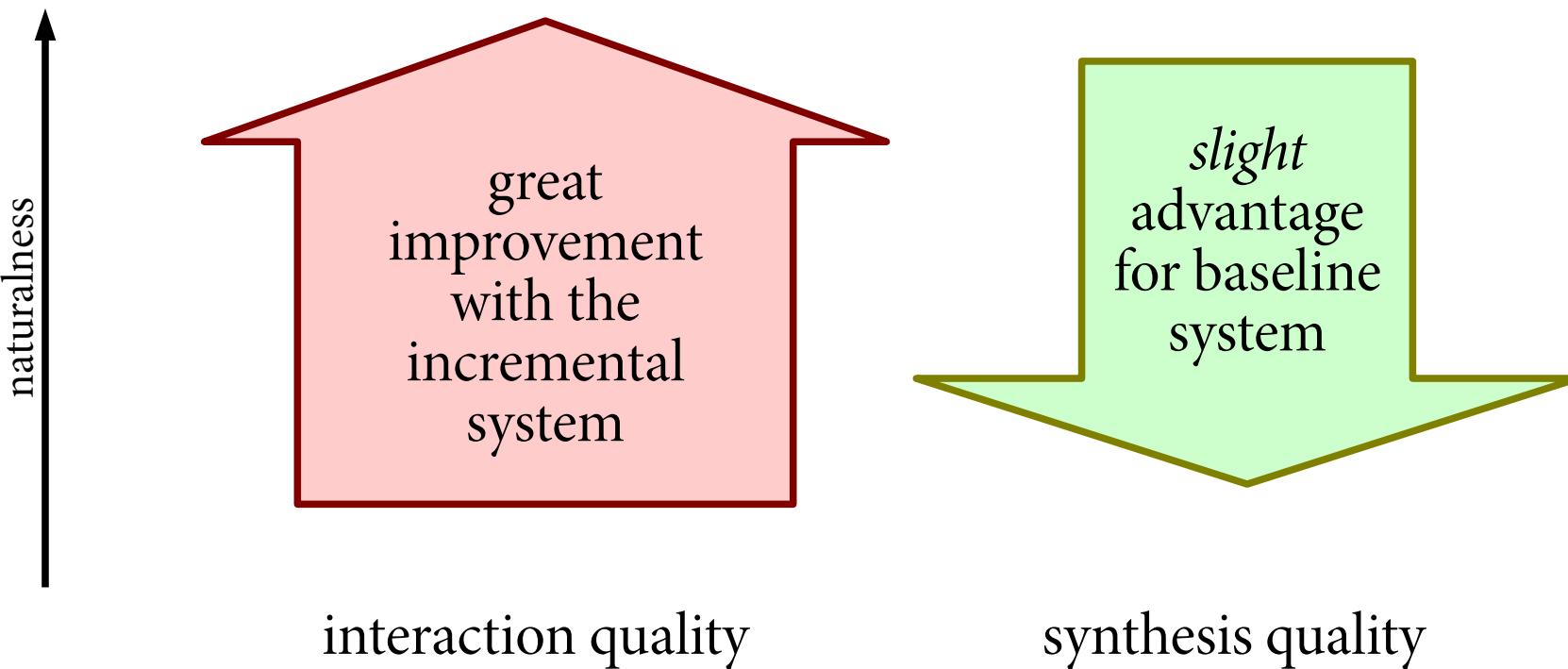
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