# The Methods of Expressing Obligation and Prohibition in English, Hungarian, and Polish Statutory Instruments: Comparative Analysis of Selected Aspects of Deontic Modality

Karolina Kaczmarek, PhD
Aleksandra Matulewska, PhD
Przemysław Wiatrowski, MA
Laboratory of Legi-Linguistics
Institute of Linguistics
Faculty of Modern Languages and Literature
Adam Mickiewicz University
Poznań, Poland

#### Pure modal meanings

- Obligation
- Permission
- Prohibition

#### Obligation

- Unconditional duty which is understood here as an obligation to perform which is binding no matter the situation (actor revealed and actor not revealed)
- Conditional duty which is understood here as an obligation to perform only in specific circumstances (actor revealed and actor not revealed)
- Limited conditional duty that is to say an obligation to perform only in specific circumstances with a guaranteed minimum or maximum limit of performance where the level of performance above or below the guaranteed limit is at the actor's discretion (actor revealed and actor not revealed)

### Unconditional duty with the person obliged to perform it revealed in the surface structure

Polish	Hungarian	English
(i) Jest obowiązany 'is obliged' (ii) Wymaga 'requires' (iii) Powinien 'should' (iv) Ma obowiązek 'has a duty' (v) Należy do '' (vi) Present tense indicative (including: obowiązek ciąży/obciąża 'the duty burdens sb') (vii) Future tense	(i) Kell 'is needed' + personal ending (ii) Köteles 'obliged' (iii) Kötelesség 'obligation'+ personal ending (iv) Kötelezi 'to oblige, to impose a duty on' (v) Present tense indicative (vi) Kell 'is needed' + infinitive (very rare)	(i) Shall (ii) Is obliged to (iii) (although must and is required to are possible they are very rare)
indicative		

### Unconditional duty with the person obliged to perform it not revealed in the surface structure

Polish	Hungarian	English
(i) Jest wymagane 'is required' (ii) Wymaga 'requires' (iii) Musi 'must' + passive voice (iv) Należy + infinitive and Należy się 'should' (v) Powinien 'should' (v) Present tense indicative (including obowiązek obejmuje 'the duty includes') (vi) Future tense indicative	(i) Kell 'is needed' + infinitive (ii) Present tense indicative (3 <sup>rd</sup> person) (iii) Suffix -endő/-andó (iv) Kötelező '(is) obligatory' (v) Kell 'is needed' + personal ending	(i) Shall (ii) Must (v) is to be done

### Conditional duty with the person obliged to perform it revealed in the surface structure

Polish	Hungarian	English
(i) Jest obowiązany 'is obliged' (ii) Wymaga 'requires' (iii) Należy do '' (iv) Powinien 'should' (v) Ma obowiązek 'has a duty' (vi) Present tense indicative	(i) Kell 'is needed' + personal ending (ii) Köteles 'obliged' (iii) Kötelesség 'obligation'+ personal ending (iv) Kötelezi 'to oblige, to impose a duty on'	(i) Shall (ii) Must (iii) Is obliged to (iv) Is to be done by (v) Is required to
(including: obowiązek ciąży/obciąża 'the duty burdens sb', obowiązek spoczywa 'the duty burdens sb') (vii) Future tense indicative	(v) Present tense indicative	6

### Conditional duty with the person obliged to perform it not revealed in the surface structure

Polish	Hungarian	English
(i) Wymaga 'requires' (ii) Musi 'must' + passive voice or Musi + infinitive mieć 'have' (iii) Należy 'should' and Należy się 'should' (iv) Present tense indicative	(i) Kell 'is needed' + infinitive (ii) Present tense indicative (3 <sup>rd</sup> person) (iii) Suffix –endő/-andó (vi) Kötelező '(is) obligatory'	(i) Shall (ii) Must (iii) Is to be done (iv) Is required to be done
<pre>including obowiązek obejmuje 'the duty includes') (v) Future tense indicative</pre>		

### Limited conditional duty with the person obliged to perform it revealed in the surface structure

Polish	Hungarian	English
(i) Jest obowiązany 'is obliged' (ii) Wymaga 'requires' (iii) Powinien 'should' (iiia) Powinien spełnić obowiązek 'should do one's duty' (iv) Present tense indicative	(i) Kell 'is needed' + personal ending (ii) Köteles 'obliged' (iii) Kötelesség 'obligation'+ personal ending (iv) Kötelezi 'to oblige, to impose a duty on' (v) Present tense indicative (vi) Kell 'is needed' + infinitive	(i) Shall (ii) Must (iii) Is required to (by done by) (iv) Is obliged to
		8

#### Limited conditional duty with the person obliged to perform it not revealed in the surface structure

Polish	Hungarian	English
(i) Powinno/powinien + infinitive 'should' (ii) Należy 'should' (iii) Present tense indicative	(i) Kell 'is needed' + infinitive (ii) Present tense indicative (3 <sup>rd</sup> person) (vi) Kötelező '(is) obligatory'	(i) Shall (ii) Must (iii) Is to be done (iv) Is required to be done

# Pure modal sub-meanings – Prohibition (continued)

- Negation of obligation (actor revealed and not revealed)
- Negation of permission (actor revealed and not revealed)
- Sheer prohibition (actor revealed and not revealed)

#### **Prohibition**

- Obligation to refrain from the action which is a situation in which the actor is required not to perform, is disallowed from performing or is not permitted to perform.
  - Unlimited prohibition that is to say a situation in which the actor is disallowed to perform no matter the circumstances.
  - Conditional prohibition that is to say a situation in which the actor is disallowed to perform only in prescribed circumstances.
  - Limited conditional prohibition that is to say a situation in which the actor is disallowed to perform more than X or less than X only in specific circumstances.