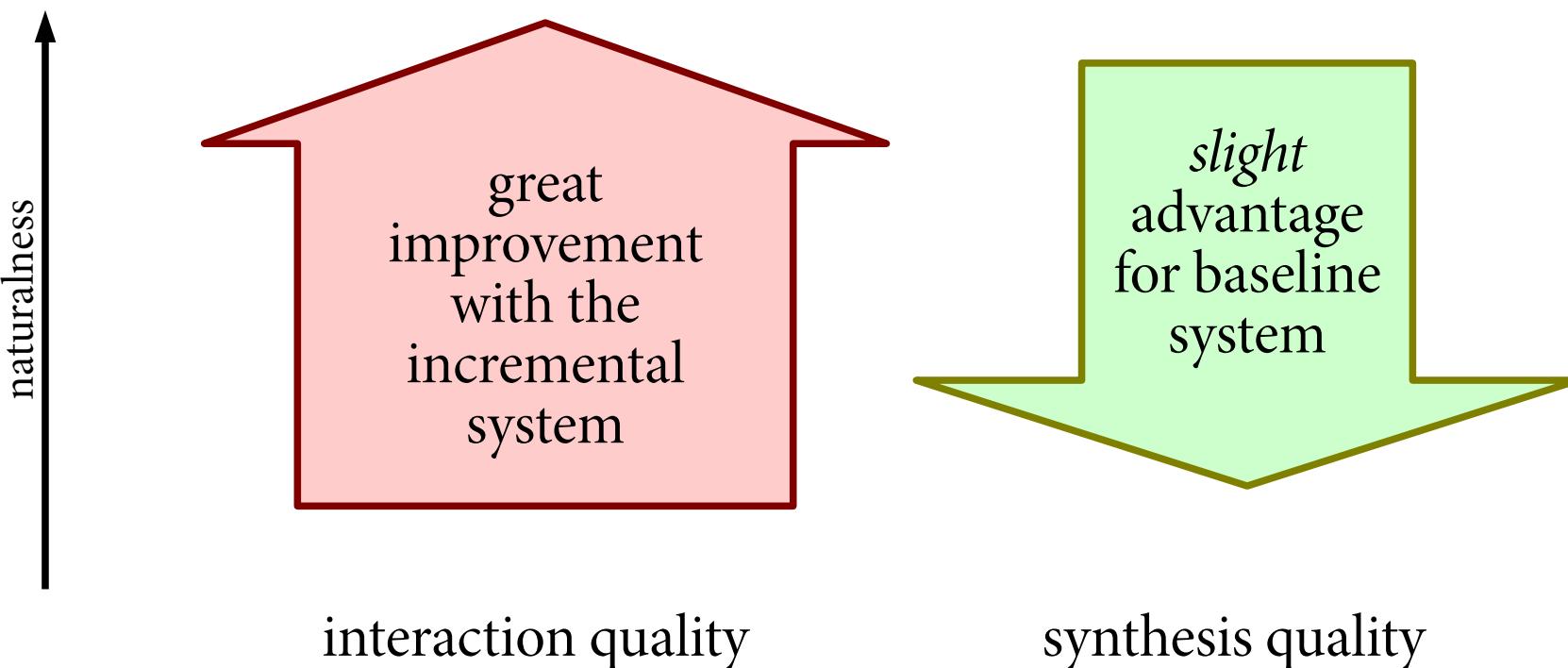
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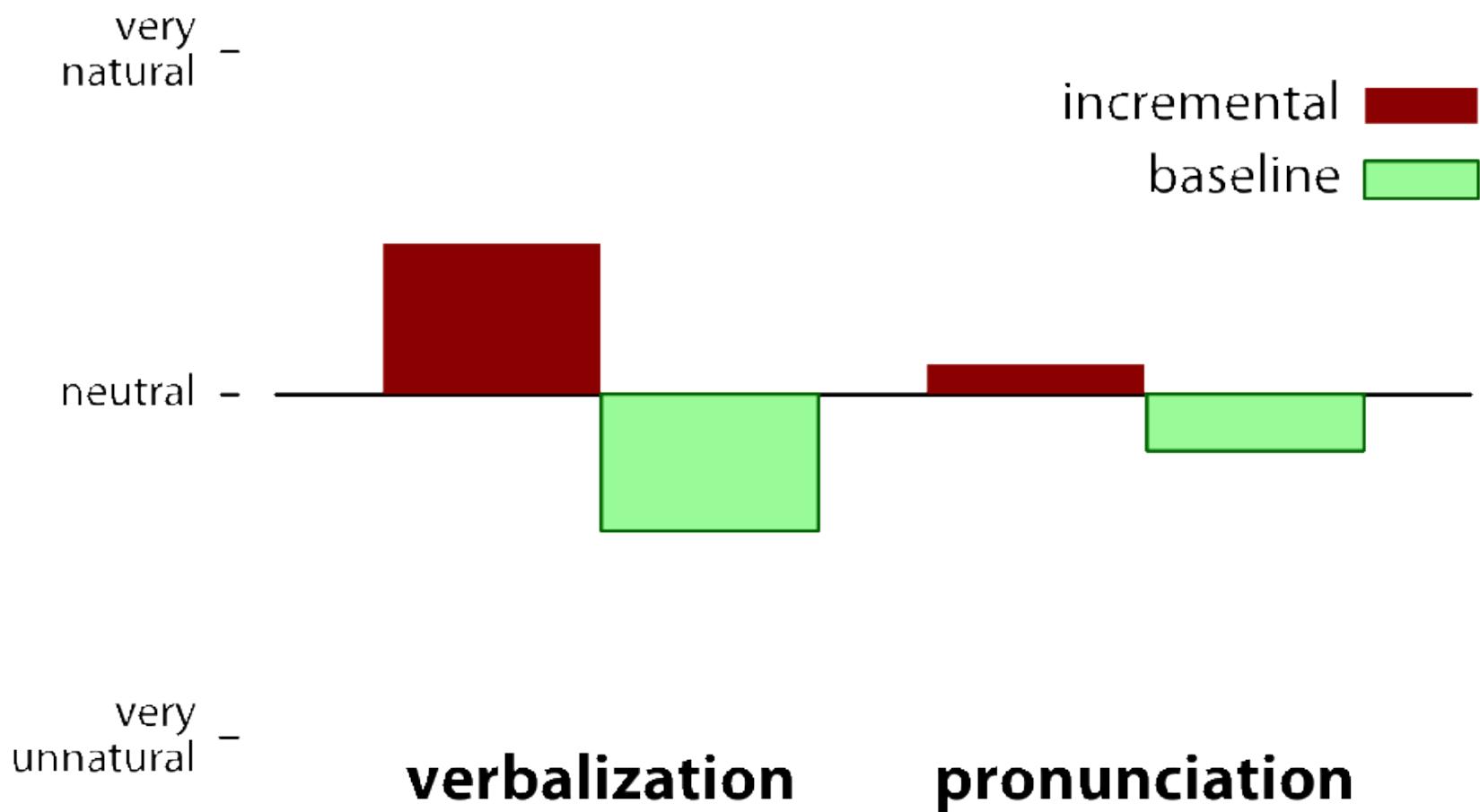
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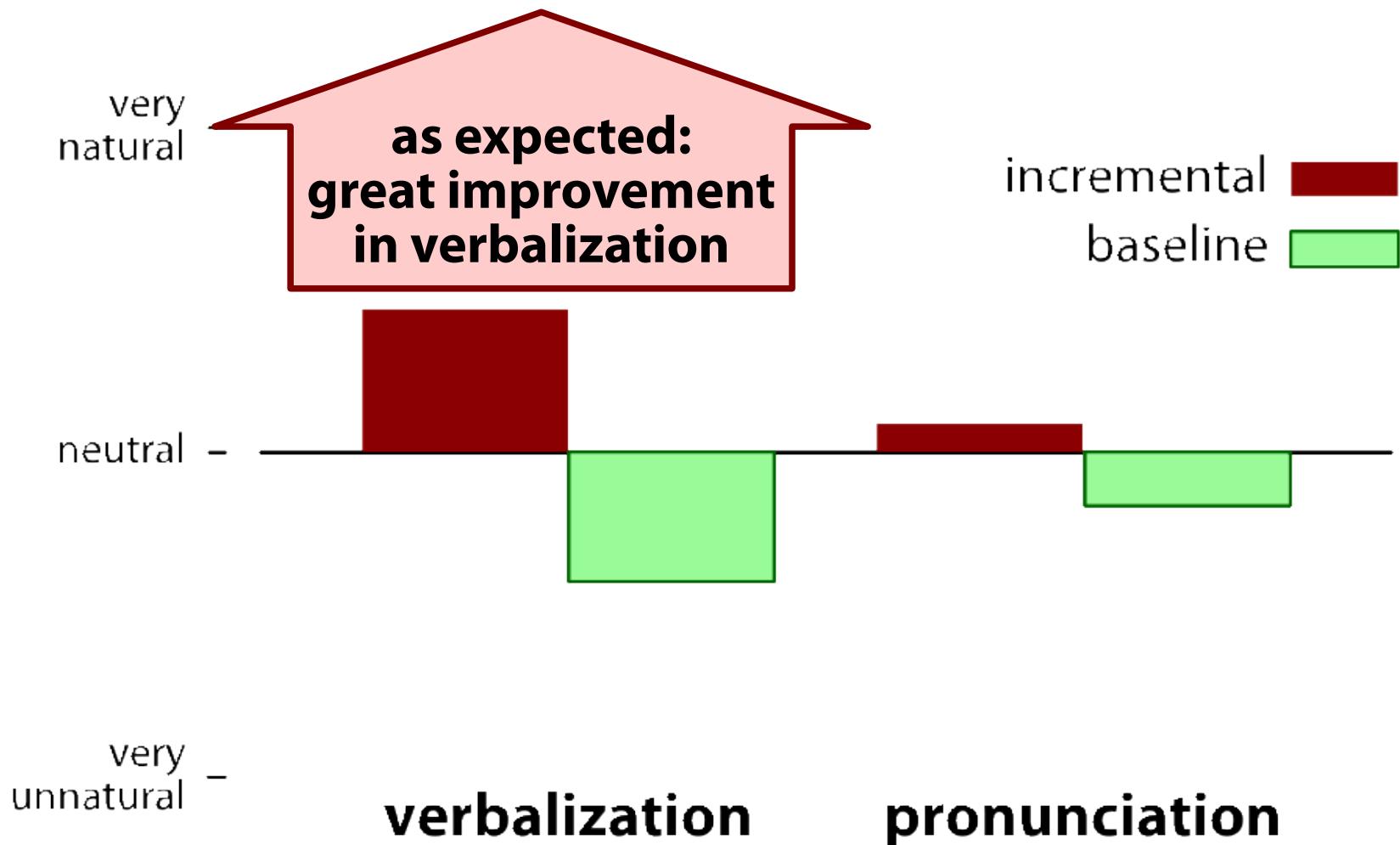