#### **Prohibition**

Negation of permission which is a situation in which the actor is not permitted to perform or is disallowed from performing.

- Unlimited prohibition that is to say a situation in which the actor is disallowed to perform no matter the circumstances.
- Conditional prohibition that is to say a situation in which the actor is disallowed to perform only in prescribed circumstances.
- Limited conditional prohibition that is to say a situation in which the actor is disallowed to perform more than X or less than X only in specific circumstances.

#### **Prohibition**

#### Sheer prohibition

- Unlimited prohibition that is to say a situation in which the actor is disallowed to perform no matter the circumstances.
- Conditional prohibition that is to say a situation in which the actor is disallowed to perform only in prescribed circumstances.
- Limited conditional prohibition that is to say a situation in which the actor is disallowed to perform more than X or less than X only in specific circumstances.

### Negation of obligation – unconditional prohibition – actor revealed

Polish	Hungarian	English
<ul> <li>(i) Nie 'not' + powinien 'should'</li> <li>(ii) Nie 'not' + należy 'should'</li> <li>(iii) Nie 'not' + present tense indicative</li> <li>(iv) Nie 'not' + future tense indicative</li> </ul>	(i) Nem 'not' + present tense indicative	(i) Shall not (ii) Must not (UK)

### Negation of obligation – unconditional prohibition – actor not revealed

(i) Nie 'not' + należy 'should' (ii) Nie 'not' + present tense indicative (impersonal structure) (iv) Nie 'not' + future tense indicative (impersonal structure)	Ро	lish	Hu	ngarian	En	glish
(impersonal structure)	(ii) (iii)	'should' Nie 'not' + powinien 'should' Nie 'not' + present tense indicative (impersonal structure) Nie 'not' + future tense indicative (impersonal	(i)	present tense		

### **Negation of obligation – conditional prohibition – actor revealed and not revealed**

Polish	Hungarian	English
(i) Nie 'not' + present tense indicative (ii) Nie 'not' + future tense indicative	(i) Nem 'not' + present tense indicative	(i) Shall not (ii) Must not (UK)

## Negation of obligation – limited conditional prohibition – actor revealed and not revealed

Polish	Hungarian	English
(i) Nie 'not' + powinien 'should' (in personal or impersonal form respectively)	-	(i) Shall not
(ii) Nie 'not' + present tense indicative		

### Negation of permission – unconditional prohibition – actor revealed

Polish	Hungarian	English
<ul> <li>(i) Nie może 'may not'</li> <li>(ii) Nie jest uprawniony 'is not entitled'</li> <li>(iii) Nie ma prawa 'has no right'</li> <li>(iv) Nie wolno 'must not'</li> <li>(v) Niedopuszczalne jest (jest niedopuszczalne, nie jest dopuszczalne) 'is not admissible, is not permissible'</li> </ul>	(i) Nem 'not' + suffixes -hat/ -het (ii) Nem jogosult 'is not entitled'	(i) May not (ii) Cannot (USA)

### Negation of permission – unconditional prohibition – actor not revealed

Polish	Hungarian	English
(ii) Nie można 'may not'  (iii) Nie wolno 'must not'  (iii) Niedopuszczalne jest (jest niedopuszczalne, nie jest dopuszczalne) 'is not admissible, is not permissible'	(i) Nem 'not' + suffixes -hat/ -het  (ii) Nem szabad 'is not allowed'	(i) May not (ii) Cannot (USA)

### Negation of permission – conditional prohibition – actor revealed

Polish	Hungarian	English
<ul> <li>(i) Nie może 'may not'</li> <li>(ii) Nie ma prawa 'has no right'</li> <li>(iii) Nie wolno 'must not'</li> <li>(iv) Nie jest dopuszczalne 'is not admissible, is not permissible'</li> </ul>	(i) Nem 'not' + suffixes -hat/ -het  (ii) Nem jogosult 'is not entitled'	(i) May not (ii) Cannot (USA)

### Negation of permission – conditional prohibition – actor not revealed

Ро	lish	Hu	ngarian	En	glish
(i) (ii)	Nie może 'may not' and Nie można 'may not' Nie wolno 'must	(i) (ii)	Nem 'not' + suffixes -hat/ -het Nem szabad 'is	(i) (ii)	May not Cannot (USA)
(iii)	not' Nie jest dopuszczalne 'is not admissible, is not permissible'		not allowed'		

## Negation of permission – limited conditional prohibition – actor revealed and not revealed

Polish	Hungarian	English
(i) Nie może 'may not' and Nie można 'may not'	(i) Nem 'not' + suffixes -hat/ -het  (ii) Nem szabad 'is not allowed'	-

### Sheer prohibition – unconditional prohibition – actor not revealed

Polish	Hungarian	English
(i) Zabronione jest 'is forbidden, is prohibited'	(i) Tilos 'is forbidden, is prohibited'	(i) Is prohibited

# Thank you for your attention