→ write paper: „Trade-off between incrementality of behaviour and speech synthesis quality“

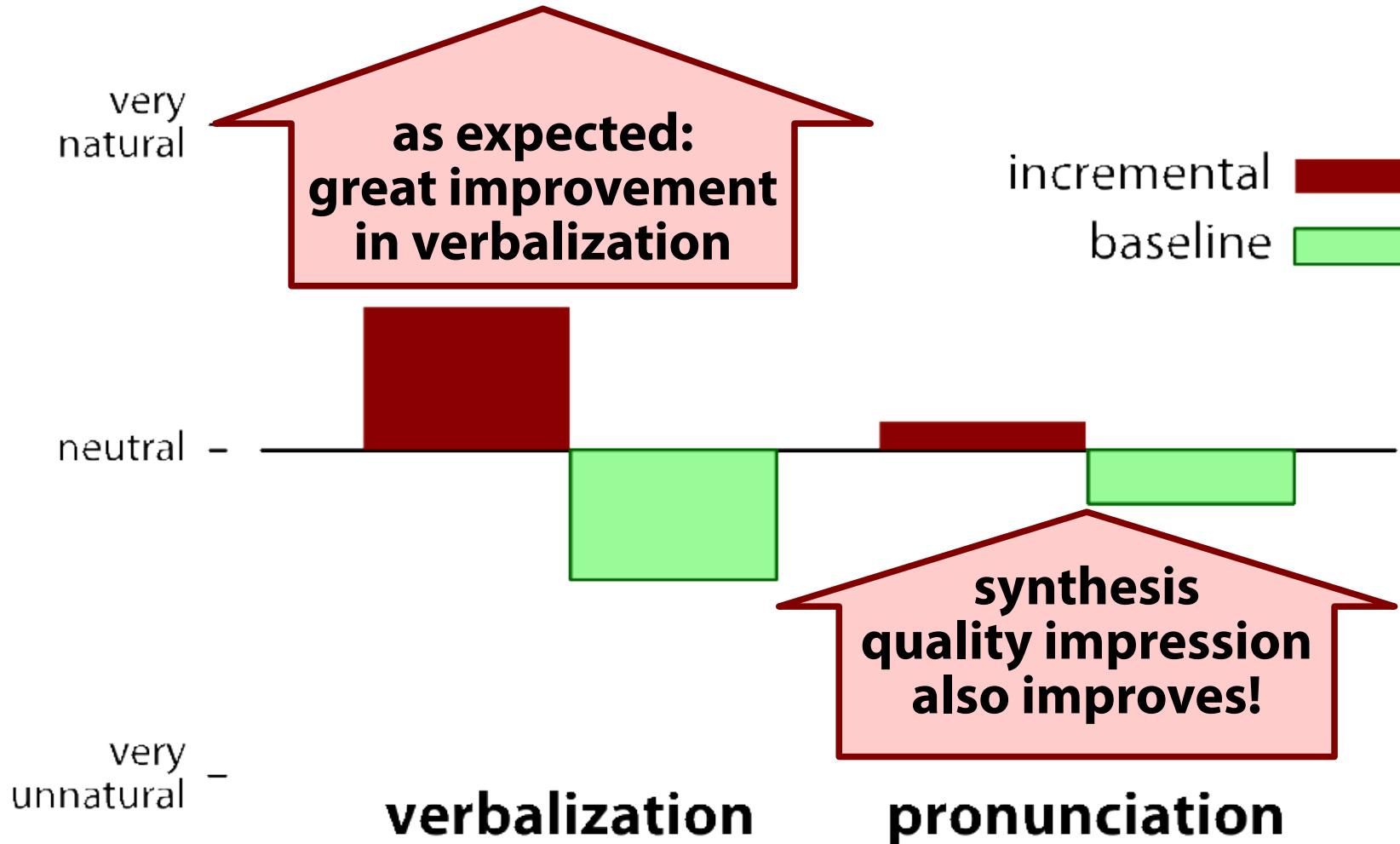
Actual results



Actual results



Actual results



Pronunciation ratings

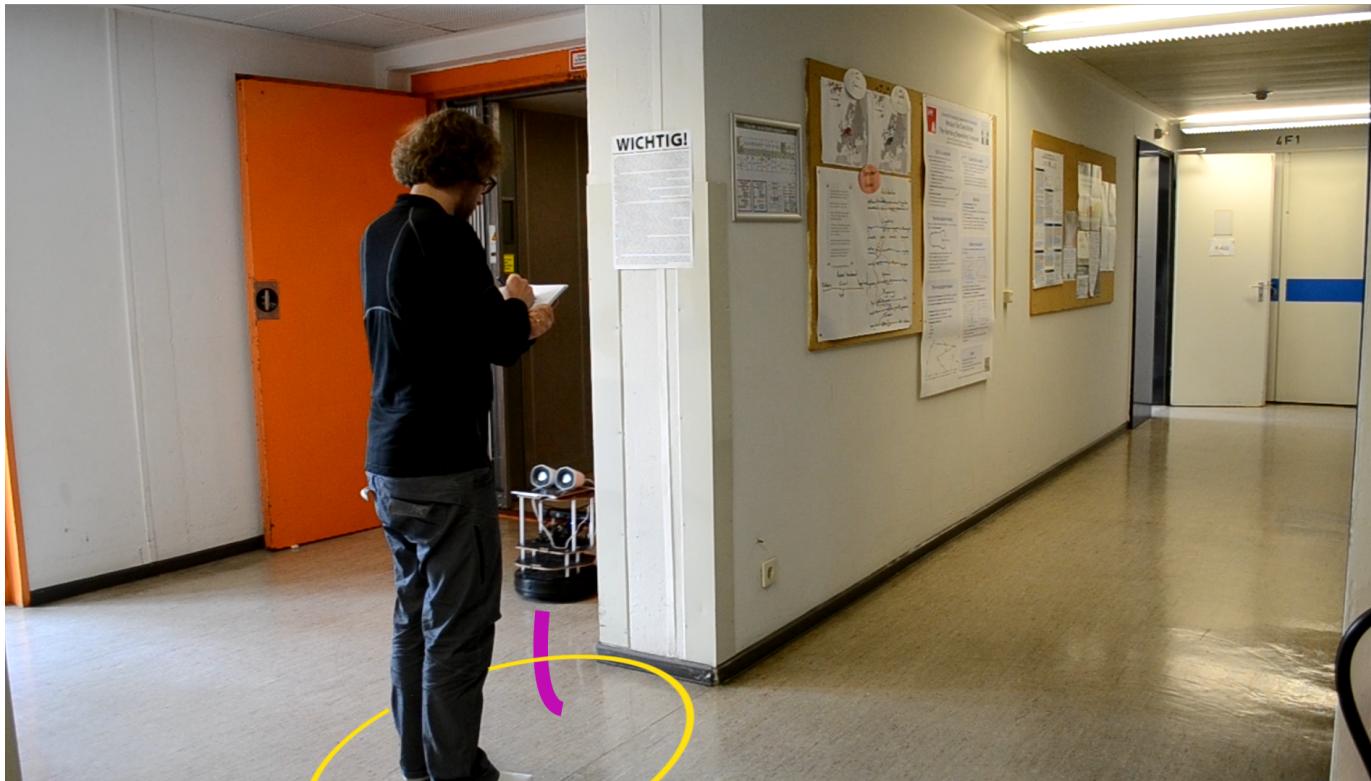
- Incremental processing cannot have systematically improved synthesis quality
 - incremental synthesis was previously shown to lead to a slight quality degradation (Dutoit et al., 2011)
- but:
naïve listeners do not distinguish between interaction and synthesis quality (Pearson's $r = .537$)
- verbalization/wording adequacy seems to outweigh pronunciation/synthesis quality

Conclusions: Incremental speech output

- adequate verbalization / wording in a given context
 - may be more important than synthesis quality
 - may even lead to better synthesis quality ratings!
 - despite somewhat reduced quality
- ➔ you need to find out what really matters for the users of your application!

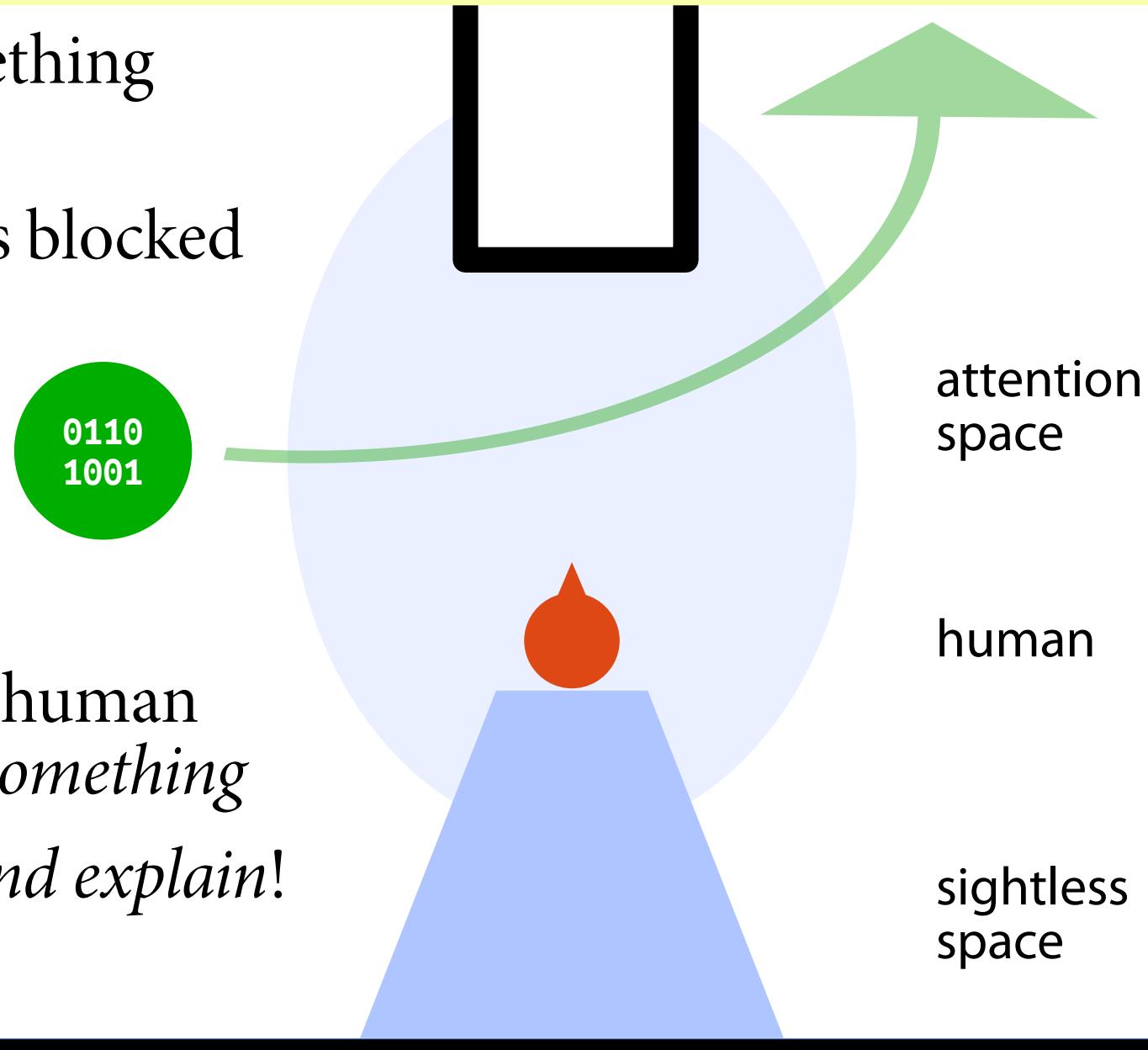
Incremental speech output in social robot navigation

- personal space intrusion:
dispreferred but sometimes necessary



Personal Space Intrusion

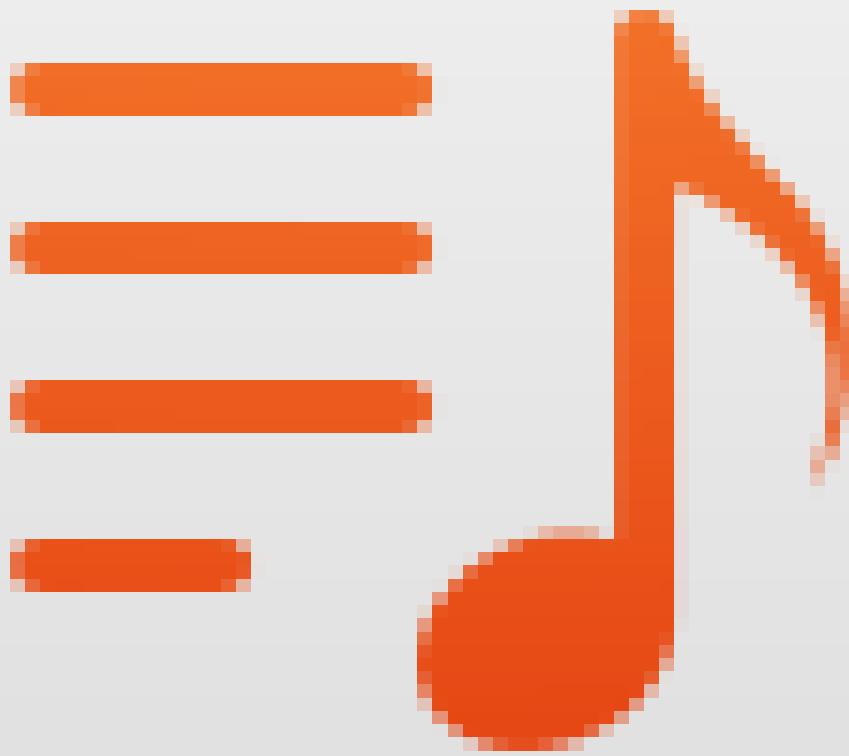
- human reads something in front of her
- space behind her is blocked



- impossible to pass human without violating *something*
- at least *apologize and explain!*

Example





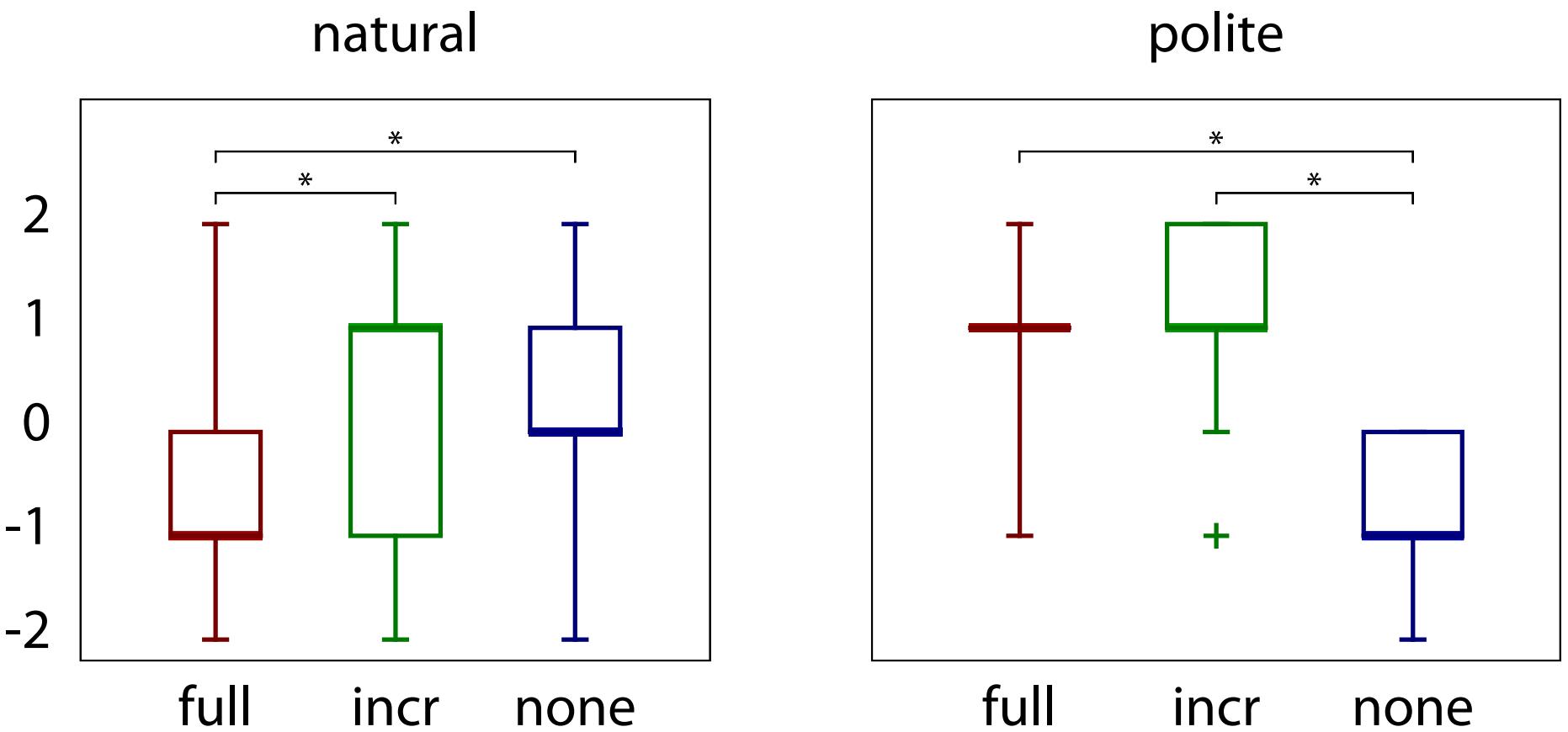
Controlled study

- simulated robot environment
- different robot speed/human movement conditions
- three speaking conditions:
 - silent
 - apologize, explain and thank when entering the personal space
→ what if we only touch the personal space briefly?
 - skip forward to „thank you“ when we exit the personal space

Excuse me, ► I need to pass ► urgently ► to rescue a patient ► in the other corridor, ► thank you.

- 13 students, 12 videos, three questions:
robot naturalness, politeness, route&speed

Results



- we find that the incremental speech production strategy is both natural and polite.

Langzeitperspektive auf Incrementalität und Integration

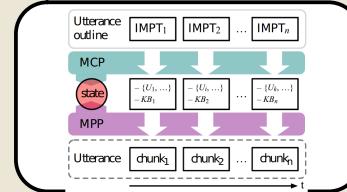
- “Stratifikation” der Abstraktionsebenen ermöglicht fortgeschrittene konversationale Fähigkeiten

task, long-term goal management

content

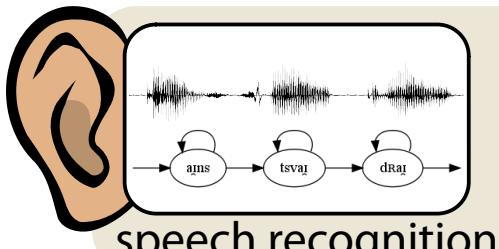
ACTION: flipping
END:
vertical
OBJECT: [NAME: pro
XPOS undef
YPOS undef]

understanding



generation

language



speech recognition

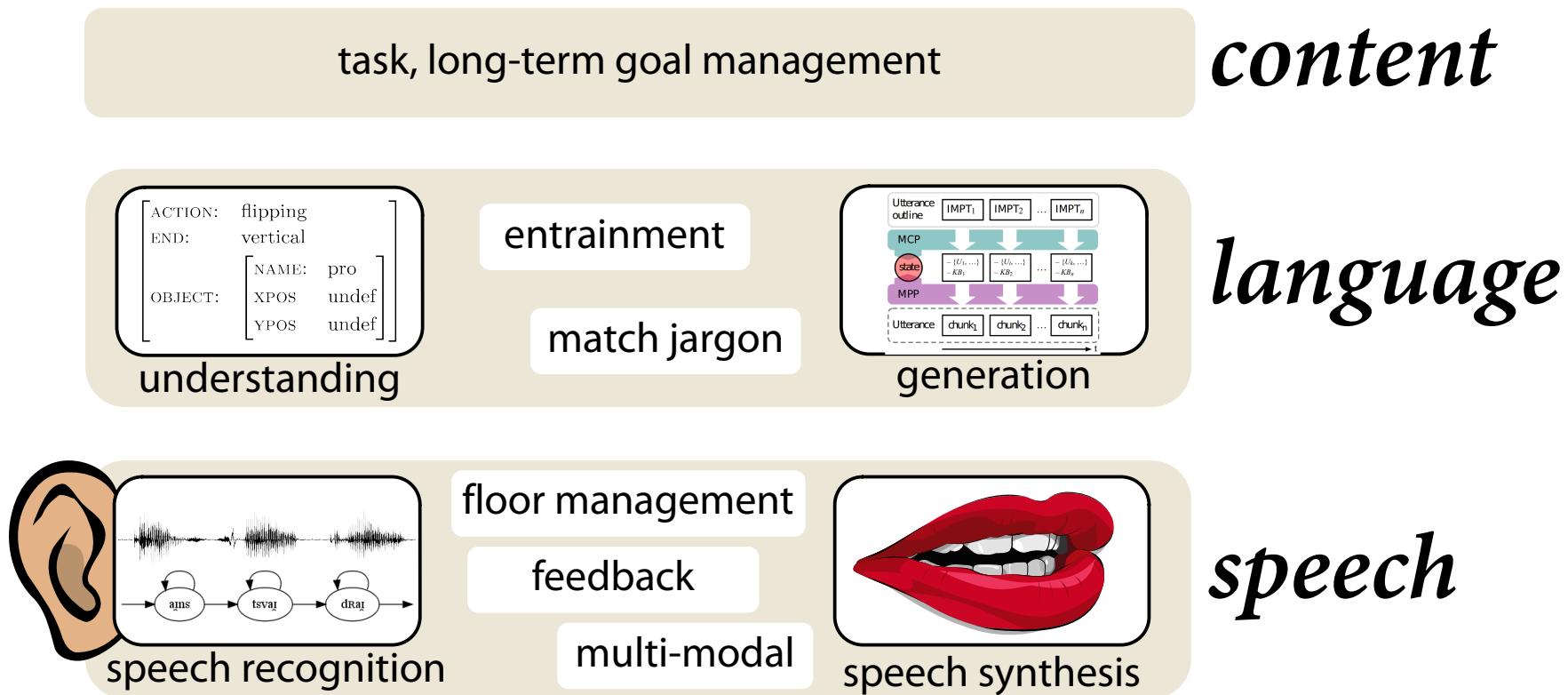


speech synthesis

speech

Langzeitperspektive auf Incrementalität und Integration

- “Stratifikation” der Abstraktionsebenen ermöglicht fortgeschrittene konversationale Fähigkeiten



Zusammenfassung

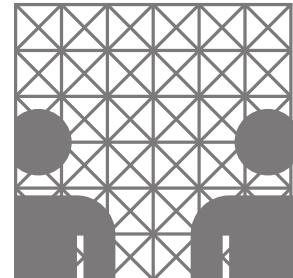
- **Responsivität und Flexibilität** sind Schlüsselaspekte erfolgreicher gesprochensprachlicher Interaktion
- **incrementelle/schritthalrende Verarbeitung** ermöglicht Responsivität
 - Aktionen basierend auf partieller Information
 - flexible Abänderung geplanter und laufender Aktionen
- Verarbeitungsparadigmen und Schnittstellen müssen für inkrementelle Verarbeitung angepasst werden

Vielen Dank für Ihre Aufmerksamkeit.

Timo Baumann
baumann@informatik.uni-hamburg.de
www.timobaumann.de/work



Universität Hamburg, Fachbereich Informatik



weitere Literatur

- Incremental Processing Architecture:
 - Schlangen, David, and Gabriel Skantze. "A general, abstract model of incremental dialogue processing." *Proceedings of EACL*, 2009.
- Incremental Speech Recognition, Speech Synthesis, Architecture:
 - Baumann (2013): *Incremental Spoken Dialogue Processing: Architecture and Lower-level Components*. PhD thesis, U Bielefeld, Germany.
- Evaluating Incremental Processing
 - Baumann et al. (2011): “Evaluation and Optimisation of Incremental Processors”, *Dialogue & Discourse* 2(1).
- Highly Interactive Continuous Control
 - Baumann et al. (2013): “Using Affordances to Shape the Interaction in a Hybrid Spoken Dialogue System”, *Proceedings of ESSV 2013*, TUD Press.

Raum für Notizen

Lernziele

Studierende

- ... verstehen die zwei Zeitdimensionen, die in der inkrementellen Verarbeitung betrachtet werden
- ... kennen das Konzept inkrementeller Einheiten
- ... verstehen den Vorteil, partielle und vorläufige Hypothesen systematisch zu handhaben
- ... können inkrementelle Verarbeitung auf diversen linguistischen Ebenen mit Problemen der Mensch-Computer-Interaktion in Beziehung setzen